

अन्तर्राष्ट्रीय जनसंख्या  
विज्ञान संस्थान  
(विश्वविद्यालय समतुल्य)

स्वास्थ्य एवं परिवार कल्याण विभाग, भारत सरकार का स्वायत्त संगठन  
बी.एस. देवशी मार्ग, देवघर, मुंबई - ४०० ०६८, भारत



(स्थापना / Established in 1958)  
बेहतर भविष्य के लिए क्षमता निर्माण  
Capacity Building for a Better Future

International Institute for  
Population Sciences  
(Deemed to be University)

An autonomous Organisation of Ministry of Health & Family Welfare, Govt. of India  
B.S.Devashi Marg, Doodhar, Mumbai - 400 005, INDIA

प्रोफेसर के. एस. जेम्स / Professor K. S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / DIRECTOR & Sr. PROFESSOR

No. IIPS/DIR/Abroad/1609/2023  
Dated: February 3, 2023

Dear Dr. Govil,

I am pleased to note that you would be presenting a paper entitled "Gendered time-budgets, affective wellbeing and its implication on cognitive health among older adults in India" in the 2023 Annual Meeting of the Population Association of America, during April 12-15, 2023 in New Orleans, LA, USA.

Your request for financial support to attend the 2023 Annual Meeting of the Population Association of America, during April 12-15, 2023 in New Orleans, LA, USA was considered by the Foreign Tour committee. The committee has approved your request for full funding, as per the Institute's rules.

As per the guideline for travel grant to foreign countries, the scholar need to made a presentation of the research paper at IIPS before proceeding to the Conference/Seminar. You are, therefore, requested to get in touch with Dr. Pradeep Salve to scheduled a faculty/students seminar at IIPS before leaving for the Conference.

With best regards,

Yours sincerely,

(Prof. K.S. James)  
Director & Sr. Professor

Dr. Dipti Govil  
Assistant Professor  
Department of Family & Generation  
International Institute for Population Sciences,  
Mumbai.

copy - accounts  
Mr. Rajesh TA foreign file

अन्तर्राष्ट्रीय जनसंख्या  
विज्ञान संस्थान  
(विश्वविद्यालय समतुल्य)

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जी.एस. देव्यास् मार्ग, देवघर, पुणे - ४०० ०८८, भारत



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प्रोफेसर के. एस. जेम्स / Professor K. S. James

निदेशक एवं वरिष्ठ प्रोफेसर / DIRECTOR & Sr. PROFESSOR

No. IIPS/DIR/Abroad/1611/2023

Dated: February 3, 2023

Dear Dr. Pradhan,

I am pleased to note that you would be presenting a paper entitled "Does language matter in early marriage and adolescent childbearing among Indian Bengali speaking women?" in the Annual conference of the Population Association of Singapore, during May 11-12, 2023.

Your request for financial support to attend the Annual conference of the Population Association of Singapore, during May 11-12, 2023 was considered by the Foreign Tour committee. The committee has approved your request for full funding, as per the Institute's rules.

As per the guideline for travel grant to foreign countries, the scholar need to made a presentation of the research paper at IIPS before proceeding to the Conference/Seminar. You are, therefore, requested to get in touch with Dr. Pradeep Salve to scheduled a faculty/students seminar at IIPS before leaving for the Conference.

With best regards,

Yours sincerely,

(Prof. K.S. James)

Director & Sr. Professor

Dr. Manas Ranjan Pradhan  
Assistant Professor  
Department of Fertility Studies  
International Institute for Population Sciences,  
Mumbai.

COPY : Accounts

Mr. Rajesh TA foreign file



Minutes of Foreign Tour Committee Meeting held on May 24, 2022

The Foreign Tour Committee on approving financial grant for faculty and students met on May 24, 2022 at 11.00 am in the Director's Chamber to consider the applications of 1 faculty member and 1 student. The committee discussed each of the application based on acceptance for oral/poster, submission of full paper, records of award of grant of Institute's fund in the past, length of service and distribution of available of funds evenly in the financial year 2022-23.

The following members attended the meeting:

1. Prof. K.S. James
2. Prof. R.B. Bhagat
3. Prof. Sayeed Unisa
4. Prof. S.K. Singh
5. Prof. H. Lhungdim
6. Prof. S.K. Mohanty

Prof. T.V. Sekher could not attend the meeting. Since Prof. D.A. Nagdeve was an applicant, he was not part of this meeting.

After taking into account the guidelines approved by the Seventy-fourth Executive Council on October 6, 1997, the Committee recommends for the grant of fund to Prof. Nagdeve for presenting a paper entitled "Family Planning Differentials among Religious Groups in India" in the Oxford Symposium on Religious Studies, The Queen's college, University of Oxford to be held at United Kingdom, England during August 8-10, 2022

The Committee instructed Ms. Ratna Patel to submit the application in the 'Proforma for travel grant to IIPS Ph.D. students' along with the requisite enclosures. The Committee decided to grant the grant financial assistance to Ms. Ratna Patel if all the requisite documents are submitted and are as per the provisions of the Institute.

Committee Members:

Prof. K.S. James



Prof. R.B. Bhagat



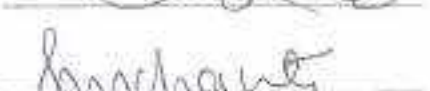
Prof. Sayeed Unisa



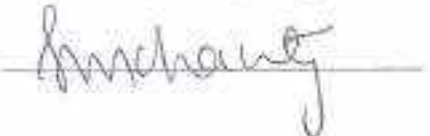
Prof. S.K. Singh



Prof. H. Lhungdim



Prof. S.K. Mohanty





No. IIPS/DIR/Abroad/859 /2022  
Dated: May 25, 2022

Dear Prof. Nagdeve,

I am pleased to note that you would be presenting a paper entitled "Family Planning Differentials among Religious Groups in India" in the Oxford Symposium on Religious Studies, The Queen's college, University of Oxford to be held at United Kingdom, England during August 8-10, 2022.

Your request for financial support to attend the Oxford Symposium on Religious Studies, The Queen's college, University of Oxford to be held at United Kingdom, England during August 8-10, 2022 was considered by the Foreign Tour committee. The committee has approved your request for full funding, as per provisions of the Institute.

With best regards,

Yours sincerely,

(Prof. K.S. James)  
Director & Sr. Professor

*Nagdeve*  
*25.5.2022*

Prof. D.A. Nagdeve  
Professor & Head,  
Dept. of Fertility & Social Demography  
I.P.S.  
Mumbai.

COPY TO AFO -

*Acting*  
*25/5*



No. IIPS/DIR/Abroad/1141 /2022

Dated: August 24, 2022

Dear Prof. Dhananjay,

I am pleased to note that you would be presenting a paper entitled "Understanding the effect of social capital on the depression among adolescents in urban India" in the International Conference on Urban Health (ICUH 2022) to be held at Valencia, Spain during October 24-27, 2022.

Your request for financial support to attend the International Conference on Urban Health (ICUH 2022) to be held at Valencia, Spain during October 24-27, 2022 was considered by the Foreign Tour committee. The committee has approved your request for full funding, as per provisions of the Institute.

With best regards,

Yours sincerely,

Prof. Dhananjay Bansod  
Professor,  
Dept. of Public Health & Mortality Studies,  
International Institute for Population Sciences,  
Mumbai.

(Prof. K.S. James)  
Director & Sr. Professor

o/c  
24/08/2022



### Minutes of Foreign Tour Committee Meeting held on August 24, 2022

The Foreign Tour Committee on approving financial grant to faculty and student met on August 24, 2022 at 10.30 am in the Director's Chamber to consider the applications received from Prof. Dhananjay Bansod. The committee discussed the application based on the acceptance for oral/poster, submission of full paper, records of award of grant of Institute's fund in the past, length of service and distribution of available of funds evenly in the financial year 2022-23.

The following members attended the meeting:

1. Prof. K.S. James
2. Prof. S.K. Singh
3. Prof. D.A. Nagdeve
4. Prof. H. Lungdim
5. Prof. T.V. Sekher
6. Prof. S.K. Mohanty

Prof. R.B. Bhagat and Prof. Usha Ram could not attend the meeting.

After taking into account the guidelines approved by the Seventy-fourth Executive Council on October 6, 1997, the Committee recommends for the full financial grant (travel, accommodation registration fees and other incidental expenses) to Prof. Dhananjay Bansod for presenting a paper entitled "Understanding the effect of social capital on the depression among adolescents in urban India" in the International Conference on Urban Health (ICUH 2022) to be held at Valencia, Spain during October 24-27, 2022.



(Prof. K.S. James)  
Director & Sr. Professor

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विज्ञान संस्थान  
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प्रोफेसर के. एस. जेम्स / Professor K. S. James

निदेशक एवं वरिष्ठ प्रोफेसर / DIRECTOR & Sr. PROFESSOR

No. IIPS/DIR/Abroad/1424/2023

Dated: April 21, 2023

Dear Dr. Das,

I am pleased to note that you would be presenting a paper entitled "Is human trafficking a serious problem in India: Who, where and how" ? oral session of the International Sociological Association (ISA) World Congress of Sociology, Melbourne, Australia, during June 25 to July 1, 2023.

Your request for financial support to attend the International Sociological Association (ISA) World Congress of Sociology, Melbourne, Australia, during June 25 to July 1, 2023 was considered by the Foreign Tour committee. The committee has approved your request for full funding, as per the Institute's rules.

As per the guideline for travel grant to foreign countries, the scholar need to made a presentation of the research paper at IIPS before proceeding to the Conference/Seminar. You are, therefore, requested to get in touch with Dr. Pradeep Salve to scheduled a faculty/students seminar at IIPS before leaving for the Conference.

With best regards,

Yours sincerely,

(Prof. K.S. James)

Director & Sr. Professor

Dr. Kallash Chandra Das  
Professor & Head  
Department of Migration and Urban Studies  
International Institute for Population Sciences,  
Mumbai.



# IIPS Newsletter

Volume 64

Number 3&4

September 2022



**63<sup>rd</sup> Convocation of IIPS**

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### **From Editorial Desk**

#### **Warm Greetings!**

We are delighted to bring to you volume 64, issues 3 & 4 of the IIPS Newsletter, which presents glimpses of activities of the Institute from April 2022 to September 2022.

The 63<sup>rd</sup> Convocation of the Institute was held on 3 June 2022. This year, 255 students were awarded degrees/diplomas. Prof. Balram Bhargava, Secretary, Department of Health Research, Ministry of Health & Family Welfare, Government of India and Director General, Indian Council of Medical Research (ICMR) presided over the function. Dr. K. Vijay Raghavan, Former Principal Scientific Advisor to the Government of India & Emeritus Professor, National Centre for Biological Sciences, Bengaluru, delivered the convocation address.

The editorial team takes this opportunity to express their hearty congratulations to their colleagues who got promotions and extends a very warm welcome to the new faculty/staff members who joined the IIPS family.

The editorial team is grateful for the timely support, contribution and suggestions in preparing the IIPS Newsletter and looks forward to your continued support in future. For any feedback/query, please contact the Publications Cell at [publication@iipsindia.ac.in](mailto:publication@iipsindia.ac.in).

**Editorial Team**

### **Newsletter Editorial Team**

**Prof. K. S. James**, Director and Senior Professor, IIPS

**Prof. T. V. Sekher**, Professor and Head, Department of Family & Generations, IIPS

**Dr. Dipti Govil**, Assistant Professor, Department of Family & Generations, IIPS

**Dr. M. V. Vaithilingam**, Senior Research Assistant, Publications Cell, IIPS

**Ms. Irin Anna Solomon**, Senior Research Officer, Publications Cell, IIPS

**Vision:** “To position IIPS as a premier teaching and research institute in population sciences responsive to emerging national and global needs through high-impact, cutting edge and multidisciplinary research, teaching and training”

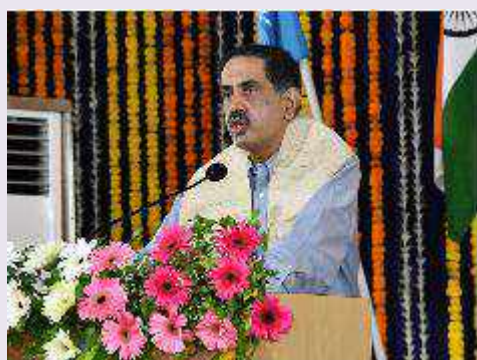
**Mission:** “The Institute strives to be a centre of excellence on all population and health issues through high quality scientific, research and teaching. We achieve this by (a) creating highly competent professionals, (b) generating and disseminating scientific knowledge and evidence, (c) collaborating and exchanging ideas with other institutions, and (d) undertaking advocacy and awareness programmes”.

## 63<sup>rd</sup> CONVOCATION OF IIPS

The sixty-third convocation of the International Institute for Population Sciences (IIPS), was held on Friday, June 3<sup>rd</sup>, 2022. Prof. K. S. James, Director IIPS, presented the activities and achievements of the Institute for the year 2021-22. This year, 255 students were awarded degrees/diplomas. Excellence in research and academic work of students were also appreciated, and they were awarded gold and silver medals for their achievements.



Prof. Balram Bhargava, Secretary, Department of Health Research, Ministry of Health & Family Welfare,



Government of India and Director General, Indian Council of Medical Research (ICMR) presided over the function. Prof. Bhargava, while congratulating the students receiving degrees, diplomas and medals, in his presidential address, appealed to them to use the high-quality education, training and research received from the Institute towards service of the people. He complimented the contribution of IIPS in training population

scientists and evidence based population research in the country since 1956. Prof. Bhargava emphasized that various population parameters had improved in the country in recent times as shown by the latest National Family and Health Survey (2019-21). However, emerging non-communicable diseases were becoming a challenge for the country, he added. Thus, high quality research was needed in these areas as well as mapping their differentials by gender, social and regional characteristics, for national planning and policy formation.



Dr. K. Vijay Raghavan, Former Principal Scientific Advisor to the Government of India & Emeritus Professor, National Centre for Biological Sciences, Bengaluru, delivered the convocation address. He congratulated the students and medal winners. He appreciated IIPS and its role for development and monitoring various government programmes in health and social sectors. Dr.

Vijay Raghavan spoke about the problems of biodiversity degradation, climate change, differentials in high quality education and labour force participation, as well as the huge developmental gaps between rich and poor countries. Having access to high quality research, training and education can mitigate these challenges in our country and globally. He suggested that knowledge, research and training available at institutions like IITs, AIIMS, and IIPS should not be limited to their campuses, but must reach colleges and universities located in the remotest areas of the country.



## **WORLD POPULATION DAY SYMPOSIUM AND INDIA LAUNCH OF UNITED NATIONS WORLD POPULATION PROSPECTS – 2022**

On the occasion of World Population Day, the IIPS organized the World Population Day-2022 Symposium and the India launch of the United Nations World Population Prospects-2022. Prof. Vegard Skirbekk, Columbia Aging Center, Columbia University, Prof. U. S. Mishra, Department of Bio-Statistics and Epidemiology, IIPS and Dr. Rajeshwari Chandrasekar, Head, UNICEF, Maharashtra were the eminent speakers for the Symposium. Prof. K. S. James, Director IIPS, chaired the session and Prof. T. V. Sekher, Coordinator, welcomed the gathering and introduced the program and speakers.



Prof. K. S. James, Director IIPS, chaired the session and Prof. T. V. Sekher, Coordinator, welcomed the gathering and introduced the program and speakers.

Prof. Vegard Skirbekk, made his presentation on “Social and biological drivers of low fertility”. He said that the social factors are the primary drivers of low and late fertility. Lower mortality, education, higher costs of childbearing, skill biased technological change, the changing role of women and alternative time use have contributed to changes in reproductive behavior. He also pointed





out that most likely future events (pandemics, economic downturns, environmental catastrophes, technological breakthroughs offsetting labour) may depress the fertility further.



As part of the World Population Day celebrations, the United Nations World Population Prospects 2022 was launched in India and the recorded speech of Dr. John Wilmoth, Director, UN Population Division, was played. He talked about the six key messages from the world population prospects-2022.

It was followed by Prof. U S Mishra's comments on the major findings of world population prospects-2022. He talked about the imbalance in population distribution trends and population stabilization. He concluded by saying that findings from world population prospects is quite important in formulating indicators and for planning.



Dr. Rajeshwari Chandrasekhar, in her speech, reminded the audience that despite all the challenges, the world population day offers a moment to celebrate human progress. We have advanced in health with extended life spans, reduced maternal mortality and child mortality. Technological innovations have eased our lives and connected us more than ever.

The symposium was followed by the declaration of winners of the essay writing completion, organized as part of the world population day. Students from various colleges and universities in Mumbai city participated in the Essay writing competition. The cash prizes and certificates were distributed to the winners.

Following are the winners:

Graduate Students:

1. Ms. Shravani Mhaisala, Ramnarain Ruia Autonomous College, Mumbai
2. Ms. Tulsi Panda, Dr. BMN College of Home Sciences, Mumbai



Post-graduate Students:

1. Ms. Akshita Vikani, Tata Institute of Social Sciences, Mumbai
2. Ms. Varsha Rani, International Institute for Population Sciences, Mumbai

Nearly 300 participants attended the programme. Dr. Dipti Govil proposed the formal vote of thanks.

The program was organized by Prof. T.V. Sekher, Dr. Dipti Govil, Dr. M.V. Vaithilingam and Ms. Irin Anna Solomon of the Publications Cell of IIPS.

## **DR. B. R. AMBEDKAR MEMORIAL LECTURE**

Dr. B.R. Ambedkar Memorial Lecture was held on 20th April 2022 at IIPS. Prof. Bhalchandra Mungekar, a former member of the Planning Commission and former Vice-Chancellor, University of Mumbai, delivered the lecture on "Relevance of Ambedkar's thoughts in contemporary India." Prof. K. S. James,



Director, International Institute for Population Sciences (IIPS) chaired the lecture. Dr. R. B. Bhagat, Professor and Head, Department of Migration and Urban Studies, IIPS, was the discussant for the lecture.

Prof. Bhalchandra Mungekar highlighted the contributions of Dr. Ambedkar in shaping the socio-economic policies of India. He quoted the shastras and argued that everything in the society is dominated by the way the men think and the views of women often ignored. The relevance of Dr. B. R. Ambedkar's thoughts for contemporary India is beyond our imagination. One of the significant contributions of Dr. B.R. Ambedkar to Indian society being the understanding and analysis of caste, untouchability, gender, and social equality. He also encouraged young scholars to think rationally and sensibly when understanding society. Prof. K. S. James said that Dr. Ambedkar had left his mark across disciplines, including economics, law, political science, and even population studies.



Prof. R. B. Bhagat said that the thoughts of Dr.

Ambedkar and Mahatma Gandhi are equally crucial and relevant in the current age. A large number of participants, both from the Institute and from outside, attended the program.

## TECHNICAL SUPPORT FOR SCHOOL SURVEY IN TIMOR-LESTE

The IIPS is providing technical support for the nationwide “Baseline Survey on Say No to 5S in Schools” undertaken by the World Health Organization Timor-Leste (WHO-TLS) country office for the Ministry of Health and Ministry of Education, Youth, and Sports Affairs, Government of Timor-Leste (formerly East Timor). The 5S interventions are initiated as part of the school health programme in Timor-Leste (2022-26). Starvation, Soil-transmitted helminthiasis, Skin diseases, Smoking, and Sugary/Alcoholic Drinks are the focal areas in the 5S intervention. The study aims to provide baseline data for impact and outcome indicators to serve as a point of comparison for a final evaluation and to provide information for program targeting and design. School level survey of coordinators, teachers and students was launched on 6<sup>th</sup> July 2022.



Dr. Dilip with participants at the Training of enumerators for Baseline Survey, held from 29<sup>th</sup> June to 3<sup>rd</sup> July 2022 at UN House Caicoli, Dili, Timor-Leste

**Principal Investigators:** Dr. T. R. Dilip and Prof. U. S. Mishra.

## WORKSHOPS

### Workshop on “*Ethics and Integrity in Social Sciences and Health Research*”

The Short-Term Training Programme (STTP) Cell of the International Institute for Population Sciences (IIPS) organized a 5-day workshop on “Ethics and Integrity in Social Sciences and Health Research” during 04-08 April, 2022, on the virtual platform. 25 participants from various universities/research institutes attended the workshop. The workshop was organized on different topics, which included lectures by subject experts, group discussions, case studies, etc.



The resource persons were Dr. Amar Jesani, Independent Researcher (Bioethics, Public Health), Dr. Sunita Sheel Bhandewar, Director, Health Ethics and Law Institute, Forum for Medical Ethics and Society, Dr. Mala Ramanathan, Professor, Achutha Menon Centre for Health Science Studies, SCTIMST, Thiruvananthapuram,



Dr. Anant Bhan, Global Health Researcher and Lead, Sangath, Dr. Usha Ram, Professor, IIPS, Prof. Lakshmi Lingam, Dean, School of Media and Cultural Studies, TISS, Mumbai, Dr. Tara Korti, Assistant Professor, TISS, Mumbai and Dr. Dipti Govil, Assistant Professor, IIPS. The workshop discussed about the existing national and international principles and guidelines on ethics that may enable participants to design and



execute social and health research with ethical reasoning. The workshop also covered the ethical issues involved at different stages of research. Prof. K. S. James, Director, IIPS, inaugurated the workshop. Prof. T. V. Sekher outlined the workshop programme. Those who successfully completed the workshop were given certificates.

Prof. T. V Sekher and Dr. Dipti Govil were the Coordinators for the workshop.

### **Workshop on “*India Ageing Report-2022*”**

Integrating Ageing Research in Policy and Programs Project of IIPS organized a workshop on India Aging Report-2022 during 6-7 May 2022 at the Institute for Social and Economic Change (ISEC), Bangalore. Dr. Sanjay Kumar, UNFPA India, Prof. P.M. Kulkarni, Former Professor JNU, Dr. G. Giridhar, Independent Consultant, Prof. K.S. James, Director and Sr. Professor, IIPS, Dr. Harihar Sahoo, IIPS and Dr. Dipti Govil, IIPS, participated in the workshop. The draft chapters of India Aging Report were extensively reviewed and the action plan of the project was discussed.



### **Hands-on Workshop on “*Use of Gridded Population Data*”**

The GENDER Project of IIPS along with INED, France organized a two-day hands-on workshop on 'Use of Gridded Population Data' at IIPS during September 6-7, 2022. The training programme was attended by 40 young faculty members and PhD students.

The instructors for the workshop were Dr. Ankit Sikarwar and Dr. Valerie Golaz (both from INED, France). The Coordinators of the GENDER project are



Prof. K.S. James, Prof. Abhishek Singh, and Dr. Kaushalendra Kumar. The GENDER Project is funded by the Bill and Melinda Gates Foundation.

### **Workshop on “Nutrition, Health and Hygiene in Mess & Hostel”**

IQAC and IIPS Administration jointly organized a workshop on “Nutrition, Health and Hygiene in Mess & Hostel” from 20-26<sup>th</sup> July 2022 for the mess and hostel staff.

The resource persons were Dr. Umesh M. Shenoy, Mrs. Natasha R. Surani and Dr. Rohini V. Ambekar. The programme had sessions on the Maintenance of hygiene standards in hostels and mess, nutrition requirement for students, special concerns of girl students staying inside/outside IIPS campus etc.



**Organizers:** Dr. M. Vaithilingam, Mrs. Lavina Tauro and Mr. Amol B. Hase

## **PROJECT ACTIVITIES:**

### **1. National Family Health Survey (NFHS) -5**

#### **Release of NFHS-5 National Report**

As part of the Swasthya Chintan Shivir organized by MoHFW in Tent City, Kevadia, Gujarat during 5-7 May, 2022, the **NFHS-5 National Report** was released by Dr. Mansukh L. Mandaviya, H’ble Union Minister for Health and Family Welfare on 5 May, 2022. Subsequently, IIPS conducted the National Dissemination Workshop in Delhi on 5-6 August, 2022.



**Release of NFHS-5 National Report by Union Minister Dr. Mansukh Mandaviya**

A two-day national dissemination workshop on the findings of the NFHS-5 was organized jointly by the Ministry of Health & Family Welfare and the International Institute for Population Sciences, on 5<sup>th</sup> and 6<sup>th</sup> August 2022 at Hotel Ashok, New Delhi. Shri Rajesh Bhushan, Secretary, MoHFW, GOI inaugurated the grand event along with Smt. Roli Singh, AS & MD, NHM, Smt. Sandhya Krishnamurthy, DG & Shri P. Manoj Kumar, CD from Statistics Division, GOI.



Two-day national dissemination workshop on NFHS-5 findings on 5<sup>th</sup> and 6<sup>th</sup> August 2022 at New Delhi

Director & Professor K. S James, Prof. S K Singh, Prof. Hemkothang Lhungdim, Prof. Chander Shekhar, Prof. L.K. Dwivedi, and Dr. Sarang Pedgaonkar from IIPS were present. More than 250 participants attended the workshop from national & international organizations which included delegates and representatives from MoHFW, WHO, MoSPI, UNFPA, RGI, JNU, ICMR, IPE Global, WCD, PFI, IUSSP, ICRW, AIIMS, IIT, etc. Some important highlights from the survey findings that needed to be focused on were: with the rise in institutional births across the country, there was also a worrying increase in Cesarean section births; obesity was an emerging concern among all age group; need for improving resources for marginalized populations; covering mental health dilemmas; prejudiced preference for son; creating more awareness about early breastfeeding and suitable dietary habits for infants; and menstrual protection.

The Ministry of Health & Family Welfare, Government of India, and the International Institute for Population Sciences (IIPS), jointly organized a one-day **Regional Dissemination Workshop on the findings of National Family Health Survey-5 (2019-21) on 23<sup>rd</sup> April 2022** at the Hotel Lords Plaza, Jaipur. Nearly 120 participants attended the Workshop, mainly health officials and invitees from PRCs and representatives from UNFPA, UNICEF, WHO, WASH, IIHMR, NGOs, Media, etc. The Inaugural session of the Workshop started with the lighting of the ceremonial lamp by the dignitaries, followed by Welcome Address by Prof. Chander Shekhar, Coordinator, NFHS-5. Shri P. Manoj Kumar, Chief Director (Statistics), MoHFW, Government of India, delivered the Introductory speech and spoke about the coverage and content of the NFHS-5. Prof. K S James, Director, IIPS, delivered the Keynote Address by emphasizing the importance of



State report release by Ms A. Jorwal, IAS, Mission Director (Incharge), NHM, Govt. of Rajasthan



NFHS-5 findings in policy framing and program evaluation at the district, state and national levels. Ms. Anupama Jorwal, IAS, Mission Director NHM (In-charge), Government of Rajasthan, delivered the Inaugural Address and underlined the achievements of Rajasthan in maternal and child health indicators and expressed her gratitude to MoHFW and IIPS for choosing Rajasthan for the regional workshop. She also released the reports of three states in the presence of officials. In the first Technical session, “Importance of NFHS-5 findings for policy making, especially in the context of Sustainable Development Goals”, was presented by Shri P. Manoj Kumar, Chief Director (Stats), MoHFW. Dr. S. Ghosh, Addl. Commissioner, MoHFW, Govt. of India, presented the key highlights of RMNCH+A for three states in the region and their districts. Prof. Chander Shekhar talked about issues emerging in the area of Household Environment, Maternal Health, Fertility and Family Planning. Prof. L.K. Dwivedi presented findings on Child Health & Nutrition in the region. The last presentation of this session was made by Dr. S. Pedgaonkar on Adult health, NCD’s, Women empowerment & Domestic Violence showing the situation in three states.

Two panel discussions were also organized. The first one was chaired by Shri Manoj Kumar, Chief Director (Stats) and Dr. Sumita Ghosh, where two state level officials from each state were asked to speak about the RMNCH+A programmes in view of the NFHS-5 results. The second panel was chaired by Prof. Dharendra Kumar, IIMR, Jaipur. This panel comprised of Ms. Nidhi Satija, Joint Director (Stats.), MoHFW, Government of India, representative of UNFPA, representative of UNICEF and Media Social Activist, Ms. S. Mathur. The workshop ended with a formal vote of thanks by Dr. Sarang Pedgaonkar.



Prof. K.S. James, Director, IIPS, delivering Keynote address

The Ministry of Health & Family Welfare, Government of India, and the International Institute for Population Sciences (IIPS), organized a **one-day Regional Dissemination Workshop on National Family Health Survey (2019-21) on 19th April, 2022** at Assam Administrative Staff College, Guwahati. Around 140 participants attended the programme from 8 North-Eastern states. Dr. Harsh Mangla, Director NHM, Assam, was the chief guest on the occasion. Dr. Sumita Ghosh, Addl. Commissioner (CH/AH/RBSK), MoHFW, also graced the event. From IIPS, Prof. Hemkothang Lungdim, Prof. Laxmikant Dwivedi and Dr.



One-day Regional Dissemination Workshop on National Family Health Survey (2019-21) on 19th April, 2022

Sarang Pedgaonkar were the presenters and Smt. Sandhya Krishnamurthy, Mr.P. Manoj Kumar and Ms. Nidhi Satija from MoHFW were also present.

For the South zone, the **workshop was organized in KTDC Mascot Hotel, Thiruvananthapuram, Kerala on 27th May, 2022.**

Nearly 110 participants attended the Workshop, mostly health officials from the 5 southern states and 3 UTs.

Dr. Bharti Pravin Pawar, Hon'ble Minister of State for Health & Family Welfare, GOI, graced the occasion. Dr. Rajan N. Khobragade, Principal Secretary and Smt. Veena George, Minister of Health, Government of Kerala, were

invited as special guests. Prof K. S. James, Prof. S. K. Singh, Prof. Chander Shekhar, Dr. Sarang Pedgaonkar, Smt. Sandhya Krishnamurthy, Mr. P. Manoj Kumar and Dr. Govind Bansal from IIPS and the Ministry were

there to lead through the event.



Workshop at Thiruvananthapuram, Kerala, on 27th May, 2022



Northern region dissemination was organized at Chandigarh on 28<sup>th</sup> June, 2022

The **Northern Regional dissemination was organized at Hotel Mount View, Chandigarh on 28<sup>th</sup> June, 2022**

for 3 States & 3 UTs. Nearly 110 participants attended the Workshop, mainly health officials from the respective state/UT governments, and dignitaries from CRRID, PRC, SPYM, PGI, NGOs, NHM, etc. Shri Yashpal Garg, Secretary Health & Family Welfare, Chandigarh, was the chief guest. Director & Professor K. S. James, Prof. S. K.

Singh, Prof. Hemkothang Lhungdim, Prof. L.K. Dwivedi, Mr. P. Manoj Kumar and Ms. Nidhi Satija from IIPS & MoHFW attended the program.

On 27<sup>th</sup> July 2022, the dissemination for the Eastern region was organized at the **Hotel Hindustan International, Bhubaneshwar, Odisha.**

Nearly 130 participants attended the Workshop, mainly health officials from four state governments (except for Jharkhand), and included other invitees and representatives from ICMR, XIM, UNFPA, UNICEF, AIIMS, NGOs, Media, etc. Shri Nikunj Bihari Dhal,



Dissemination for the Eastern region was organized at Bhubaneshwar, Odisha

Principal Secretary, Health & Family Welfare Department and Ms. Shalini Pandit, Mission Director (NHM), Government of Odisha, were special invitees. Director & Sr. Professor K. S. James, Prof. S. K. Singh, Prof. Hemkothang Lhungdim, Prof. L.K. Dwivedi, Smt. Sandhya Krishnamurthy, and Ms. Vaishali from IIPS and MoHFW were present. Some prominent observations from the workshop were: Prevalence of high blood pressure showed a similar pattern by gender but increased with age across the Eastern states. Spousal Violence was observed to be the highest in Bihar from this zone. Jharkhand is yet to achieve a replacement level of Fertility. Preference for son was observed to be the least in West Bengal in this region.

**On 23<sup>rd</sup> & 24<sup>th</sup> September, 2022, A two-day training workshop on the Survey Methods and Estimation in NFHS was jointly organized by the Ministry of Health & Family Welfare and the International Institute for Population Sciences at New Delhi.** The training workshop was designed with the objectives of enabling the participating officers/staff to deliberate on sampling strategies designed, indicator development, and data quality and discussing estimates derived from NFHS and their methodological comparison with various other data sources like NSSO, SRS etc.

NFHS dissemination was also organized at the regional conference of the Indian Association for the Study of Population (IASP) held at the University of Kashmir, on 29<sup>th</sup> September, 2022. Prof. S. K. Singh, Prof. Hemkothang Lhungdim, Prof. Chander Shekhar, and Prof. L.K. Dwivedi presented on “Accelerating Health and Wellness in J&K: Evidence from NFHS-5”.



Dissemination aimed to help health officials strategize important policy decisions for intervention at the grass root level and gain helpful insights on district-level performance. Key indicators from NFHS-5 are aligned with SDGs, therefore, the results will track the progress made and will help the government to plan in achieving the SDGs by 2030.

### **NFHS-6 Pretest:**

Since NFHS will be providing indicators at the district level, the sample size will be much bigger, making it a mammoth task for data collection. In each selected household, three different types of interview schedules (household, woman and man) will be canvassed, based on predefined eligibility criteria.



Formal inauguration of pretest by Dr. Minakshi Joshi, Principal, CASFOS by lighting of lamp



In addition, clinical, anthropometric and biochemical testing (CAB) will be done for individuals in these households, again based on predefined eligibility criteria. As CAB component involves collection of blood samples and data on biomarkers, it is important to understand the reactions of respondents to the range of tests to be conducted including Malaria, HbA1C and Vitamin D3 tests. It was also decided to examine their responses on getting a signed consent for CAB testing. Data collection in CAPI will ensure better quality with minimum inconsistencies and missing cases, save data entry time and reduce the scope of field editing. Hence, to ensure that high quality data is obtained by using CAPI and other related field procedures are carried out smoothly, there is a need for thorough testing of all instruments prior to the actual survey. Pretesting will also ensure that the survey is carried out with minimum disruptions and within the estimated time frame. For this reason, it was decided to conduct a pretest to test all the instruments and protocols of the survey. Accordingly, IIPS developed, finalized and translated the survey instruments (paper-pencil and CAPI versions), and prepared survey manuals.

The training for pretest was done during June 20-30, 2022 at CASFOS, Deharadun, followed by 6 days of fieldwork. In order to gain good experience and to have better insights into the implementation of survey protocols across different types of localities/areas, 10 different types of PSUs were chosen for field practice - 6 rural and 4 urban PSUs, from Deharadun and Tehri Garwal districts of Uttarakhand State. Based on the pretest experience, IIPS revised the CAPI software and made relevant changes in the NFHS-6 questionnaires and protocols.



Dr. Preeti Dhillon welcoming the dignitaries.

International Institute for Population Sciences [IIPS] as a nodal agency for conducting the National Family Health Survey [NFHS] under the aegis of the Ministry of Health and Family Survey was also the prime host for all the regional dissemination and a two days training programme. These regional disseminations with the help of the fifth round of NFHS estimates cover extremely useful emerging issues & challenges and track the progress over time, of key indicators in various areas like Reproductive and Child Health, Fertility & Family Planning, Adult Health & NCDs, Women's Empowerment and Domestic violence, etc.

## **2. Longitudinal Ageing Study in India (LASI):**

### **First Meeting of the TAC of Longitudinal Ageing Study in India (LASI), Wave-2**

Ministry of Health and Family Welfare, Government of India, has constituted the Technical Advisory Committee (TAC) to guide the second wave of the Longitudinal Ageing Study in India (LASI). LASI wave 1 was undertaken during 2017-18, covering all States and Union Territories of India. LASI is India's first and

the world's largest longitudinal ageing survey, examining the health, economic and social well-being of India's growing elderly population. IIPS is the nodal institution for implementing LASI in collaboration with the Harvard T.H. Chan School of Public Health (HSPH) and the University of Southern



California (USC). The first meeting of the newly constituted TAC was held on 26<sup>th</sup> August 2022 at New Delhi under the chairmanship of Prof. Arvind Pandey and co-chaired by Prof. Sulabha Parasuraman. Shri. Manoj Kumar, Chief Director (Stat.), MoHFW, welcomed the participants and emphasised the need and relevance of LASI. Prof. K. S. James, Director, IIPS, spoke about the present stage of LASI activities. Prof. T. V. Sekher made a detailed presentation of LASI wave 1 survey and methodology and the changes suggested in wave-2 of the survey. TAC deliberated on various aspects of wave-2 survey and also constituted two sub committees to look into the aspects of questionnaires and biomarkers. Those who attended the meeting included Mrs. Sandhya Krishnamurthy (DG, Stats.), MoHFW, Prof. A. B. Dey, Prof. Mathew Varghese, Prof. M.H. Suryanarayana, Prof. S. Siva Raju, Prof. S. Irudaya Rajan, Ms. Roli Khare and IIPS faculty co-ordinators.

#### **Project Co-ordinators:**

Prof. K. S. James, Prof. T. V. Sekher, Prof. Chander Shekhar, Prof. R. Nagarajan, Prof. Aparajitha Chattopadhyay, Dr. Dipti Govil and Dr. Sarang Pedgaonkar

### **3. South Asia Centre for Labour Mobility and Migrants (SALAM)**

#### **1<sup>st</sup> Executive Committee Meeting**

The first executive committee meeting of South Asia Centre for Labour Mobility and Migrants (SALAM) was held on **5<sup>th</sup> April 2022**. The purpose of this meeting was to review the progress of work from October 2021 to March 2022. Systemic plan of action for the concept note, a review of literature for the national and regional thematic papers were discussed. All the partner organizations including donor agencies, attended the meeting.



## Advisory Meeting

The first Advisory meeting of the South Asia Centre for Labour Mobility and Migrants (SALAM) was held on **13<sup>th</sup> June 2022**. The purpose of this meeting is to discuss the progress of SALAM. How can SALAM better inform and foster evidence-based gender-responsive policy, dialogue, and policy change in the region? The meeting was attended by Prof. Irudaya Rajan, Prof. Binod Khadaria, Prof. Pushpendra Singh, Prof. S.K. Sasikumar, Prof. Sanchita Baneerjee, Dr. Sugandha Nagpal, and SALAM partner organisations and donor agencies.



## SALAM Partner Organisations -Interns Meet

A Meeting platform for all the Young Interns of SALAM from various partner organizations from four countries (Pakistan, Nepal, Sri Lanka, and Bangladesh) was organized on **17<sup>th</sup> June 2022**. It was a knowledge-sharing and interactive platform where interns discussed about the current activities.



## SALAM -Partner Organizations Meeting

The SALAM partner organizations meeting was held on **8<sup>th</sup> August 2022**. In this meeting, there were discussions on the SALAM website, National workshop, Thematic papers, and Migration data Portal. This meeting was attended by the partner organizations from CESLAM, Nepal; RMMRU, Bangladesh; IPS, Sri Lanka; SDPI, Pakistan; IIPS India and UN-Women (donor agency).

### i. SALAM Lecture 6<sup>th</sup>

The lecture was delivered on June 23<sup>rd</sup>, 2022 on ***“Policies and Practices of Labour Recruitment in Nepal: Examination of Gaps and Their Impact on Safe Migrations”*** by **Dr. Arjun Kharel**. His lecture elaborated on the process of immigration and the policies and practices of employers in Nepal for recruitment of labourers. Other aspects include labour recruitment issues, global inequality, limitations in government policies, unequal power relation between the labour from origin and destination countries. The session was moderated by Dr. Ramani Jayasundere.

### ii. SALAM Lecture 7<sup>th</sup>

The lecture was delivered on July 6<sup>th</sup>, 2022 on ***“The ‘Telling’ of Migration Aspirations: Covid-19 and Youth Migration from Punjab, India”*** by **Dr. Sugandha Nagpal**. Dr. Sugandha majorly focused on how young



Punjabis responded to the COVID-19 pandemic that restricted migration. She also argued that the resilience of young Punjabis revealed the structural basis of migration aspiration. The session was moderated by Prof. R. B. Bhagat of IIPS.

### iii. SALAM Lecture 8<sup>th</sup>

The Lecture was delivered on 2<sup>nd</sup> Sept 2022 on “*Were the expenditure levels of internal or international migrants in Bangladesh more resilient to Covid-19?*” by Dr. C. Rashaad Shabab (University of Sussex, UK and a Senior Fellow at the Refugee and Migratory Movements Research Unit in Bangladesh). The session was moderated by Dr. Bilesha Weeraratne.

## KNOW YOUR ALUMNI- IIPSAA LECTURE SERIES

International Institute for Population Sciences Alumni Association (IIPSAA) conducted the second lecture under the ‘Know your alumni’ lecture series on 06<sup>th</sup> September 2022. The lecture entitled “Higher Education Opportunities in Europe” was delivered by Dr. Aravinda Meera Guntupalli (Senior Lecturer, University of Aberdeen, UK). Dr. Aravinda’s research focuses on health inequalities across the life courses, nutrition and food insecurity among migrants, multidimensional poverty among older people and NCD related inequalities in middle-aged and older adults in low and middle-income countries. The speaker elaborated on different teaching as well as learning techniques in European countries and how students should address these effectively. Further, Dr. Guntupalli provided insights on various universities suitable for the students of IIPS, the availability of funds and fellowships to pursue the courses and useful resources to avail these opportunities. More than 35 students joined this interactive and informative lecture.



## THE FOUNDATION DAY CELEBRATIONS

The International Institute for Population Sciences, celebrated its 66<sup>th</sup> Foundation Day on 16<sup>th</sup> August 2022. It was a grand evening of decor, dance and drama with enthusiastic participation by students, faculty and staff members. Continuing with the spirit of the 75<sup>th</sup> Independence Day “Azadi ka Amrit Mahotsav”, the students of IIPS put up a gorgeous event.

The program started with the lighting the lamp by Director Prof. K. S. James, Prof. K. C. Das and Prof. Nandita Saikia. The program then went on to showcase various classical, folk and modern dances, such as Durga Vandana, Kathak, Bharatnatyam, Bihu, Lavni, Semi-classical and Bollywood. The students pursuing their Master's degree put up a drama to showcase the difference between online and offline classes, something all of us experienced during the COVID pandemic. The message they portrayed, was significant. The children of the faculty and staff members performed patriotic songs. The Staff Welfare Committee also felicitated the bright students of the staff to encourage them to perform even better in studies in future.



### FOOD FESTIVAL- LAZEEZ

On 30<sup>th</sup> May 2022, an amazingly mouthwatering food festival, the 3rd Food festival “*Lazeez*”, was arranged by the International Institute for Population Sciences to celebrate diverse flavors from across the country. With fifteen counters spread across the ground, the event kick started with the encouraging words of Prof. K. S. James, Director & Senior Professor and Cultural Coordinator, Prof. Aparajita Chattopadhyay. The stalls offered an explosion of flavors from the famous hot & Spicy *Litti-Chokha*, the iconic Bengali delicacies of Mutton Kosha, *paturi* and *dimer Chop*, special sweet dishes of Odisha, Kerala's own Malabar porotta and naadan chicken curry, the traditional Cuban Punch, or as we call it, “Mojito”, deliciously cheesy Pasta, and Maharashtrian prawn and fish fry, along with ice-cream and Kulfis. Students, staff and faculty alike, were delighted with the food, reminding us once again about the feeling of family



that IIPS always cherishes. Lazeez was one such platform that brought together all those who love cooking and those who love eating! We thank our IIPS fraternity for making this programme a delectable success.

## YOGA DAY

The Yoga Lecture was organized on 18<sup>th</sup> August 2022 and the speaker for the lecture was E. Ravi Singh Choudhary (Social Entrepreneur and Author). He spoke about “Yoga: The Civilizational Heritage”, focusing on the subject of classical definition of Yoga, Samkhya, and Yoga Darshan Shastra, Ancient manuscripts of Yoga: Katha, Upnishad, Yogacharbhumi, Vigyaan Bairav, Yoga throughout the world and applicability of Yoga in modern life. The lecture was followed by a Yoga session. The program was attended by the faculty, staff, and students.



## CAPACITY BUILDING PROGRAMME

IQAC with IIPS administration jointly organized the capacity building programme on “*Ethics for administrative professionals in higher educational Institutes*” from 5-7 and 11 & 18<sup>th</sup> July at IIPS.

The resource persons were Lt. Col. Prashant Borde, CAO, IIPS and Dr. K. Praveen Kumar, Library and Information Officer, IIPS. The programme had sessions on the necessity of ethics for administrative professionals, moral judgement, antecedents and linkages with ethical climate, case studies etc. The administrative staff and faculty members attended the sessions.



**Organizers:** Dr. M. Vaithilingam, Mrs. Lavina Tauro and Mr. Amol B. Hase



## ACTIVITIES OF PLACEMENT CELL

The Placement Cell organized an interactive session for students on 11<sup>th</sup> May, 2022 with Prof. Christophe Z. Guilmoto, a well-known demographer and researcher. He showed keen interest in interacting with students and helping them upgrade their research. He



emphasized on qualitative research and encouraged students to read more narratives from news articles. He talked about the importance of field work and formulating interdisciplinary theories to unravel stories behind mere numbers and transitions happening in the population. He compared demography and other related disciplines in terms of theories, modelling and prediction.

## BOOK PUBLISHED BY FACULTY

**“Researching Internal Migration” by Prof. S. Irudaya Rajan and Prof. R.B. Bhagat, published by Routledge India, 2022.**

The book delves into the fundamental principles and migration metrics and attempts to comprehend the rapidly changing migratory patterns in relation to time and context. It reviews and synthesizes migration theories, and aims to consolidate and summarize the most commonly debated ideas on migration in the literature, as well as classify the many models and theories into distinct categories for a better understanding. The book introduces census-based migration data, both in India and in comparison to other countries. The book examines the various policies and initiatives in India that deal with migration and migrants. It concentrates on various issues of internal migration related to concepts, data, measurement and policy and programmes from the point of view of integrating migration with development.



## NEW APPOINTMENTS



### **Lt. Col. Prashant Sunil Borde**

Lt. Col. Prashant Sunil Borde has joined International Institute for Population Sciences on deputation from the Indian Army as Chief Administrative Officer – cum -Registrar. He has 19 years of professional experience in diverse domains. He has served in several military operational regions such as Jammu & Kashmir, Manipur, and the Democratic Republic of Congo. He has also served in numerous administrative capacities in government institutions. He is an alumnus of Sainik School, Satara, and has done B. Sc. from National Defence Academy, Khadakwasla, B. Tech. from College of Military Engineering, Pune, PGDHRM from SCDL, Pune, MPM from Pune University, and MA (Political Science) from IGNOU. Currently, he is pursuing a Ph.D. (Working Professionals) from the Indian Institute of Management, Shillong. He was awarded a Certificate of Merit by the Chief of Army Staff for an innovation project and a Commendation card from General Officer Commanding in Chief, Southern Command, for superlative administrative performances. He has presented papers at the prestigious Academy of Management Conference and the European Academy of Management Conference. He has published papers in prestigious journals of UGC and ABDC list. His research interests include leadership, healthcare, educational administration, organizational studies and sociology.



### **Dr. Srinivas Goli**

Dr. Srinivas Goli is an Associate Professor in Demography at the International Institute for Population Sciences, Mumbai. Before joining IIPS, he was a New Generation Network Scholar at the Australia India Institute (AII) and UWA Public Policy Institute at the University of Western Australia.

He had also worked as an Assistant Professor in Population Studies at the Centre for Studies in Regional Development (CSRD), School of Social Sciences (SSS), Jawaharlal Nehru University (JNU), New Delhi. He was a visiting faculty at the University of Gottingen, Germany, from May to June 2018. He has more than ten years of experience in teaching and M.Phil. & Ph.D. supervision in demography, public health, and development studies. He has guided five M.Phil. and ten Ph.D. theses. He has been involved in several major projects funded by national and international organizations. His current research interests include population dynamics and its implications for development, family demography, gender and nutritional inequalities. He has significant publishing work to his credit. He serves as an academic editor for PLOS One, SAGE Open and the Journal of Sustainability.



### **Dr. Archana Kujur**

Dr. Archana Kujur has joined IIPS as an Associate professor in the Department of Fertility and Social Demography. Her current research focus is rooted in Indigenous population, gender, and child health issues. She has a good academic record. Having studied across the country, she got the opportunity of learning from varied people and places. She received her B.Sc. degree from Calcutta University, an M.Sc. degree in Geography from Banaras Hindu University, an M. Phil. and a Ph.D. degree from International Institute for Population Sciences.

She had taught Geography for ten years at the Central University of Karnataka (CUK) where her research interest was oriented towards social issues in the geographical context. During her time at CUK, she visited Cambridge University as a part of the UKERI initiative. She has also guided many dissertations for Master's degree and a Ph.D thesis. She has published many articles on the issues of Gender, violence faced by women and tribal people, School Education and WASH, Child Health, School Absenteeism, and School dropout. She is well-versed in quantitative methods and is keen to pursue a qualitative approach to research. Her goal is to contribute to anthropological aspects of Gender and Tribal Studies.



### **Dr. Guru Vasishtha**

Dr. Guru Vasishtha has been appointed as an Assistant Professor in the Department of Survey Research & Data Analytics at International Institute for population Sciences (IIPS). Prior to Joining IIPS, he worked at GlaxoSmithKline (GSK) as a Senior RWD Analyst, where he specialized in utilizing and analyzing electronic medical records and claims data sources for real-world evidence generation to help in better business decision making. He also extensively worked on different stages of various research projects

including National Family Health Survey (NFHS-4). His expertise ranges from data management, validation, cleaning, graphics, and visualization, mainly using programming in statistical software such as R, SAS, STATA, SPSS, MORTPACK, SPECTRUM, and GEODA. He has a Ph.D. and M.Phil. degrees in Population Studies from IIPS. He also holds a Master's degree (Gold medal) in Statistics from Dr. B.R. Ambedkar University, Agra. He has published research papers in peer-reviewed journals. He has also participated and presented research papers at national and international conferences/seminars and workshops, including summer school on measuring multidimensional poverty organized by Oxford Poverty and Human Development Initiative, the University of Oxford at Mexico City. His research interests include Multidimensional poverty, Data quality of large-scale demographic surveys, Spatial analysis, Maternal and child health, Mortality and life table.



## SUPERANNUATION OF STAFF



**Mr. Prakash H. Fulpagare**  
Senior Research Assistant, EMS  
(Superannuated on 31/05/2022)



**Mr. Ashok Baban Sarjine**  
Supervisor (Technical)  
(Superannuated on 31/05/2022)



**Mr. Suryakant S. Kale**  
Gestetner Operator  
(Superannuated on 31/05/2022)



**Mr. Suresh P. Thakur**  
Multi-Tasking Staff  
(Superannuated on 31/05/2022)



**Mr. T.S. Badve**  
Data Entry Operator Gr. B  
(Superannuated on 31/07/2022)

## APPOINTMENTS

Sl.No	Name of the Employee	Department/Section	Position held	Date of Appointment
1	Mr. Vikash Atri	Establishment	UDC	19-05-2022
2	Mr. Saish Todankar	Stores	UDC	01-07-2022
3	Mr. Swapnil Borhade	Library	Lib. Clerk	15-09-2022
4	Mr. Manish Kumar	Library	Sr. Lib. Inf. Assistant	19-09-2022

## PROMOTIONS

Sl.No	Name of the Employee	Department/Section	Previous Position	New Position	Date of Promotions
1	Mr. R.B.Lakhan	Estate	Caretaker	Supervisor (Technical)	29-06-2022
2	Ms. Sakula Pawar	Project Cell	UDC	Assistant	29-06-2022
3	Mr. Mangesh Shivalkar	Estate	OHP operator	Caretaker	29-06-2022

## ABOUT THE INSTITUTE

The International Institute for Population Sciences (IIPS), formerly known as the Demographic Training and Research Centre (DTRC) till 1970, was established in 1956, under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India, and the United Nations. The Institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India.

The Institute served as a regional centre for Training and Research in Population Studies for the ESCAP region. The Institute was re-designated to its present title in 1985 to facilitate the expansion of its academic activities and was declared as a 'Deemed University' in August 19, 1985, under Section 3 of the UGC Act, 1956, by the Ministry of Human Resource Development, Government of India. The recognition has facilitated the award of recognized degrees by the Institute itself and paved the way for further expansion of the Institute as an academic institution. The faculty members and the supporting staff belong to diverse interdisciplinary backgrounds with specialization in some core areas of population sciences, trained in India and abroad.

The Institute is the hub of population and health related teaching and research in India, playing a vital role for planning and development of the country. Students from different countries of Asia and the Pacific region, Africa and North America have been trained at the Institute. The alumni of IIPS hold prestigious positions in national and international organizations, universities, and reputed non-governmental organizations.

The Institute offers different Post-graduate and Doctoral programs and post-doctoral fellowships. After completing the program, students are well prepared for: admission to higher degree programmes in the best universities of the world; a good career in teaching and research; a multi-disciplinary professional career in development organizations; and can work as independent consultants.

### ***IIPS Newsletter***

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**For further details, visit IIPS website <http://www.iipsindia.ac.in>**

# IIPS Newsletter

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Number 1&2

March 2023



**IIPS Seminar participants**

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## From Editorial Desk

### Warm Greetings!

We are delighted to bring to you the volume 65, issues 1 & 2 of the IIPS Newsletter, which presents glimpses of activities of the Institute from October 2022 to March 2023.

To commemorate the 75 years of India's independence, International Institute for Population Sciences (IIPS) and Institute for Social and Economic Change (ISEC) jointly organized the IIPS National Seminar 2023 on the theme, "75 years of India's Demographic Changes: Process and Consequences" at ISEC, Bengaluru from 23-25 Feb 2023.

Professor Krishnamurthy Srinivasan, former Director of the International Institute for Population Sciences, was selected as the International Union for the Scientific Study of Population (IUSSP) Laureate for the year 2023. A leading academician in the field of demography, Prof. Srinivasan is the first Indian to have received this award.

The editorial team takes this opportunity to express our hearty congratulations to our colleagues who got promotions and extends a very warm welcome to the new faculty/staff members who joined the IIPS family.

The editorial team is grateful for the timely support, contribution and suggestions in preparing the IIPS Newsletter and looks forward to your continued support in future. For any feedback/query, please contact the Publications Cell at [publication@iipsindia.ac.in](mailto:publication@iipsindia.ac.in)

[Editorial Team](#)

## Newsletter Editorial Team

**Prof. K. S. James**, Director and Senior Professor, IIPS

**Prof. T. V. Sekher**, Professor and Head, Department of Family & Generations, IIPS

**Dr. Dipti Govil**, Assistant Professor, Department of Family & Generations, IIPS

**Ms. Irin Anna Solomon**, Senior Research Officer, Publications Cell, IIPS

**Vision:** "To position IIPS as a premier teaching and research institute in population sciences responsive to emerging national and global needs through high-impact, cutting edge and multidisciplinary research, teaching and training"

**Mission:** "The Institute strives to be a centre of excellence on all population and health issues through high quality scientific, research and teaching. We achieve this by (a) creating highly competent professionals, (b) generating and disseminating scientific knowledge and evidence, (c) collaborating and exchanging ideas with other institutions, and (d) undertaking advocacy and awareness programmes".

## **IIPS NATIONAL SEMINAR 2023**

### **75 Years of India's Demographic Changes: Process and Consequences**

To commemorate 75 years of India's independence, International Institute for Population Sciences (IIPS) and Institute for Social and Economic Change (ISEC) jointly organized the XXVII IIPS National Seminar 2023 on the theme, "75 years of India's Demographic Changes: Process and Consequences" at ISEC, Bengaluru from 23-25 Feb 2023. The seminar began with a welcome address by Prof. D. Rajasekhar, Director, ISEC, Bangalore. Prof. K.S. James, Director and Senior Professor of IIPS, presented the genesis and relevance of the seminar.

The seminar was inaugurated by Shri. T.K. Anil Kumar, IAS, Principal Secretary, Health and Family Welfare Department, Karnataka. The session was chaired by Padma Shri Prof. Sukhadeo Thorat, former chairman of the UGC and Chairperson of the Board of Governors, ISEC. The seminar also felicitated Prof. K. Srinivasan, who became the first Indian to receive the prestigious 2023 IUSSP Laureate Award. The inaugural session ended with a formal vote of thanks by Prof. H. Lhungdim, IIPS. The seminar brought together a diverse group of experts from all over India and seven foreign countries to discuss the demographic changes that have occurred in India and their implications.

The seminar comprised 4 plenary sessions, 15 technical sessions and 2 poster sessions. The plenary sessions deliberated on four themes: a) 75 Years of India's Demographic Change: Processes and Consequences, b) Nutritional Issues in Karnataka: Policies and Programmes, c) Maternal and Neonatal mortality transition framework in India and its States, and d) Population Research Centre's Vision: Past to Future. In addition, a total of 84 papers were presented orally in 15 technical sessions touching upon various themes such as Demographic and Health Transition, Mortality Transition, Fertility and Family Planning, Child Health & Education, Morbidity and Quality of Life, Maternal Health, Internal Migration, South Asia Migration, Findings from Swabhimaan Intervention Study, Nutrition & Health, Livelihood and Healthcare, Elderly Wellbeing and Health. Fifty-five papers were presented in two poster sessions and the three best posters of each session were awarded prizes.

The seminar was successfully concluded on 25th February with a formal vote of thanks by Ms B. P. Vani on behalf of the organizing committee. The seminar was organized under the patronage of Prof. K.S. James, Director & Sr. Professor, IIPS and Prof. D. Rajasekhara Director, ISEC. Coordinators of the seminar from IIPS were Prof. H. Lhungdim, Prof. Archana K. Roy, Prof. Dhananjay Bansod, Dr. Dilip T.R. and Dr. Pradeep Salve, and from ISEC were Prof. T. S. Syamala, Dr. Lekha Subaiya and Ms. B. P. Vani. Seminar Secretarial team were Mr. Manish Kumar, Ms. Priya Mudaliar, Ms. Sheetal Zore and Mr. Devendra Kumar Yadav from IIPS.



Felicitations of Prof. K. Srinivasan



A glimpse of a technical session



A glimpse of a poster session



Coordinators, Secretariat Team and Volunteers of the IIPS National Seminar



## IUSSP LAUREATE AWARD FOR PROF. K. SRINIVASAN

**Professor Krishnamurthy Srinivasan**, former Director of the International Institute for Population Sciences and National Fellow, Indian Council for Social Science Research, was selected as the International Union for the Scientific Study of Population (IUSSP) Laureate for the year 2023. A leading academician in the field of demography, Prof. Srinivasan was the first Indian to have received this award. The IUSSP Laureate award is conferred every year on those individuals who have made notable strides in the advancement of demography and population sciences. IUSSP is a global network of scientists and researchers working to promote the scientific study of population. This award recognizes his outstanding contributions in the field of demography as a researcher, teacher and for evaluation of family planning programs. As the Director of the International Institute for Population Sciences (IIPS) for more than 14 years, Professor Srinivasan played a crucial role in shaping the teaching and research on demography in Asia. Under his leadership, India initiated the Demographic Health Survey (DHS) - known as the National Family Health Survey (NFHS) - in 1990, considered the largest demographic and health survey in the world. He was a member of the National Statistical Commission. He was also a visiting professor at the Australian National University and University of North Carolina, USA. Prof. Srinivasan was the Executive Director of the Population Foundation of India, New Delhi (1995-2002). A career spread over six decades in many institutions in India and abroad, starting from Gandhigram Rural Institute of Health and Family Welfare in Tamil Nadu, and going on to the Population Center at Bangalore, IIPS in Mumbai, PFI, New Delhi and UNESCAP in Bangkok, Prof. Srinivasan played many roles with his distinct mark as a teacher, researcher, institution builder and advisor to many Governments and organizations. The Laureate award ceremony was held on 9<sup>th</sup> March 2023. Dr. Shireen Jejeebhoy, President, IUSSP, chaired the event. Those who spoke on the occasion about Dr. Srinivasan's career and achievements included Prof. T.V. Sekher, Prof. Amy Tsui, Prof. Tim Dyson, Prof. Alaka Basu, Prof. Frans Willekens, Prof. Sureeporn Punpuing, Dr. Sanjay Kumar, Prof. K. S. James, Prof. P. M. Kulkarni, Dr. K.M. Sathyanarayana, Prof. Sayeed Unisa and Dr. Padmavathi Srinivasan. Video recording of the award ceremony can be accessed: [2023 IUSSP Laureate Ceremony in honour of Krishnamurthy Srinivasan - YouTube](#)



## MEMORIAL LECTURES

### 21<sup>st</sup> Prof. C. Chandrasekharan Memorial Lecture

International Institute for Population Sciences (IIPS) organized the 21<sup>st</sup> Prof. C. Chandrasekharan Memorial Lecture on 23<sup>rd</sup> December 2022 in hybrid mode. The Memorial Lecture series is held annually in the memory of **Prof. C. Chandrasekharan**, former Director of IIPS. Prof. Chandrasekharan was a pioneer in Indian demography. He developed the well-known Chandra-



Deming formula, to provide better estimates of vital events, which is the backbone of the Sample Registration System, India, that collects data about vital events. He was the President of the International Union for the Scientific Study of Population (IUSSP) from 1969 to 1973. Prof. Chandrasekharan was

instrumental in initiating and conducting the well-known Mysore Population Study in the early fifties, which was jointly undertaken by the Government of India and the United Nations. **Prof.**

**T.V. Sekher**, Coordinator of the Publications Cell, welcomed the participants and spoke in brief about Prof. C. Chandrasekharan and his contributions. He also introduced the guest speaker, Prof. Jinkook Lee. **Prof. K. S. James**, Director and Sr. Professor, IIPS, chaired the session and highlighted the importance of



ageing in India and the need to study dementia among the elderly. **Dr. Jinkook Lee** is a Research



Professor of Economics and the Program Director of Global Aging, Health, and Policy at the Center for Economic & Social Research, University of Southern California. She has led the development of an international data harmonization project, the Gateway to Global Aging Data (g2aging.org), which is a platform for population survey data on

aging from many countries around the world. She has co-led the development and implementation of the Longitudinal Aging Study in India (LASI) and is the principal investigator of the Harmonized Diagnostic Assessment of Dementia for the Longitudinal



Aging Study in India (LASI-DAD), an interdisciplinary project on dementia and cognitive aging. She delivered the lecture on the topic, “**Ageing Populations and Dementia: Global Challenge, Local Insights**”. During the presentation Prof. Lee discussed about the dramatic growth of the World’s older population. Further, she explained dementia and stressed upon the fact that age was the strongest known risk factor for dementia. It has been noted that more women expect to live with dementia than men. Prof. Lee explained the findings of the Harmonized Diagnostic Assessment of Dementia for the Longitudinal Study of Aging in India, (LASI-DAD), a HCAP study in India. Her presentation was followed by a question and answer session. Dr. Dipti Govil, Coordinator of the Publications Cell, proposed the formal vote of thanks. The lecture was attended by over 250 participants physically, while 30 joined online. The video recording of the lecture is available at: [The 21st, Professor C. Chandrasekaran Memorial Lecture at IIPS delivered by Prof. Jinkook Lee, USC - YouTube](#)

### 12<sup>th</sup> Prof. Asha A. Bhende Memorial Lecture



International Institute for Population Sciences (IIPS) organized the 12<sup>th</sup> Prof. Asha A. Bhende Memorial Lecture on 21<sup>st</sup> February 2023. The Memorial Lecture series is held annually in the memory of Prof. Asha A. Bhende, former Director of IIPS. Prof. Bhende was an accomplished academician and a

prolific writer. Her book “Principles of Population Studies”, co-authored with the late Prof. Tara Kanitkar of IIPS, has had 20 editions over the years. Prof. Bhende worked as a consultant for many international and national organizations. She was a Board member of the





Foundation for Research in Health Systems and National Association for the Blind, and a Chairperson of the Ashish Gram Rachana Trust, Indian Institute of Health Management, Pachod, Maharashtra. Her book on her life, titled “Majhya Jagaat Mi” (In my own world, am I) has been published. Prof. Bhende was a unique blend of



artistic talent and academic brilliance and is remembered with respect and affection. **Prof. T.V. Sekher**, Coordinator of the Publications Cell, welcomed the participants and spoke in brief about Prof. Asha A. Bhende and her contributions. He also introduced the guest speaker, Prof. Angel M. Foster. **Prof. K. S. James**, Director and Sr. Professor, IIPS, chaired the session. **Dr. Foster** is a Professor in the Faculty of Health Sciences at the University of Ottawa, Canada. She holds a doctorate from the University of Oxford in Middle Eastern Studies, an MD from Harvard Medical



School, and both master's and bachelor's degrees from Stanford University. Dr. Foster is a global abortion researcher and leads projects in 22 countries in Asia, Africa, Europe, the Middle East, and North America. She has authored more than 100 articles and co-edited three books. She delivered her lecture on the topic “*Abortion, politics, and the pill that promised to change everything: The global journey of mifepristone*”.

During the presentation Prof. Foster gave a brief history of mifepristone and misoprostol and an overview of abortion worldwide. She further discussed on the regulations on mifepristone in the US. Prof. Foster explained about the studies and researches she had conducted in different parts of the world like Tunisia, Poland, Thailand, Pakistan etc., using telemedicine logs, community-based distribution of the medication, expanding it to rural areas of the country and the outcomes from all these initiatives. The goal was to have Mifegymiso available directly from pharmacists in at least



one province by 2025. A question-answer session followed her presentation. Dr. Dipti Govil, Coordinator of the Publications Cell, proposed the formal vote of thanks. The lecture was attended by over 250 participants physically. The video recording of the lecture is available at: [The 12th Prof Asha A Bhende Memorial Lecture delivered by Prof Angel Foster, on 21st Feb 2023 @ IIPS - YouTube](#)

### **15<sup>th</sup> Prof. P. N. Mari Bhat Memorial Lecture**

International Institute for Population Sciences (IIPS) organized the 15<sup>th</sup> Prof. P. N. Mari Bhat Memorial Lecture at IIPS on 2<sup>nd</sup> March 2023. The Memorial Lecture series is held annually in the memory of Prof. P. N. Mari Bhat, former Director of IIPS. Prof. Bhat had a very distinguished academic career. He obtained his Ph.D. in Demography from the University of Pennsylvania under the guidance of Dr.



Samuel Preston, a renowned mathematical demographer. Prior to joining IIPS as its Director in 2005, Dr. Bhat worked as a Professor at the Institute of Economic Growth, Delhi, as the Director of PRC at Dharwad, and as Associate Professor at the Centre for Development Studies, Trivandrum and also at ISEC, Bangalore. His research works reflected a unique combination of statistical acumen and social science

perspectives in demographic research. He was a pioneer in providing fertility and mortality estimates for colonial India and for the early decades of independence. For detailed information on Prof. Bhat's research contribution to Indian demography, see "P.N. Mari Bhat: An Intellectual Tribute" by M. Das Gupta, S.H. Preston, V. Rao and T.V. Sekher, Economic and Political Weekly, Vol. XLII (36), 2007.

**Prof. K. S. James**, Director and Sr. Professor, IIPS, chaired the session. **Prof. T.V. Sekher**, Coordinator of the Publications Cell, welcomed the participants and spoke in brief about Prof. P.N. Mari Bhat and his research contributions.





He also introduced the guest speaker, **Prof. Lalit Dandona**. Professor Lalit Dandona is a Distinguished Research Professor, Public Health Foundation of India and Professor of Health Metrics Sciences, Institute for Health Metrics and Evaluation, University of Washington, Seattle, USA. Prof. Dandona is

the Director of the India State-Level Disease Burden Initiative, which is a collaboration between PHFI, ICMR, IHME and over 100 institutions across India and several global partners. Besides health and disease measurement and its application to policy, the research of Prof. Dandona's teams in India over the past 25 years includes a wide range of population-based studies and health system assessments. Prof. Dandona serves on the International Advisory Board of The Lancet and on the editorial boards of several other journals. He has published widely in peer-reviewed journals, and has served as a scientific reviewer for over fifty journals and various international grant agencies. Prof. Dandona's profile has been published in The Lancet. He received the inaugural BioMed Central Research Award in Medicine, and is included in the Stanford Mendeley Database of top global scientists. He has served as a technical expert in public health and policy for governments, academic institutions, international organizations and funding agencies. Prof. Dandona received his medical training at the All India Institute of Medical Sciences, the Johns Hopkins University and the University of Maryland, and public health training at the Johns Hopkins University. He delivered his lecture on the topic, **"Estimation of Subnational Demographic and Disease Burden Trends in India: Opportunities, Challenges, and Capacity Building"**. His presentation was followed by a question and answer session. Dr. Dipti Govil, Coordinator, Publications Cell, proposed the formal vote of thanks. The lecture was attended by over 250 participants physically. The video recording of the lecture is available at: [The 15th Prof. P N Mari Bhat Memorial Lecture, delivered by Prof. Lalit Dandona, on 2March 2023@IIPS - YouTube](#)





## MEMORANDUM OF COOPERATION

The International Institute for Population Sciences (IIPS) and the National Institute of Population and Social Security Research (IPSS), Japan, signed a Memorandum of Cooperation (MoC) on March 8, 2023 at IIPS for promoting a joint teaching and collaborative research. The MoC was signed by Prof. K.S. James, Director & Senior Professor, IIPS and Dr. Reiko Hayashi, Deputy Director-General, IPSS.



## SYMPOSIUM ON THE INTERNATIONAL DAY OF OLDER PERSONS- 2022

Centre for Ageing Studies of IIPS organized a symposium on the International Day for Older Persons on 3<sup>rd</sup> October 2022. The theme for this year was “*Resilience of Older Persons in a*



*Changing World*”. The speakers at the symposium were, Mr. Mathew Cherian, (Board Chair, CARE India and former CEO, HelpAge India), Dr. Sundeep Salvi, (Director, Pulmocare Research and Education (PURE) Foundation, Pune) and Prof. Asha Banu Soletti, (Dean, Tata Institute of Social

Sciences, Mumbai). Prof. K.S. James, Director & Sr. Professor, IIPS, chaired the symposium and talked about the relevance of the theme. Prof. T.V. Sekher, Head, Centre for Ageing Studies, welcomed everyone to the symposium and introduced the guest speakers. Mr. Mathew Cherian, in his speech, talked about the interventions undertaken by the Government and Supreme court for the elderly. According to him, the most important and critical intervention in old age was good health, followed by children to look after the elderly. As the Helpage International Ambassador on ageing, Mr. Cherian shared his experiences in dealing with ageing issues. Dr. Sundeep Salvi





discussed about ageing and health problems. Dr. Salvi said there were various biological mechanisms responsible for the ageing process. Further he talked about the determinants of healthy ageing such as cognitive training, exercise, diet, spiritual life and counselling. Prof. Asha Banu Soletti focused her talk on mental health and its

implication for the elderly. Along with healthcare, it is also important to understand how families are equipped and prepared to take care of senior citizens. Prof. Banu further talked about the factors influencing mental health of older persons at the individual as well as community level. The presentations were followed by a question-answer session. The symposium ended with a formal vote of thanks by Prof. R. Nagarajan, Associate Head, Centre for Ageing Studies. Nearly 200 people (faculty, students, researchers and NGO representatives from



Mumbai) Participated in the symposium. The recording of the symposium can be accessed at: [Symposium - YouTube](#)

## WORKSHOPS

### Workshop on “Harmonization of Ageing Data around the World”

The Centre for Ageing Studies and Short-Term Training Programme (STTP) Cell of the International Institute for Population Sciences (IIPS) jointly organized a one-day workshop on “Harmonization of Ageing Data around the World” on 7th November, 2022 at the ICT centre,



IIPS. 48 participants from various universities and research institutes attended the workshop. The resource persons were Prof. Jinkook Lee, Director, Program on Global Ageing, Health, and Policy, University of Southern California, Ms. Sandy

Chien, Director of Data Management, University of Southern California and Ms. Sarah Petrosyan, Programmer, University of Southern California.

The Gateway to Global Aging Data (<https://g2aging.org>) is a platform for population survey data on ageing around the globe. The Gateway has done harmonization of LASI, HRS, MHAS, ELSA, SHARE, CHARLS, KLoSA, JSTAR, TILDA, MARS and CRELES datasets, with which researchers can undertake comparable studies across countries. The workshop was organized to enhance the researchers' capability to utilize the harmonized HRS-family datasets by providing them hands-on training. The workshop discussed Health and Retirement Studies (HRS) across 41 countries and also covered the Harmonized Cognitive Assessment Protocol (HCAP). The afternoon session covered introduction to the gateway to global ageing data, followed by practical classes. Prof. K. S. James, Director, IIPS, inaugurated the workshop. Prof. T. V. Sekher, Head, Centre for Ageing Studies, IIPS, outlined the workshop programme and introduced the resource persons. Prof. Jinkook Lee spoke about the increasing need for comparative research and the importance of cross-country data sets to understand the many dimensions and implications of population ageing. Those who successfully completed the workshop were given certificates. The recording of the workshop can be accessed at: [Workshop on Harmonization of Ageing Data - YouTube](#)



### **Workshop on “Health Programmes and Impact Evaluation Methods”**



Indian Health Economics and Policy Association (IHEPA) and International Institute for Population Sciences (IIPS) jointly organized a workshop on **“Health Programmes and Impact Evaluation Methods”** from March 20-24, 2023 at IIPS. 29 participants, mostly Ph.D. scholars and young faculty



members from various universities attended the workshop. Prof. T. V. Sekher, Workshop Coordinator, welcomed the participants. Prof. K. S. James, Director, IIPS, inaugurated the workshop. Dr. Subrata Mukherjee, Secretary, IHEPA gave an outline of the workshop. Prof. U. S. Mishra, President of IHEPA, spoke about the activities of the association. The workshop was organized with sessions on different topics which included lectures by subject experts. The resource persons were Prof. U. S. Mishra, Dr. Subrata Mukherjee, Prof. Lakshmi Lingam. Prof. L.K. Dwivedi, Dr. Bharti Nandwani, Prof. Chander Shekhar, Dr. T. R. Dilip, Prof. Amar Jesani, Dr. Rudra Narayan Mishra and Dr. William Joe. The workshop discussed contemporary health issues and state-of-the-art research tools and techniques. Decisions on how various health policies and programmes should be designed and resources spent on them must be determined by how well these policies and programmes produced the desired outcomes. The workshop provided a rigorous overview of the tools and techniques to evaluate the causal effect of health policies and programmes. The tools and techniques included randomised control experiments and quasi-experiments such as difference-in-difference, regression discontinuity, propensity score matching and instrumental variables. The participants got an exposure on how to design and conduct an evaluation study, how to interpret evaluation results, read evaluation research carefully and understand the advantages and limitations of each method.



### **Workshop on “Introduction to Python Programming”**

Department of Survey Research and Data Analytics had successfully conducted a five-day hands-on training workshop on “Introduction to Python programming” from 1-5 March 2023 at the ICT lab, IIPS, Mumbai. This training workshop was the first offline workshop in the series of upcoming workshops of the department. The workshop was designed to give participants an overview of the basics of the python programming and to provide a practical, hands-on approach to learning. The



workshop covered various topics starting from a brief overview of the Python interpreter, vector, list, dictionaries, tuples, string manipulation, file handling, function module, regular expression, numpy and panda's library and data visualization using matplotlib library. A total of 29 participants, which included students pursuing their master's degree, research scholars, and working professionals from different universities and organizations across the country, attended and successfully completed the workshop.



### **PRC Scientific & Advisory Committee Meeting & Workshop on Large Scale Data Analysis**

International Institute for Population Sciences and the Ministry of Health and Family Welfare, Govt. of India, jointly organized the PRC Scientific & Advisory Committee Meeting & Workshop on Large Scale Data Analysis for the PRC staff from March 27<sup>th</sup>-29<sup>th</sup>, 2023 at IIPS. The meeting of PRC Scientific and Advisory Committee (PSAC) was held on 27<sup>th</sup> March 2023. The PSAC meeting was held in hybrid mode and was chaired by Prof. K. S. James, Director & Sr. Professor, IIPS. Ms. Anjali Rawat, DDG (Stat.) and Shri. Kumar Sundaram, Director (Stat.), members of the Project Management Unit and other officials were present. The pan India studies of AWS 2022-23 were discussed in the meeting. The state reports of the study, “Menstrual health and hygiene among adolescent girls in climate vulnerable areas of India”, were presented and discussed in the meeting. Representatives of all Population Research Centres participated in the deliberations. On the second day, the workshop



was conducted on the topic, “NFHS: Methodology & Data Analysis” and the sessions were taken up by Prof. Abhishek Singh, Prof. Chander Shekar and Prof. Laxmi Kant Dwivedi. The next day, the workshop covered the topic, “Longitudinal Ageing Study in India (LASI): Methodology & Data Analysis”. The session was taken up by Prof. T. V. Sekher and the LASI team.



### **Workshop on Qualitative Research and Data Analysis**

A five-day qualitative research and data analysis workshop was organized from 6-10th March 2023. A total of 30 participants from reputed universities and institutions across the country attended this offline workshop at the ICT unit on all the five days. The participants were a good mix of Ph.D. scholars and experienced researchers. The workshop aimed to conceptualize qualitative research, formulate problem



statements and research questions, study qualitative approaches and design a qualitative study, acquaint qualitative data collection techniques and management, and analyze qualitative data using Anthropic, NVivo, and Atlas.ti, and write an article/report based on a qualitative study. The resource persons were Prof. T.V. Sekher, Prof. Usha Ram, Dr. Dipti Govil, and Dr. Manas Ranjan Pradhan.

### **Faculty Development Program and Workshop on Design and Analysis of National Family Health Survey**

The Faculty Development Program and Workshop on Design and Analysis of National Family Health Survey (NFHS)-5 was a five-day workshop organized by the Short-Term Training Program



Cell, IIPS, in collaboration with SRM Institute of Science and Technology, Chennai from 6-10 March, 2023.



Dr. Benson Thomas, Associate Professor, School of Public Health, SRMIST, began by introducing the objectives of the workshop. He welcomed Prof. K.S. James, Director & Sr. Professor, IIPS, Lt. Col. (Dr) A. Ravi Kumar, Pro. Vice-Chancellor (Medical), SRMIST, Dr. Hari Singh, Dean, School of Public Health, SRMIST, Prof. T.V Sekher, Coordinator of the Short-Term Training Program,

IIPS, Dr. M. Bagavandas, Prof. and Head, Centre for Statistics, SRMIST, and Dr. A. Duraisamy, Dean, Science and Humanities, SRMIST. Prof. Laxmi Kant Dwivedi, Department of Survey Research & Data Analytics, IIPS, led the workshop while two research scholars of IIPS, Mr. Saurabh Singh and Mr. Somnath Jana provided teaching assistance. Around 65 research scholars, PG students and faculty members attended the workshop.



## **TRAINING PROGRAMS**

### **Training Programme on “Survey Methods and Data Analysis of NFHS-5”**

The hands-on Training Programme on “Survey Methods and Data Analysis of NFHS-5” was a four-day workshop organized by the Ministry of Health and Family Welfare, Government of India, and Short-Term Training Program Cell, IIPS, in collaboration with the Department of Demography, University of Kerala, Thiruvananthapuram, on 16-19 November, 2022. Prof. Laxmi Kant Dwivedi, Professor, Department of Survey Research & Data Analytics, IIPS, led the workshop while two research scholars of IIPS, Ms. Anjali Bansal and Mr. Somnath Jana, assisted. Around 50 research scholars and faculty members attended the workshop, including 5-10 post-graduate students. Dr. Anil Chandran S., Head, Department of Demography, University of Kerala,

began by introducing the objective of the workshop. He welcomed Prof. K.S. James, Director & Sr. Professor, IIPS, Shri. P. Manoj Kumar, Chief Director (Statistics Division), Ministry of Health and Family Welfare, GoI, Prof. T.V Sekher, Coordinator of Short-Term Training Program, IIPS and Prof. Laxmi Kant Dwivedi, and thanked them for conducting the workshop. He also welcomed Dr. Gabriel Simon Thattil, Director of the Internal Quality Assurance Cell, University of Kerala and Prof. P.M. Nair, Emeritus Professor of the Department of Demography. The participants were taught how NFHS-5 has been designed and computation of weight in NFHS. The estimation of fertility and mortality indicators were also discussed including multivariable regression analysis. Importance of application of survey weight was also presented in the workshop.



### **Training Programme on ‘Survey Methods & Data Analysis of Large-Scale Sample Surveys’**

The International Institute for Population Sciences, Mumbai (IIPS), in collaboration with Regional Medical Research Centre (ICMR-RMRC), Bhubaneswar, organized a five-day Hands-on Training Programme on ‘Survey Methods & Data Analysis of Large-Scale Sample Surveys’ from 15<sup>th</sup> March to 19<sup>th</sup> March 2023, at ICMR-RMRC, Bhubaneswar. Dr. Sanghmitra Pati, Director ICMR-RMRC, Bhubaneswar, inaugurated the workshop and introduced the resource persons, Prof. Usha Ram and Prof. L. K. Dwivedi, to the participants. 23 participants attended the workshop. Prof. Usha Ram and Prof. L. K. Dwivedi conducted the workshop and Mr. Prakash Kumar and Mr. Somnath Jana assisted in the hands-on exercises.



## GUEST LECTURES

The Centre for Ageing Studies of IIPS organized a guest lecture on 30<sup>th</sup> January 2023, by **Prof. Laishram Ladu Singh**, Vice - Chancellor, Bodoland University, Kokrajhar, Assam & former Officiating Director, IIPS. The lecture was on “Basics of NTA and NTTA”. The lecture mainly focused on understanding generational economy for the ageing population through National Transfer Account (NTA) and counting women and elderly work through National Time Transfer Account (NTTA). The lecture was attended by more than 50 Ph.D. students and faculty members. A few Ph.D. scholars and faculty are currently working on NTA data Sets for their research. Prof. T. V. Sekher, Head, Centre for Ageing Studies, welcomed the speaker while Dr. Preeti Dhillon proposed the vote of thanks.

The Short-Term Training Program Cell of IIPS organized a guest lecture on 23<sup>rd</sup> January 2023 by **Prof. Abhiroop Mukhopadhyay**, Professor, Indian Statistical Institute (Delhi). The lecture was on the topic - “Empirical Analysis: A Primer”. The lecture mainly answered questions like “Does Access to Modern Contraception lead to worsening sex ratios” and “How do we identify such “causal” relations”? The lecture briefly introduced the use of multivariate regression models to problems of causal inference. It explored the concept of what an “experiment” meant to social scientists and how to interpret regressions as the first step towards experimental design. The lecture also introduced students to the idea behind two popular experimental Designs-Difference in Difference (DID) and Panel Data identification. The lecture was attended by 52 Ph.D. scholars and Masters’ students. Prof. T. V. Sekher, Co-ordinator, STTP, introduced the speaker and coordinated the program.



## PROJECT ACTIVITIES

### Training Programme for Project Staff of NFHS-6

Two weeks of staff training were conducted at the International Institute for Population Sciences (IIPS) for all the Junior Research Officers (JROs) along with NFHS-6 Principal Investigators (PI's



& Co-PI's) and office staff from 6<sup>th</sup> to 19<sup>th</sup> February, 2023. Prof. S. K. Singh and Dr. Reshmi Nair introduced the NFHS Core team members and Prof. K. S. James (Director & Sr. Professor) talked about the roles and responsibilities of all the members, both in the field and in the office.

All the NFHS-6 PIs; Prof. S. K. Singh, Prof. Abhisekh Singh, Prof. Dhananjay W. Bansod, Prof. L.K. Dwivedi, Dr. Harihar Sahoo, Dr. Sarang Pedgaonkar, Dr. Preeti Dhillon and Dr. Reshmi R.S. gave detailed insights into all the important components of NFHS-6 which comprised coverage of NFHS-6, Rapport building, Interviewing techniques in large scale surveys, Digitization of Mapping & listing as well as sample selection, Household Questionnaire, Woman's Questionnaire, Man's Questionnaire, and Biomarker's Questionnaire. This was followed by CAPI training by IT staff and also field practice in rural and urban areas. The training ended with sharing of fieldwork experiences by all the team members and reviews of survey protocols.



### **Training of Trainers (ToT) for Mapping & Listing**

This was organized by the International Institute for Population Sciences (IIPS), with twenty field agencies from 20<sup>th</sup> to 24<sup>th</sup> February at Mumbai. The Prof. K. S. James (Director & Sr. Professor) inaugurated the training along with other Principal Investigators of NFHS-6, Prof. S. K. Singh, Prof. Abhisekh Singh, Prof. Dhananjay W. Bansod, Prof. L.K. Dwivedi, Dr. Harihar Sahoo, Dr. Sarang Pedgaonkar, Dr. Preeti Dhillon and Dr. Reshmi R.S. Around 60 participants from the Field Agency (FA) attended the Mapping & Listing Training. On the first two days there was classroom



training on the topics: The importance of mapping & household listing in large-scale surveys, details on NFHS-6, and all the concepts for the mapping & listing procedures along with an outdoor demonstration of using GPS. The 3rd and 4th days covered field practice of mapping and listing including digitization of at least 100 HHs by each team in 6 villages. Subsequently, each team was asked to present their experiences on the fifth day of the training after which it concluded with a discussion on state-specific plans for field implementation.

### **South Asia Centre for Labour Mobility and Migrants (SALAM)**

#### **Collaboration between SALAM and UC Berkeley**

A formal launch of the collaboration between SALAM and UC Berkeley was held on 13<sup>th</sup> October, 2022. The webinar on “Climate Resilience in the Global South: Perspectives from South Asia” was organised, and five speakers from partner organisations namely Prof. R.B. Bhagat (Professor, IIPS), Madhukar Upadhyay (CESLAM, Nepal), Mr. Kashif Salik (SDPI, Pakistan), Dr. Manoj Thibbotuwawa (Head, Agricultural Economic Policy, IPS Sri Lanka) and Prof. Tasneem Siddiqui (RMMRU, Dhaka) delivered lectures.

#### **Public Lecture by Dr. Sanchita Baneerjee**

Dr Sanchita Baneerjee, Executive Director, UC Berkeley, USA, visited the IIPS, Mumbai, on 20<sup>th</sup> December 2022 to discuss the collaboration and action plan. Post-meeting, she also delivered a Lecture on the topic, “The Garment Industry in Bangladesh under COVID – 19: Lessons from the Rana Plaza Disaster on How We Understand Worker Safety”.



#### **SALAM International Workshop**

SALAM organized an online International Workshop from 13<sup>th</sup> Dec -17<sup>th</sup> Dec 2022, titled “Researching Labour Migration in South Asia: Data, Methods and Theory”. 48 people participated in the workshop, besides 8 international participants. The resource persons for the workshop were Prof. R. B. Bhagat, IIPS, Prof. K.C. Das, IIPS, Mr. Debabrata Mukherjee, Ministry of Labour and Employment, Govt. of India, Ms. Nansiri Iamsuk, UN Women, Bangladesh, Mr. Andrew Gray, IOM, Sri Lanka, Prof. Archana K. Roy, IIPS, Dr. Reshmi R.S., IIPS, Dr. Kashif Salik, SDPI,

Pakistan, Dr. Arjun Kharel, CESLM, Nepal and Mr. Mahmudol Hasan Rocky, RMMRU, Bangladesh. All participants were given e-certificates.

### **Advisory committee meetings**

There have been two meetings of the advisory committee. The second meeting was held on 12th January 2023. The purpose of this advisory meeting was to discuss the progress of SALAM. SALAM has quickly progressed to establish a good working relationship amongst the member institutions and has been taking the work forward as a collective initiative.



### **VISIT OF UNIVERSITY OF SOUTHAMPTON STUDENTS TO IIPS**

Ten undergraduate students and 3 faculty and research staff from the University of Southampton visited IIPS from March 20-24 as a part of their field trip. During their visit, visitors got a unique opportunity to observe NFHS-6 mapping and household listing in Mumbai. They also got an opportunity to visit the field site of the IIPS LoSMINM study located in Raigarh district of Maharashtra. The NFHS-6 and LASI teams interacted with the visitors on their respective studies. Students also got an opportunity to conduct qualitative interviews with the Ph.D. students.



### **INTERNAL COMMITTEE ACTIVITIES**

1. Celebrated Gender Equality Day on 6th October 2023. Adv. Varsha Deshpande, Secretary, Dalit Mahila Vikas Mandal, Satara was the guest speaker.
2. Organized a series of events including Extempore, Debates, Skits & Street plays by students and staffs for Creating awareness on Gender Neutral Environment on 28th January 2023.



3. Celebrated International Women's Day, 2023 on 3rd March 2023. Dr. Shireen Jejeebhoy, President, IUSSP & Director, Aksha Centre for Equity and Wellbeing, Dr. Kalpna Apte, Secretary General, Family Planning Association of India, and Shri Pallav Patankar, Vice President-Digital Bharat Collaborative in Piramal Swasthya were the guest speakers for the event.
4. Celebrated National Girl Child Day, 2023 on 10th March 2023. Adv. Ujwala Hemant Kadrekar, Independent Socio-legal Consultant, Mumbai, was the guest speaker for the event.
5. Celebrated Human Rights Day, 2023 on 24th March 2023. Dr. Vahida Nainar, Women's Research & Action Group, Mumbai, was the guest speaker for the event.
6. Organized a series of events including Poetry Recitation Competition, Quiz competition, Story Telling Competition by students and staff on 27th March 2023.



### ACTIVITIES OF THE PLACEMENT CELL

- Workshop on *Data Analysis using 'R'* by Dr. S. Rajaram from 17<sup>th</sup> -21<sup>st</sup> November 2022
- Workshop on “*Data Analysis using Excel*” by Mr. S. Mathuranayagam on 26<sup>th</sup> November 2022
- Training on " *How to market a brand called you* " by Dr. Ashok Thapar on 27<sup>th</sup> November 2022
- Workshop "*Advanced Analysis using Excel*" by Mr. S. Muthuranayagam on 3<sup>rd</sup> December 2022
- Session on “*Soft Skills*” by Mrs. Priya Sawant on 4<sup>th</sup> December 2022
- Session by Piramal Foundation representative about *Gandhi Fellowship* on 25<sup>th</sup> January 2023
- Session on *Personality Development* by Mr. Prashant Borde on 8<sup>th</sup> February 2023
- Session on *Selected Open Access tools for Researchers* by S Sriram on 5<sup>th</sup> April 2023



IIPS students undergoing internship at Tata STRIVE, Mumbai



Participants of the 5-day workshop by placement cell on Data Analysis using R



Participants of the training session on Soft Skills organized by the placement cell

### **DIWALI CELEBRATIONS**

The International Institute for Population Sciences (IIPS) celebrated Diwali on October 24, 2022, with great zeal and enthusiasm. The event was attended by students, faculty, and staff, who participated in various cultural programs and activities. The celebration began with the lighting of Diya's and the performance of traditional puja rituals. The Diwali celebrations at IIPS brought together the community in a spirit of joy and unity. The event provided an opportunity for students to showcase their talents and creativity and helped to foster a sense of belonging among the IIPS members. The celebrations were a huge success, with the attendees appreciating the efforts of the organizers in making the event a memorable one.

### **NEW YEAR CELEBRATIONS**

The International Institute for Population Sciences (IIPS) organized a range of cultural activities at IIPS Park on December 31, 2022, to celebrate the end of the year. The event was inaugurated by the Director & Sr. Prof. K.S. James, along with the Cultural Coordinator, Professor Nandita Saikia, and was well-attended by students, faculty, and staff who participated in various programs with great enthusiasm. The cultural activities included dance performances, singing competitions, skits, and a fashion show. Students from different departments of IIPS showcased their talents and creativity through these activities. The dance performances ranged from classical to contemporary styles and were accompanied by lively music. The singing



competitions saw participants showcasing their vocal range and talent in both solo and group performances. The skits were humorous and thought-provoking, addressing important social issues. The fashion show was the highlight of the event, featuring stunning designs and innovative concepts. The cultural activities provided an excellent platform for the students to showcase their diverse talents and interests, and also fostered a sense of community among the IIPS members. The event was a huge success, with the attendees appreciating the effort and hard work put in by the organizers. It was a memorable way to end the year, bringing together the IIPS community in a fun and celebratory atmosphere.

### **IIPSAA ACTIVITIES**

IIPSAA actively plans several events for students, such as lectures, workshops, and debates, to encourage them to interact with renowned individuals who are accomplished experts in a variety of topics relating to population, health, and its allied areas. The association conducted more than five lectures by renowned speakers from India and abroad during the academic session 2022-23. The IIPSAA lecture series were delivered by speakers like Dr. Shivalingappa Halli, Professor at the University of Manitoba in Canada; Dr. Santosh Jatrana, Professor at James Cook University in Australia, and Dr. Ridhi Kashyap, Professor at Nuffield College, University of Oxford. IIPSAA also conducted a five-day workshop on “**Sampling Methods in Population and Health Research**” from December 12-16, 2022 and marathon for Health Awareness “Run for Health Awareness” in association with USV Private Limited, Mumbai, India.





## NEW FACULTY APPOINTMENT

**Dr. Kunal Keshri**, joined as an Assistant Professor, in the Department of Migration & Urban Studies on October 27, 2022. He had also worked as an Assistant Professor at the G B Pant Social Science Institute, Prayagraj for more than a decade and also as an Associate Professor. He has attained his Master's Degree in Geography from the Delhi School of Economics, University of Delhi, and obtained his M.Phil. and Ph.D. in Population Studies from the International Institute for Population Sciences, Mumbai. His research areas include migration and development, urbanization and environment, and public health with special focus on nutrition, maternal and child health and population ageing. He has published three books and more than 20 research papers in reputed international and national journals and edited books. He has been a consultant to the UNFPA and Population Council. He is a collaborator from India in the Project "International Migration around the Globe (IMAGE)" funded by Queensland Centre for Population Research, The University of Queensland, Australia. He has completed a research project entitled Food Insecurity and Dietary Diversity among Low-Income Migrant Workers Affected by COVID-19 in India (funded by University of Aberdeen, UK) and is currently leading a research project entitled Understanding the Pattern and Processes of Temporary and Permanent Labor Migration from Rural Jharkhand: An Exploratory Study (funded by ICSSR, New Delhi). Dr. Keshri is a Co-PI of the project "A Study of Migration in Mumbai from within Maharashtra" funded by IIPS. He is also one of the conveners of the South Asia Centre for Labour Mobility and Migrants (SALAM) at IIPS.



## SUPERANNUATION OF FACULTY



**Prof. Ram Babu Bhagat**  
Professor  
(Superannuated on 31/10/2022)



**Prof. C. J. Sonowal**  
Professor  
(Superannuated on 31/03/2023)

## SUPERANNUATION OF STAFF



**Mr. S.N. Zore**  
Accountant  
(Superannuated on 31/12/2022)



**Mr. A.R. Nirmal**  
Hindi Officer  
(Superannuated on 01/01/2023)

## APPOINTMENTS

Sl.No	Name of the Employee	Department/Section	Position	Date of Appointment
1	Mr. Vikas K. Wadhav	Accounts	DEO	13-12-2022
2	Ms. Priya S. Mudaliar	Accounts	UDC-cum- Cashier	03-03-2023
3	Mr. Tejas Gadekar	Establishment	UDC	03-04-2023

## PROMOTIONS

Sl.No	Name of the Employee	Department/Section	New Position	Date of Promotion
1	Mr. Dnyandev Gopal Bhovad	Accounts	Accountant	01-01-2023

## **ABOUT THE INSTITUTE**

The International Institute for Population Sciences (IIPS), formerly known as the Demographic Training and Research Centre (DTRC) till 1970, was established in 1956 under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India, and the United Nations. The Institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India.

The Institute served as a regional centre for Training and Research in Population Studies for the ESCAP region. The Institute was re-designated to its present title in 1985 to facilitate the expansion of its academic activities and was declared as a 'Deemed University' in August 19, 1985 under Section 3 of the UGC Act, 1956 by the Ministry of Human Resource Development, Government of India. The recognition has facilitated the award of recognized degrees by the Institute itself and paved the way for further expansion of the Institute as an academic institution. The faculty members and the supporting staff belong to diverse interdisciplinary backgrounds with specialization in some core areas of population sciences, trained in India and abroad.

The Institute is the hub of population and health related teaching and research in India, playing a vital role for planning and development of the country. Students from different countries of Asia and the Pacific region, Africa and North America have been trained at the Institute. The alumni of IIPS hold prestigious positions in national and international organizations, universities, and reputed non-governmental organizations.

The Institute offers different Post-graduate and Doctoral programs and post-doctoral fellowships. After completing the program, students are well prepared for: admission to higher degree programmes in the best universities in the world; a good career in teaching and research; a multi-disciplinary professional career in development organizations; and can work as independent consultants.

### ***IIPS Newsletter***

**Published by Publication Cell**

**International Institute for Population Sciences (IIPS), Deonar, Mumbai - 400 088**

**For further details, visit IIPS website <http://www.iipsindia.ac.in>**





## GENERAL CONDITIONS OF CONTRACT

### CONTRACTS FOR THE PROVISION OF SERVICES

1. **LEGAL STATUS OF THE PARTIES:** UNFPA (a subsidiary organ of the United Nations established by the General Assembly in resolution 3019 (XXVII)) and the Contractor shall also each be referred to as a "Party" hereunder, and:
  - 1.1 Pursuant, *inter alia*, to the Charter of the United Nations and the Convention on the Privileges and Immunities of the United Nations, the United Nations, including its subsidiary organs, has full juridical personality and enjoys such privileges and immunities as are necessary for the independent fulfillment of its purposes.
  - 1.2 The Contractor shall have the legal status of an independent contractor *vis-à-vis* UNFPA, and nothing contained in or relating to the Contract shall be construed as establishing or creating between the Parties the relationship of employer and employee or of principal and agent. The officials, representatives, employees, or subcontractors of each of the Parties shall not be considered in any respect as being the employees or agents of the other Party, and each Party shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.
2. **RESPONSIBILITY FOR EMPLOYEES:** To the extent that the Contract involves the provision of any services to UNFPA by the Contractor's officials, employees, agents, servants, subcontractors and other representatives (collectively, the Contractor's "personnel"), the following provisions shall apply:
  - 2.1 The Contractor shall be responsible for the professional and technical competence of the personnel it assigns to perform work under the Contract and will select reliable and competent individuals who will be able to effectively perform the obligations under the Contract and who, while doing so, will respect the local laws and customs and conform to a high standard of moral and ethical conduct.
  - 2.2 Such Contractor personnel shall be professionally qualified and, if required to work with officials or staff of UNFPA, shall be able to do so effectively. The qualifications of any personnel whom the Contractor may assign or may propose to assign to perform any obligations under the Contract shall be substantially the same, or better, as the qualifications of any personnel originally proposed by the Contractor.
  - 2.3 At the option of and in the sole discretion of UNFPA:
    - 2.3.1 the qualifications of personnel proposed by the Contractor (e.g., a curriculum vitae) may be reviewed by UNFPA prior to such personnel's performing any obligations under the Contract;
    - 2.3.2 any personnel proposed by the Contractor to perform obligations under the Contract may be interviewed by qualified staff or officials of UNFPA prior to such personnel's performing any obligations under the Contract; and,
    - 2.3.3 in cases in which, pursuant to Article 2.3.1 or 2.3.2, above, UNFPA has reviewed the qualifications of such Contractor's personnel, UNFPA may reasonably refuse to accept any such personnel.
  - 2.4 Requirements specified in the Contract regarding the number or qualifications of the Contractor's personnel may change during the course of performance of the Contract. Any such change shall be made only following written notice of such proposed change and upon written agreement between the Parties regarding such change, subject to the following:
    - 2.4.1 UNFPA may, at any time, request, in writing, the withdrawal or replacement of any of the Contractor's personnel, and such request shall not be unreasonably refused by the Contractor.
    - 2.4.2 Any of the Contractor's personnel assigned to perform obligations under the Contract shall not be withdrawn or replaced without the prior written consent of UNFPA, which shall not be unreasonably withheld.
    - 2.4.3 The withdrawal or replacement of the Contractor's personnel shall be carried out as quickly as possible and in a manner that will not adversely affect the performance of obligations under the Contract.

प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / Director & Sr. Professor  
अंतर्राष्ट्रीय जनसंख्या विज्ञान संस्थान  
International Institute for Population Sciences  
गोवर्दी स्टेशन रोड, देवघाट / Govardhi Station Road, Dewghat  
मुंबई / Mumbai - 402 160.



- 2.4.4 All expenses related to the withdrawal or replacement of the Contractor's personnel shall, in all cases, be borne exclusively by the Contractor.
- 2.4.5 Any request by UNFPA for the withdrawal or replacement of the Contractor's personnel shall not be considered to be a termination, in whole or in part, of the Contract, and UNFPA shall not bear any liability in respect of such withdrawn or replaced personnel.
- 2.4.6 If a request for the withdrawal or replacement of the Contractor's personnel is not based upon a default by or failure on the part of the Contractor to perform its obligations in accordance with the Contract, the misconduct of the personnel, or the inability of such personnel to reasonably work together with UNFPA officials and staff, then the Contractor shall not be liable by reason of any such request for the withdrawal or replacement of the Contractor's personnel for any delay in the performance by the Contractor of its obligations under the Contract that is substantially the result of such personnel's being withdrawn or replaced.
- 2.5 Nothing in Articles 2.2, 2.3 and 2.4, above, shall be construed to create any obligations on the part of UNFPA with respect to the Contractor's personnel assigned to perform work under the Contract, and such personnel shall remain the sole responsibility of the Contractor.
- 2.6 The Contractor shall be responsible for requiring that all personnel assigned by it to perform any obligations under the Contract and who may have access to any premises or other property of UNFPA shall:
- 2.6.1 undergo or comply with security screening requirements made known to the Contractor by UNFPA, including but not limited to, a review of any criminal history;
- 2.6.2 when within UNFPA premises or on UNFPA property, display such identification as may be approved and furnished by UNFPA, and that upon the withdrawal or replacement of any such personnel or upon termination or completion of the Contract, such personnel shall immediately return any such identification to UNFPA for cancellation.
- 2.7 Within one working day after learning that any of Contractor's personnel who have access to any UNFPA premises have been charged by law enforcement authorities with an offense other than a minor traffic offense, the Contractor shall provide written notice to inform UNFPA about the particulars of the charges then known and shall continue to inform UNFPA concerning all substantial developments regarding the disposition of such charges.
- 2.8 All operations of the Contractor, including without limitation, storage of equipment, materials, supplies and parts, within UNFPA premises or on UNFPA property shall be confined to areas authorized or approved by UNFPA. The Contractor's personnel shall not enter or pass through and shall not store or dispose of any of its equipment or materials in any areas within UNFPA premises or on UNFPA property without appropriate authorization from UNFPA.

### 3. ASSIGNMENT:

- 3.1 Except as provided in Article 3.2, below, the Contractor may not assign, transfer, pledge or make any other disposition of the Contract, of any part of the Contract, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNFPA. Any such unauthorized assignment, transfer, pledge or other disposition, or any attempt to do so, shall not be binding on UNFPA. Except as permitted with respect to any approved subcontractors, the Contractor shall not delegate any of its obligations under this Contract, except with the prior written consent of UNFPA. Any such unauthorized delegation, or attempt to do so, shall not be binding on UNFPA.
- 3.2 The Contractor may assign or otherwise transfer the Contract to the surviving entity resulting from a reorganization of the Contractor's operations, *provided that*:
- 3.2.1 such reorganization is not the result of any bankruptcy, receivership or other similar proceedings; *and*,
- 3.2.2 such reorganization arises from a sale, merger, or acquisition of all or substantially all of the Contractor's assets or ownership interests; *and*,
- 3.2.3 the Contractor promptly notifies UNFPA about such assignment or transfer at the earliest opportunity; *and*,



3.2.4 the assignee or transferee agrees in writing to be bound by all of the terms and conditions of the Contract, and such writing is promptly provided to UNFPA following the assignment or transfer.

4. **SUBCONTRACTING:** In the event that the Contractor requires the services of subcontractors to perform any obligations under the Contract, the Contractor shall obtain the prior written approval of UNFPA. UNFPA shall be entitled, in its sole discretion, to review the qualifications of any subcontractors and to reject any proposed subcontractor that UNFPA reasonably considers is not qualified to perform obligations under the Contract. UNFPA shall have the right to require any subcontractor's removal from UNFPA premises without having to give any justification therefor. Any such rejection or request for removal shall not, in and of itself, entitle the Contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Contract, and the Contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

5. **INDEMNIFICATION:**

- 5.1 The Contractor shall indemnify, defend, and hold and save harmless, UNFPA, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any third party against UNFPA, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to:

5.1.1 allegations or claims that the possession of or use by UNFPA of any patented device, any copyrighted material, or any other goods, property or services provided or licensed to UNFPA under the terms of the Contract, in whole or in part, separately or in a combination contemplated by the Contractor's published specifications therefor, or otherwise specifically approved by the Contractor, constitutes an infringement of any patent, copyright, trademark, or other intellectual property right of any third party; or

5.1.2 any acts or omissions of the Contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

- 5.2 The indemnity set forth in Article 5.1.1, above, shall not apply to:

5.2.1 A claim of infringement resulting from the Contractor's compliance with specific written instructions by UNFPA directing a change in the specifications for the goods, property, materials, equipment or supplies to be or used, or directing a manner of performance of the Contract or requiring the use of specifications not normally used by the Contractor; or

5.2.2 A claim of infringement resulting from additions to or changes in any goods, property, materials, equipment, supplies or any components thereof furnished under the Contract if UNFPA or another party acting under the direction of UNFPA made such changes.

- 5.3 In addition to the indemnity obligations set forth in this Article 5, the Contractor shall be obligated, at its sole expense, to defend UNFPA and its officials, agents and employees, pursuant to this Article 5, regardless of whether the suits, proceedings, claims and demands in question actually give rise to or otherwise result in any loss or liability.

- 5.4 UNFPA shall advise the Contractor about any such suits, proceedings, claims, demands, losses or liability within a reasonable period of time after having received actual notice thereof. The Contractor shall have sole control of the defense of any such suit, proceeding, claim or demand and of all negotiations in connection with the settlement or compromise thereof, except with respect to the assertion or defense of the privileges and immunities of the United Nations, including its subsidiary organs, or any matter relating thereto, for which only UNFPA itself is authorized to assert and maintain. UNFPA shall have the right, at its own expense, to be represented in any such suit, proceeding, claim or demand by independent counsel of its own choosing.



5.5 In the event the use by UNFPA of any goods, property or services provided or licensed to UNFPA by the Contractor, in whole or in part, in any suit or proceeding, is for any reason enjoined, temporarily or permanently, or is found to infringe any patent, copyright, trademark or other intellectual property right, or in the event of a settlement, is enjoined, limited or otherwise interfered with, then the Contractor, at its sole cost and expense, shall, promptly, either:

5.5.1 procure for UNFPA the unrestricted right to continue using such goods or services provided to UNFPA;

5.5.2 replace or modify the goods or services provided to UNFPA, or part thereof, with the equivalent or better goods or services, or part thereof, that is non-infringing; or,

5.5.3 refund to UNFPA the full price paid by UNFPA for the right to have or use such goods, property or services, or part thereof.

## 6. INSURANCE AND LIABILITY:

6.1 The Contractor shall pay UNFPA promptly for all loss, destruction, or damage to the property of UNFPA caused by the Contractor's personnel or by any of its subcontractors or anyone else directly or indirectly employed by the Contractor or any of its subcontractors in the performance of the Contract.

6.2 Unless otherwise provided in the Contract, prior to commencement of performance of any other obligations under the Contract, and subject to any limits set forth in the Contract, the Contractor shall take out and shall maintain for the entire term of the Contract, for any extension thereof, and for a period following any termination of the Contract reasonably adequate to deal with losses:

6.2.1 insurance against all risks in respect of its property and any equipment used for the performance of the Contract;

6.2.2 workers' compensation insurance, or its equivalent, or employer's liability insurance, or its equivalent, with respect to the Contractor's personnel sufficient to cover all claims for injury, death and disability, or any other benefits required to be paid by law, in connection with the performance of the Contract;

6.2.3 liability insurance in an adequate amount to cover all claims, including, but not limited to, claims for death and bodily injury, products and completed operations liability, loss of or damage to property, and personal and advertising injury, arising from or in connection with the Contractor's performance under the Contract; including, but not limited to, liability arising out of or in connection with the acts or omissions of the Contractor, its personnel, agents, or invitees, or the use, during the performance of the Contract, of any vehicles, boats, airplanes or other transportation vehicles and equipment, whether or not owned by the Contractor; and,

6.2.4 such other insurance as may be agreed upon in writing between UNFPA and the Contractor.

6.3 The Contractor's liability policies shall also cover subcontractors and all defense costs and shall contain a standard "cross liability" clause.

6.4 The Contractor acknowledges and agrees that UNFPA accepts no responsibility for providing life, health, accident, travel or any other insurance coverage which may be necessary or desirable in respect of any personnel performing services for the Contractor in connection with the Contract.

6.5 Except for the workers' compensation insurance or any self-insurance program maintained by the Contractor and approved by UNFPA, in its sole discretion, for purposes of fulfilling the Contractor's requirements for providing insurance under the Contract, the insurance policies required under the Contract shall:

6.5.1 name UNFPA as an additional insured under the liability policies, including, if required, as a separate endorsement under the policy;

6.5.2 include a waiver of subrogation of the Contractor's insurance carrier's rights against UNFPA;

6.5.3 provide that UNFPA shall receive written notice from the Contractor's insurance carrier not less than thirty (30) days prior to any cancellation or material change of coverage; and,

6.5.4 include a provision for response on a primary and non-contributing basis with respect to any other insurance that may be available to UNFPA.





- 6.6 The Contractor shall be responsible to fund all amounts within any policy deductible or retention.
- 6.7 Except for any self-insurance program maintained by the Contractor and approved by UNFPA for purposes of fulfilling the Contractor's requirements for maintaining insurance under the Contract, the Contractor shall maintain the insurance taken out under the Contract with reputable insurers that are in good financial standing and that are acceptable to UNFPA. Prior to the commencement of any obligations under the Contract, the Contractor shall provide UNFPA with evidence, in the form of certificate of insurance or such other form as UNFPA may reasonably require, that demonstrates that the Contractor has taken out insurance in accordance with the requirements of the Contract. UNFPA reserves the right, upon written notice to the Contractor, to obtain copies of any insurance policies or insurance program descriptions required to be maintained by the Contractor under the Contract. Notwithstanding the provisions of Article 6.5.3, above, the Contractor shall promptly notify UNFPA concerning any cancellation or material change of insurance coverage required under the Contract.
- 6.8 The Contractor acknowledges and agrees that neither the requirement for taking out and maintaining insurance as set forth in the Contract nor the amount of any such insurance, including, but not limited to, any deductible or retention relating thereto, shall in any way be construed as limiting the Contractor's liability arising under or relating to the Contract.
7. **ENCUMBRANCES AND LIENS:** The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNFPA against any monies due to the Contractor or that may become due for any work done or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Contractor or UNFPA.
8. **EQUIPMENT FURNISHED BY UNFPA TO THE CONTRACTOR:** Title to any equipment and supplies that may be furnished by UNFPA to the Contractor for the performance of any obligations under the Contract shall rest with UNFPA, and any such equipment shall be returned to UNFPA at the conclusion of the Contract or when no longer needed by the Contractor. Such equipment, when returned to UNFPA, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear, and the Contractor shall be liable to compensate UNFPA for the actual costs of any loss of, damage to, or degradation of the equipment that is beyond normal wear and tear.
9. **COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:**
- 9.1 Except as is otherwise expressly provided in writing in the Contract, UNFPA shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for UNFPA under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract. The Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNFPA.
- 9.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, UNFPA does not and shall not claim any ownership interest thereto, and the Contractor grants to UNFPA a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 9.3 At the request of UNFPA, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNFPA in compliance with the requirements of the applicable law and of the Contract.
- 9.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of UNFPA, shall be made available for use or inspection by UNFPA at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNFPA authorized officials on completion of work under the Contract.
10. **PUBLICITY, AND USE OF THE NAME, EMBLEM OR OFFICIAL SEAL:** The Contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNFPA, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of the United Nations.





and UNFPA, or any abbreviation of the name of the United Nations and UNFPA in connection with its business or otherwise without the written permission of the United Nations and UNFPA.

11. **CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:** Information and data that is considered proprietary by either Party or that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

11.1 The Recipient shall:

- 11.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar Information that it does not wish to disclose, publish or disseminate; and,
- 11.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.

- 11.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 11, the Recipient may disclose Information to:

- 11.2.1 any other party with the Discloser's prior written consent; and,
- 11.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees, officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, *provided that*, for these purposes a controlled legal entity means:
  - 11.2.2.1 a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
  - 11.2.2.2 any entity over which the Party exercises effective managerial control; or,
  - 11.2.2.3 for the United Nations, a principal or subsidiary organ of the United Nations established in accordance with the Charter of the United Nations.

- 11.3 The Contractor may disclose Information to the extent required by law, *provided that*, subject to and without any waiver of the privileges and immunities of the United Nations, including its subsidiary organs, the Contractor will give UNFPA sufficient prior notice of a request for the disclosure of Information in order to allow UNFPA to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

- 11.4 UNFPA may disclose Information to the extent as required pursuant to the Charter of the United Nations, or pursuant to resolutions or regulations of the General Assembly or rules promulgated thereunder.

- 11.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

- 11.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

12. **FORCE MAJEURE; OTHER CHANGES IN CONDITIONS:**

- 12.1 In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the affected Party shall give notice and full particulars in writing to the other Party, of such occurrence or cause if the affected Party is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The affected Party shall also notify the other Party of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the affected Party shall also submit a statement to the other Party of estimated expenditures that will likely be incurred for the duration of the change in condition or the event of *force majeure*. On receipt of the notice or notices required hereunder, the Party not affected by the occurrence



of a cause constituting *force majeure* shall take such action as it reasonably considers to be appropriate or necessary in the circumstances, including the granting to the affected Party of a reasonable extension of time in which to perform any obligations under the Contract.

- 12.2 If the Contractor is rendered unable, wholly or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, UNFPA shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for in Article 13, "Termination," except that the period of notice shall be seven (7) days instead of thirty (30) days. In any case, UNFPA shall be entitled to consider the Contractor permanently unable to perform its obligations under the Contract in case the Contractor is unable to perform its obligations, wholly or in part, by reason of *force majeure* for any period in excess of ninety (90) days.

- 12.3 *Force majeure* as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Contractor. The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in areas in which UNFPA is engaged in, preparing to engage in, or disengaging from any humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas, or to any incidents of civil unrest occurring in such areas, shall not, in and of itself, constitute *force majeure* under the Contract.

### 13. TERMINATION:

- 13.1 Either Party may terminate the Contract for cause, in whole or in part, upon thirty (30) day's notice, in writing, to the other Party. The initiation of conciliation or arbitral proceedings in accordance with Article 16 "Settlement of Disputes," below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract.

- 13.2 UNFPA may terminate the Contract at any time by providing written notice to the Contractor in any case in which the mandate of UNFPA applicable to the performance of the Contract or the funding of UNFPA applicable to the Contract is curtailed or terminated, whether in whole or in part. In addition, unless otherwise provided by the Contract, upon sixty (60) day's advance written notice to the Contractor, UNFPA may terminate the Contract without having to provide any justification therefor.

- 13.3 In the event of any termination of the Contract, upon receipt of notice of termination that has been issued by UNFPA, the Contractor shall, except as may be directed by UNFPA in the notice of termination or otherwise in writing:

- 13.3.1 take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum;
- 13.3.2 refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice;
- 13.3.3 place no further subcontracts or orders for materials, services, or facilities, except as UNFPA and the Contractor agree in writing are necessary to complete any portion of the Contract that is not terminated;
- 13.3.4 terminate all subcontracts or orders to the extent they relate to the portion of the Contract terminated;
- 13.3.5 transfer title and deliver to UNFPA the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the portion of the Contract terminated;
- 13.3.6 deliver all completed or partially completed plans, drawings, information, and other property that, if the Contract had been completed, would be required to be furnished to UNFPA thereunder;
- 13.3.7 complete performance of the work not terminated; and,
- 13.3.8 take any other action that may be necessary, or that UNFPA may direct in writing, for the minimization of losses and for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which UNFPA has or may be reasonably expected to acquire an interest.





- 13.4 In the event of any termination of the Contract, UNFPA shall be entitled to obtain reasonable written accountings from the Contractor concerning all obligations performed or pending in accordance with the Contract. In addition, UNFPA shall not be liable to pay the Contractor except for those goods delivered and services provided to UNFPA in accordance with the requirements of the Contract, but only if such goods or services were ordered, requested or otherwise provided prior to the Contractor's receipt of notice of termination from UNFPA or prior to the Contractor's tendering of notice of termination to UNFPA.
- 13.5 UNFPA may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that:
- 13.5.1 the Contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, or applies for a moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent;
  - 13.5.2 the Contractor is granted a moratorium or a stay, or is declared insolvent;
  - 13.5.3 the Contractor makes an assignment for the benefit of one or more of its creditors;
  - 13.5.4 a Receiver is appointed on account of the insolvency of the Contractor;
  - 13.5.5 the Contractor offers a settlement in lieu of bankruptcy or receivership; or,
  - 13.5.6 UNFPA reasonably determines that the Contractor has become subject to a materially adverse change in its financial condition that threatens to substantially affect the ability of the Contractor to perform any of its obligations under the Contract.
- 13.6 Except as prohibited by law, the Contractor shall be bound to compensate UNFPA for all damages and costs, including, but not limited to, all costs incurred by UNFPA in any legal or non-legal proceedings, as a result of any of the events specified in Article 13.5, above, and resulting from or relating to a termination of the Contract, even if the Contractor is adjudged bankrupt, or is granted a moratorium or stay or is declared insolvent. The Contractor shall immediately inform UNFPA of the occurrence of any of the events specified in Article 13.5, above, and shall provide UNFPA with any information pertinent thereto.
- 13.7 The provisions of this Article 13 are without prejudice to any other rights or remedies of UNFPA under the Contract or otherwise.
14. **NON-WAIVER OF RIGHTS:** The failure by either Party to exercise any rights available to it, whether under the Contract or otherwise, shall not be deemed for any purposes to constitute a waiver by the other Party of any such right or any remedy associated therewith, and shall not relieve the Parties of any of their obligations under the Contract.
15. **NON-EXCLUSIVITY:** Unless otherwise specified in the Contract, UNFPA shall have no obligation to purchase any minimum quantities of goods or services from the Contractor, and UNFPA shall have no limitation on its right to obtain goods or services of the same kind, quality and quantity described in the Contract, from any other source at any time.
16. **SETTLEMENT OF DISPUTES:**
- 16.1 **AMICABLE SETTLEMENT:** The Parties shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof. Where the Parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the Parties in writing.
  - 16.2 **ARBITRATION:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim measures") and Article 34 ("Form and effect of the award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in





the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17. **PRIVILEGES AND IMMUNITIES:** Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18. **TAX EXEMPTION:**

18.1 Article II, Section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties, and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of UNFPA from such taxes, restrictions, duties, or charges, the Contractor shall immediately consult with UNFPA to determine a mutually acceptable procedure.

18.2 The Contractor authorizes UNFPA to deduct from the Contractor's invoices any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNFPA before the payment thereof and UNFPA has, in each instance, specifically authorized the Contractor to pay such taxes, duties, or charges under written protest. In that event, the Contractor shall provide UNFPA with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized, and UNFPA shall reimburse the Contractor for any such taxes, duties, or charges so authorized by UNFPA and paid by the Contractor under written protest.

19. **MODIFICATIONS:**

19.1 Pursuant to the Financial Regulations and Rules of UNFPA, only the Chief of the Procurement Services Branch of UNFPA or such other contracting authority as made known to the Contractor in writing, possesses the authority to agree on behalf of UNFPA to any modification of or change in the Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in the Contract shall be valid and enforceable against UNFPA unless provided by a valid written amendment to the Contract signed by the Contractor and the Chief of the Procurement Services Branch of UNFPA or such other contracting authority.

19.2 If the Contract shall be extended for additional periods in accordance with the terms and conditions of the Contract, the terms and conditions applicable to any such extended term of the Contract shall be the same terms and conditions as set forth in the Contract, unless the Parties shall have agreed otherwise pursuant to a valid amendment concluded in accordance with Article 19.1, above.

19.3 The terms or conditions of any supplemental undertakings, licenses, or other forms of agreement concerning any goods or services provided under the Contract shall not be valid and enforceable against UNFPA nor in any way shall constitute an agreement by UNFPA thereto unless any such undertakings, licenses or other forms are the subject of a valid amendment concluded in accordance with Article 19.1, above.

20. **AUDITS AND INVESTIGATIONS:**

20.1 Each invoice paid by UNFPA shall be subject to a post-payment audit by auditors, whether internal or external, of UNFPA or the United Nations or by other authorized and qualified agents of UNFPA or the United Nations at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. UNFPA shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by UNFPA other than in accordance with the terms and conditions of the Contract.

20.2 UNFPA may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Contractor generally relating to performance of the Contract at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract.

20.3 The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNFPA access to the Contractor's premises at reasonable times and on



reasonable conditions in connection with such access to the Contractor's personnel and relevant documentation. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNFPA or the United Nations hereunder.

## 21. LIMITATION ON ACTIONS:

21.1 Except with respect to any indemnification obligations in Article 5, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 16.2, above, arising out of the Contract must be commenced within three years after the cause of action has accrued.

21.2 The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins.

22. **ESSENTIAL TERMS:** The Contractor acknowledges and agrees that each of the provisions in Articles 23 to 28 hereof constitutes an essential term of the Contract and that any breach of any of these provisions shall entitle UNFPA to terminate the Contract or any other contract with UNFPA immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

23. **SOURCE OF INSTRUCTIONS:** The Contractor shall neither seek nor accept instructions from any authority external to UNFPA in connection with the performance of its obligations under the Contract. Should any authority external to UNFPA seek to impose any instructions concerning or restrictions on the Contractor's performance under the Contract, the Contractor shall promptly notify UNFPA and provide all reasonable assistance required by UNFPA. The Contractor shall not take any action in respect of the performance of its obligations under the Contract that may adversely affect the interests of UNFPA, and the Contractor shall perform its obligations under the Contract with the fullest regard to the interests of the United Nations and UNFPA.

24. **OFFICIALS NOT TO BENEFIT:** The Contractor warrants that it has not and shall not offer to any representative, official, employee, or other agent of UNFPA any direct or indirect benefit arising from or related to the performance of the Contract or of any other contract with UNFPA or the award thereof or for any other purpose intended to gain an advantage for the Contractor.

25. **OBSERVANCE OF THE LAW:** The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract. In addition, the Contractor shall maintain compliance with all obligations relating to its registration as a qualified vendor of goods or services to UNFPA, as such obligations are set forth in the United Nations and UNFPA vendor registration procedures.

26. **CHILD LABOR:** The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiary or affiliated entities (if any) is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, *inter alia*, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

27. **MINES:** The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiaries or affiliated entities (if any) is engaged in the sale or manufacture of anti-personnel mines or components utilized in the manufacture of anti-personnel mines.

## 28. SEXUAL EXPLOITATION:

28.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by its employees or any other persons engaged and controlled by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all reasonable and appropriate measures to prohibit its employees or other persons engaged and controlled by it from exchanging any money, goods, services, or other things of value, for sexual favors or activities, or from engaging any sexual activities that are exploitive or degrading to any person.

- 28.2 UNFPA shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

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## Terms of Reference

### **Integrating Aging Research in Policy and Program in India**

#### **A. Background**

UNFPA is the lead agency on population data and its use and advocates that everyone everywhere is counted and accounted for, in the pursuit of sustainable development. It promotes a better understanding of linkages between population dynamics and the achievement of the sustainable development goals to inform national and state policies and programmes. The core output of the UNFPA's work in the Population and Development is to strengthen national capacities to include population dynamics in sustainable development planning efforts and in rights based policies and programmes at national and state levels by a) improving the quality of data and its use including the data on SDG; and b) evidence generation and advocacy on current and emerging population issues such as demographic dividend, ageing, urbanisation etc.

India has witnessed remarkable changes in demographic arena in recent decades. From a high fertility-mortality scenario to one of low fertility-mortality, India has witnessed significant changes in the age structure of population. With 30 per cent of country's population in the age group 10-24 years, India is the youngest nation in the world. But on the other hand is the issue of ageing. Though currently only 8.4 percent population in India is above the age of 60 years but this amounts to approximately 102 million people in actual numbers. The population projections by UNFPA show that the elderly population (of and above 60 years) will increase from the 104 million in 2011 to 425 million in India by 2061. Every fourth person in India in 2061 will be of 60 years or more. Due to the sheer large number as well as diversity of conditions of the elderly people, ageing is going to assume even more importance in the years to come, UNFPA plans to deepen its engagement with Ageing through evidence generation for policy advocacy.

#### **B. Objectives**

The key objective of the service contract will be to strengthen the evidence on ageing, explore setting up of a centre on ageing and publication of the India Aging Report 2021, policy relevant evidence generation based on the newly released LASI data and to build the capacities of young scholars in the area of ageing.

#### **C. Key Tasks**

The selected institution will be required to undertake the following tasks:

- 1) India Ageing Report - finalize various chapters prepared by experts, finalize India Ageing Report 2021 including edit, layout design and printing. In addition, the institution will also endeavour to disseminate the India Aging Report 2021 through different media and on different online platforms.
- 2) Publication of a Series on LASI Data – Develop four policy relevant technical papers on data from LASI. The work will include conceptualisation, literature review, data analysis, writing, editing and publication. The selected institution will also encourage and guide young scholars to use LASI data for relevant policy and programmatic research by inviting research proposals from them. Selected three proposals to be extended technical guidance and financial support to complete and publish the paper.

प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / Director & Sr. Professor  
अंतर्राष्ट्रीय जनसंख्या विज्ञान संस्थान



International Institute for Population Sciences  
गोवर्दा स्ेशन रोड, देणार / Govardi Station Road, Deonar  
मुंबई / Mumbai - 400 059.



**D. Deliverables & completion timelines:**

- 1) India Ageing Report 2021 (To be designed and published by January, 2022)
- 2) Three papers on data from LASI (To be completed by March, 2022)
- 3) Finalization of three papers by young researchers (To be completed by March, 2022)

**E. Duration:**

1<sup>st</sup> July 2021 to 31st March 2022

**F. Payment Terms**

Payments will be made against the deliverables given below and on submission of a Tax invoice in favour of UNFPA including UNFPA GST Number.

Payments and Deliverables:

1. 40% on receipt of the following deliverables:
  - a. Outline of the India Ageing Report
  - b. Scope and outline of the three papers on LASI data
2. 40% on receipt of the following deliverables:
  - a. Draft of India Aging Report 2021
  - b. Tabulation of three thematic papers using LASI data
  - c. Three research proposal by young researchers
3. 10% on receipt of following deliverables:
  - a. Designed India Aging Report
4. 10% on receipt of following deliverables:
  - a. Final three thematic papers
  - b. Research articles by three young researchers



प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
डिरेक्टर एवं सিনিअर प्रोफेसर / Director & Sr. Professor  
अन्तर्राष्ट्रीय जनसंख्या विज्ञान संस्थान  
International Institute for Population Sciences  
गोवंडी स्टेशन रोड, देनार / Govandi Station Road, Deonar  
मुंबई / Mumbai - 400 068.

UNICEF/Nutrition/2020  
7 September 2020

To,  
Dr. Sayeed Unisa  
PI-Swabhimaan Project  
And Head of Dept. of Mathematics  
Lady Irwin College, New Delhi

**Sub: Endline Survey- Swabhimaan**  
**Your proposal received by us on 29.7.2020**

Dear Dr. Unisa,

Thank you for your proposal for endline survey for swabhimaan for Bihar state for the period October 2020-April, 2021 for 47,59,400 INR (~61,000 USD) in three phases –

Phase	Activity	Time period	Amount
I	Preparation, ethical approval, mapping and listing and TOT	October-December 2020	7,21,050 INR
II	Data collection and Cleaning	January-February 2021	34,75,300 INR
III	Data Entry, Analysis and Fact Sheet Development and power point for dissemination	March-April, 2021	5,63,050 INR
			47,59,400 INR

We agree and shall be releasing the funds, for phase I at this stage. You are kindly requested to share the FACE form.

Yours Sincerely,

(Robert Johnston )   
OIC,  
Nutrition Section, UNICEF India  
[rojohnston@unicef.org](mailto:rojohnston@unicef.org)

## **PROJECT PROPOSAL**

**ENDLINE SURVEY for SWABHIMAAN Women's Nutrition Demonstration Programme  
in BIHAR**

Submitted to

**UNICEF India**

By

**International Institute for Population Sciences, Mumbai**



Principal Investigator

**Prof. Sayeed Unisa, Professor, IIPS**

Co-Principal Investigators for Endline survey

**Dr. Reshmi R.S., Assistant Professor, IIPS**

**Dr. L.K. Dwivedi, Assistant Professor, IIPS**

**Dr. Sarang Pedgaonkar, Assistant Professor, IIPS**

**October 2020-April 2021**



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## **SWABHIMAAN (2016-2021)**

### **1. BACKGROUND**

The Swabhimaan evaluation design is a prospective, non-randomized controlled evaluation. It evaluates the delivery of a package of 18 essential nutrition (specific and sensitive) interventions via Aajeevika promoted village organisations (federation of women self-help groups) to improve the nutrition status of girls' and women (before conception, during pregnancy and after birth) in three Indian states: Bihar, Chhattisgarh and Odisha in 2016-2017.

The target groups focussed interventions are delivered through systems-based and Village Organizations (VO) led approaches grouped under four pillars such as system strengthening, VO led interventions for adolescents, women and village Resource Person (VRP)/women farmers club. The geographical sites of Swabhimaan are all 356 revenue villages of five scheduled caste/tribe dominated blocks of four districts (Bihar: Purnea; Chhattisgarh: Bastar; Odisha: Angul and Koraput).

UNICEF India started a partnership with the International Institute for Population Sciences (IIPS) in 2016 for the baseline survey of Swabhimaan demonstration programme. IIPS is playing a crucial role in the impact evaluation of Swabhimaan intervention. In the baseline evaluation survey conducted during 2016-2017, IIPS was the lead technical support agency of Swabhimaan Women's Nutrition Demonstration Programme. IIPS team published technical papers along with UNICEF and AIIMS using baseline data, technical papers in peer-reviewed international journals in the area of nutrition, WASH, and women empowerment.

In order to examine the intervention process and the extent of the reach of beneficiaries, UNICEF entrusted IIPS for conducting the Midline process evaluation survey which was conducted during September 2018 to June 2019. A mixed method design approach was used which comprised of a cross-sectional survey and qualitative data collection in five blocks of three Indian states (Bihar, Chhattisgarh, and Odisha). The cross-sectional survey aimed to assess the system strengthening process and coverage of VO led interventions among beneficiaries. Qualitative data collection included in-depth interviews and focus group discussions of target groups, community cadres and service providers. Dissemination of the

midline survey findings in Bihar was conducted on November 13, 2019 in Bihar. Dissemination of the midline survey findings in Chhattisgarh and Odisha were done on 10<sup>th</sup> and 17<sup>th</sup> December 2019, respectively.

### **1.1 About the IIPS**

The International Institute for Population Sciences (IIPS) serves as a regional Institute for Training and Research in Population Studies for the ESCAP region. It has been started in 1956 under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India and the United Nations. It was declared as a 'Deemed University' on August 19, 1985. The Institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India. The functions of the IIPS can be classified into four categories: teaching, research, consultative services, and documentation. The institute has seven academic departments with well-qualified faculty members.

The Institute has the expertise and vast experience of carrying out various large-scale population-based research projects. The most prominent among them are the National Family Health Survey (NFHS), District Level Household Survey (DLHS), Concurrent Evaluation of National Rural Health Mission, and Global Tobacco Survey.

IIPS has completed comprehensive nutrition surveys in the states of Maharashtra and Gujarat namely Comprehensive Nutrition Survey in Maharashtra (CNSM), Comprehensive Nutrition Survey in Gujarat (CNSG). UNICEF funded CNSM project in Maharashtra, and CNSG project was funded by Department of Women and Child Development, Government of Gujarat. These surveys provided valuable data on the nutrition status of under two/five children, mothers, and feeding/food intake practices, food consumption pattern, diet during pregnancy and lactation, health and hygiene related parameters, food security at household level and status of *Anganwadis*.

Previously, IIPS had provided technical support for the Swabhimaan baseline survey. Later, the midline process evaluation of the Swabhimaan intervention program in Bihar, Chhattisgarh and Odisha has also been carried out by IIPS.

### **1.2 About SWABHIMAAN**

SWABHIMAAN multi-state, multi-sector women's nutrition demonstration intervention programme with direct cash grant was started with an aim to improve the nutritional status of



adolescent girls, pregnant women and mothers of children under two years, covering the most vulnerable populations in National Rural Livelihood Mission (NRLM) resource blocks.

The impact evaluation of this project was led by AIIMS in Bihar, Odisha and Chhattisgarh with technical support from IIPS and University College London (UCL). The data collection of the baseline (2016) and midline surveys in all the three states were conducted between July-December 2016 and September 2018-June 2019, respectively and the results of the surveys were disseminated.

Interventions which can improve nutrition of women are well known (lancet nutrition services, 2013). Delivery mechanisms to deliver these interventions also exist but are managed by at least five departments (Social Welfare, Civil supplies, Rural development, Public Health Engineering-PHED and Health), with weak or no institutional convergence mechanisms. Additionally, some of the critical interventions are not included in the antenatal package (e.g. maternal calcium supplementation, maternal deworming). There is also no mechanism to identify pregnant women at nutrition risk and providing them a special package of feeding and care. Those nutrition interventions that are present also need quality strengthening to address operational challenges in service delivery owing to capacity building, monitoring and huge vacancy load.

One delivery platform untapped to reach out to adolescents and pregnant women with special package of reproductive, health and nutrition messages as well as services is the women Self-Help Groups (SHGs) and Village Organizations (VO) under the NRLM. Evidence suggests that these community organisations and their federations have the potential to manage grants for improving last mile delivery of essential nutrition services for women, provided they are enabled, supervised, and provided protection against violence and exploitation (UNICEF, 2016).

**Community cash grant is a mechanism by which organised community groups, with active bank accounts, such as VOs directly receive and manage money to deliver services as per community needs-based plans approved by the funding agency.**

In context to Indian public health services, the field workers critical to improve the last mile delivery of health services and undertaking a range of activities like community mobilisation, counselling, record keeping, to name a few, have largely been considered

honorary workers. SWABHIMAAN project shifts this approach of strengthening the last mile delivery of services into an invested service that can be sustained through VO's and higher federated institutions rather than being voluntary work. Some of the basic findings of the SWABHIMAAN baseline survey are given below

### 1.3 SWABHIMAAN Surveys in Bihar

The baseline survey of Swabhimaan in Bihar was conducted during July to December 2016. A sample of 1704 adolescent girls (10-19 years), 936 pregnant women (15-49 years) and 2612 mothers of children under age two years (15-49 years) were interviewed from Jalalgarh and Kasba blocks of Purnea district. The dissemination of findings from the baseline survey in Bihar was held on 12<sup>th</sup> July 2017. Swabhimaan midline survey was done during September to December 2018 in Bihar. A total of 963 adolescent girls (10-19 years), 628 pregnant women (15-49 years), 1042 mothers of children under age two years (15-49 years) and their children were interviewed from Jalalgarh and Kasba blocks of Purnea district.

**Table 1: Key nutrition outcomes and coverage of selected nutrition relevant services, Swabhimaan baseline (2016) and midline surveys (2018), Bihar.**

Indicators	Intervention		Control	
	Baseline (%)	Midline (%)	Baseline (%)	Midline (%)
Stunting (adolescent girls aged 10-19 years)	43.5	29.9	42.2	33.4
Chronic under nutrition (mother), BMI <18.5 kg/m <sup>2</sup>	45.2	42.0	44.8	42.1
Pregnant women with MUAC 23 cm and above	49.8	63.6	42.4	63.5
Use of modern contraceptives (mother)	9.2	13.5	7.7	10.7
Pregnant women receiving antenatal check-ups in first trimester	36.3	39.5	33.5	35.1
<i>Pregnant women</i>	25.1	26.5	22.3	17.5
<i>Mother of children under two years</i>	24.9	27.7	25.3	33.5
Access to improved sanitation facility#/ no open defecation				
<i>Adolescent</i>	25.5	40.1	15.7	43.0
<i>Pregnant women</i>	21.4	40.1	8.8	32.5
<i>Mother of children under two years</i>	20.4	30.1	9.3	27.0
Adolescent girls who have attended at least two Kishori group meetings in the six months preceding the survey*	1.1	19.0	-	-
Self Help Group (SHG) membership				
<i>Pregnant women</i>	27.6	34.3	17.7	25.0
<i>Mother of children under two years</i>	35.4	43.7	24.1	26.6
VHSND				

<i>Pregnant women</i>	9.2	49.1	7.3	38.8
<i>Mother of children under two years</i>	7.1	51.5	6.4	40.8
Source: SWABHIMAAN Baseline Survey, Bihar 2016 and Midline Survey, Bihar 2018. * Intervention activity specific to intervention areas only.				

## 2 OBJECTIVES AND ACTIVITIES OF IIPS FOR ENDLINE SURVEY, BIHAR

The main objectives of the IIPS are:

1. To assess the reduction in the proportion of adolescent girls and mothers of children under two years with a BMI<18.5.
2. To examine the improvement in mean mid-upper arm circumference (MUAC) among pregnant women over the intervention period (2016-2021).
3. To compare the baseline and endline data for estimating improvements in the coverage of key nutrition-specific and nutrition-sensitive interventions.
4. To assess the change in utilization of maternal health and nutrition services before and after the COVID 19 pandemic.

Activities of IIPS for the endline survey are:

1. To formulate sampling design and carry out sampling for selection of sample for the endline survey in Bihar.
2. To review and modify tools and instruments for the endline survey of Swabhimaan programme.
3. To organise training of mapper listers and field investigators for endline survey in Bihar.
4. To conduct the endline survey of the Swabhimaan programme in Bihar.
5. To validate and manage data and prepare fact sheets for Bihar.

## 3. TECHNICAL ADVISORY GROUP

A Technical Advisory Group (TAG) will be constituted to guide and approve the survey design, tools, and protocols for the proposed Endline Impact Evaluation of SWABHIMAAN Demonstration Programme. The members will include technical experts in nutrition, intervention, sampling and survey methodology. The TAG will meet to review and approve methodology, survey protocols, monitor progress and review survey findings.



Under the overall guidance of the UNICEF, TAG, a national and an international consultant, the proposed endline survey will be carried out by the team of IIPS consisting of Faculty members, Junior Project Manager (JPM), Programmer and Data Analysts.

#### **4. PHASE I: PREPARATORY WORK FOR THE ENDLINE SURVEY**

The phase I will be carried out during October to December 2020. The following specific activities are envisaged by IIPS in phase I:

##### **4.1 Preparing Protocol**

Principal Investigator (PI), Co-Principal Investigators (Co-PIs) and research staff will revise the tools and instruments of the Swabhimaan to make necessary modifications for the endline survey as discussed below.

##### **4.1.1 Discussion with Survey Collaborators**

Meeting will be arranged with UNICEF and State Rural Livelihood Mission officials to discuss the current situation in the study area. This discussion will also account for any amendments made to the Swabhimaan programme, challenges, or issues particularly post Covid-19 outbreak that collaborators would like to address through the endline questionnaire.

##### **4.1.2 Sampling and Sample Size**

The estimated sample size for the endline survey in Bihar will be same as the baseline survey for comparison purposes. However, the sampling design and sample selection technique will be modified to study the impact evaluation of system strengthening and community action interventions. PI and Co-PI along with an external sampling expert will formulate an appropriate sampling design for the representative selection of the respondents from each target group in Bihar.

**Table 2. Sample size of target groups**

Sample Size	Intervention (Estimated)	Control (Estimated)	Total
Adolescent girls	875	875	1750
Pregnant women	374	374	748
Mothers of children under 2	1424	1424	2848

#### 4.1.3 Tools for Data Collection

Quantitative data will be collected using Computer Assisted Personal Interview (CAPI). The questionnaires of baseline and midline surveys will be reviewed to finalize the tools for the endline impact evaluation. The quantitative data tools will include separate structured and bilingual questionnaire for each target group. Additionally, there will be a separate interview schedule for household information.

Interview schedules: 1. Household schedule

2. Adolescent girls schedule

3. Pregnant women schedule

4. Mothers of children under two years schedule

Additionally, qualitative data from the officials (SPMU, DPMU, BPMU etc.) will be collected using telephonic interviews.

#### 4.1.4 Coverage of Indicators in Beneficiary Survey

Identification of adolescent girls and women beneficiaries in the target groups will be done by Mapping and Listing. From each target group following information will be collected

**Table 3. Coverage of Indicators in Beneficiary Survey**

**ADOLESCENT GIRLS (aged 10-19 years):** *unmarried, not pregnant and not the mother of a child under two years*

1. Mean Dietary Diversity Score
2. Dietary Diversity Score (5 out of 10 food groups)
3. Consumed four or more IFA tablets in the month preceding the survey
4. Living in a household with iodized salt
5. Living in food secure households
6. Living in households with a kitchen garden
7. Living in households with a toilet or covered pit latrine

8. Using safe pads or sanitary pads
9. Accessing adolescent health services (Kishori Diwas) in six months preceding the survey
10. Attended at least three Kishori meetings in six months
11. Questions related to intervention activities

**PREGNANT WOMEN (aged 15-49 years):** *if she is pregnant, a girl or woman will join this category whether she is an adolescent or the mother of any child under two*

1. Pregnant women in the 2<sup>nd</sup> or 3<sup>rd</sup> trimester consuming at least 25 IFA tablets in the month preceding the survey (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
2. Mean Dietary Diversity Score
3. Dietary Diversity (5 out of 10 food groups)
4. Living in a household with iodized salt
5. Living in food secure households
6. Living in households with a kitchen garden
7. Living in households with a toilet or covered pit latrine
8. Received ICDS entitlement for supplementary food in month preceding the survey (Pregnant women entitled to ICDS rations)
9. Had one antenatal check-up in the first trimester
10. Weighed at least once in first trimester
11. Received one dose of albendazole in second trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
12. Taken two calcium tablets in 2<sup>nd</sup> trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
13. Below the age of eighteen

**MOTHERS OF CHILDREN UNDER TWO YEARS (aged 15-49 years)**

1. Mean Dietary Diversity Score
2. Receiving minimum Dietary Diversity (5 out of 10 food groups)
3. Living in a household with iodized salt
4. Living in food secure households
5. Living in households with a kitchen garden
6. Living in households with a toilet or covered pit latrine
7. Receiving minimum PDS entitlement in month preceding survey
8. Receiving ICDS entitlement for supplementary food in month preceding survey
9. Received at least four ANC in last pregnancy
10. Consumed 100 or more IFA tablets during last pregnancy
11. Weighed at least four times in last pregnancy
12. Using a modern family planning method
13. Accessed at least one of three social protection schemes (JSY, Adarsh Dampati Yojana)
14. Delivered in a health facility in last pregnancy
15. Attended at least three Maitri baithak meetings and three VHND meetings in last year
16. Attended at least three Maitri baithak meetings and three VHND meetings in last year (As above, from underprivileged groups)



17. Members of women's Ag-producer groups and have adopted at least 1 mix micronutrient-rich cropping methods, against previous practice (Mothers of children under two, who are members of farmer producer groups)
18. Questions related to intervention activities

Also, any suggestions risen out of the meeting with survey collaborators will be included in the endline survey questionnaire. Possible inclusion of questions addressing impact of COVID-19 on the services and their utilisation.

#### **4.1.5 Pre-testing of Survey Instruments**

To understand the pattern of questions, skip and filter pattern or any other problem in understanding or administration of questionnaires, a pretesting of questionnaires will be done in Kasba and Jalalgarh blocks of Purnea district in Bihar. Further modifications will be done in the questionnaires based on the results of pre-testing.

#### **4.1.6 Ethical Consideration**

- An Institutional Review Board (IRB) meeting will be held, and survey protocol will be presented in the meeting for the approval. Ethical aspects such as privacy, confidentiality, anonymity, informed consent, and right to withdraw from participation will be included in the ethical disclosure of survey protocol.
- Key personnel are assigned solely for implementation of this project. Any change will be made in consultation with UNICEF. Proper financial and accounting records will be maintained.

### **5. PHASE II: IMPLEMENTATION OF THE ENDLINE SURVEY IN BIHAR**

#### **5.1 Team Composition**

All the administrative work related to the project will be the responsibility of the Co-PI, JPM, and Data analyst. This team will be responsible for monitoring all administrative and field activities of the project including accounting, preparation of budget, face form, Statement of Expenditure (SOE), project-related communication and purchases, advertisement, appointments, and meetings and programmes in the field level. The field related work of the project will be co-ordinated by the JPM and Programmer. Swabhimaan cadre will conduct the listing operation for the endline survey in Bihar. The interviews will be carried out by 24 field investigators and supervised by the JPMs and other IIPS staff and officials. PI, Co-PIs,

Data Analysts/Technical Writers, and Experts will review the protocols, monitor field activities and prepare and review the factsheets.

## **5.2 Training**

The training will be conducted at two levels:

- Training for mapping and listing will be carried. Post-training, mappers and listers will be sent to nearby village for field practice and the errors and issues will be discussed with the field staff and Co-PIs and resolved.
- Training of field investigators will be conducted on quantitative interview techniques, data collection tools, and anthropometric data collection. Apart from this, training of CAPI will be given to the field investigators. The standardised training manuals will be developed for conducting the training. Field investigators will be trained in the gold standard procedure for anthropometric measurements.
- A core team of PI, Co-PIs, JPM and programmer along with the support of the state-level staff from UNICEF will facilitate and execute the training programmes.
- Only those investigators who meet the standard eligibility criteria given in the advertisement will be recruited for the data collection activity.

## **5.3 Data Collection**

The qualitative and quantitative data collections are proposed to be conducted during January to February 2021. Informed consent will be taken from the respondents before filling the tool. In case of adolescent girls an informed consent from the guardian and assent of participants' will also be taken before interview.

## **5.4 Field Monitoring**

The quality of data being collected will be regularly monitored using checklists and specially developed formats for same, by the project officials placed in the states as well as regular field visits by PI and Co-PI from IIPS. At the beginning of the survey, 2% of spot checks will be done to correct any errors done by the investigators. IIPS will do the back-check of previously collected data. Overall, back-checks will be done for 3% of the total sample (i.e. adolescent girls, pregnant women and mothers with children under two years). Specially developed back check formats will be used to check the consistency of information collected by the investigators.

**Table 4. Sample Size and Estimated Checks by IIPS**

Target Groups	Sample Size	Quality check	
		Back Check (3%)	Spot Check (2%)
Adolescent girls	1750	53	35
Pregnant women	748	22	15
Mothers of children under 2	2848	85	57
Total	5346	160	107
Total sample for quality assurance		267	

**Table 5. Field and Data Monitoring Components**

Key features	Process	Components	IIPS
Handholding	Spot-checks tables – Initial stage of survey	Spot-Checks and reorientation	Coordinator and JPM
Validation	Back-checks tables – Daily	Matching with soft data and filled questionnaire	JPM, Programmer Matching by IIPS
Validity and consistency	Field-checks tables- teams Weekly	Household, and target groups response and measurement	Data Analyst/Technical Writer, Co-PI
Validation and consistency	Data consistency intervention and control villages Bi-weekly	Higher level analysis of age and anthropometric	Data Analyst/Technical Writer and PI/CO- PI/JPM

Each interviewer should be regularly observed during field work as spot checks. To accomplish this, JPM, Programmer, quality assurance team, and senior staff will have to be present during interviews and give feedback to interviewers. They will not interrupt during the interview, rather save their comments and give feedback to the interviewer after the interview is over. In addition, throughout the course of the fieldwork, field editors should observe at least one interview per day.

JPM and Programmer will share the task to ensure that all filled in schedules are thoroughly scrutinized, and all errors are tactfully discussed with the interviewer.

## 5.5 Data Quality Analysis

Computer Assisted Personal Interviewing (CAPI) will be done in all three states. CAPI surveys have shown to improve data quality through less missing data and fewer errors due to functions built into the data entry programme. Data will be uploaded directly into the database. IIPS research team will carry out cleaning of data, validation of data and checking for internal consistency and management of missing cases. Team wise age and outcome



variable frequencies will be checked. The pattern of missing cases by caste/tribe and economic characteristics will be examined.

## **6. PHASE III: DATA ANALYSIS AND DISSEMINATION**

During the third and final phase the gathered data will be analysed, compiled in factsheets and the findings will be shared with stakeholders, researchers, and others.

### **6.1 Analysis and Management of Data**

IIPS will develop a tabulation plan and indicators for the fact sheets. The validity of indicators will be tested, and confidence interval will be provided for all outcome indicators. Comparison will be made with available data sets from the baseline surveys. Household files will be merged with the individual files of target groups. IIPS will convert the data into different formats (SPSS and STATA) for public use.

### **6.2 Fact Sheet and Dissemination of Results**

IIPS will prepare facts sheet for Bihar based on quantitative data analysis. Three fact sheets will be prepared one for each block (Kasba and Jalalgarh) and one for district (Purnea). Dissemination of fact sheets will be done at the state and the national level.

## **7. LIMITATION**

It is important to note that till March 2020 the endline data may reflect the real scenario in the study area; however, due to the COVID 19 crisis, we might not get the true impact of interventions in the subsequent months and also a considerable change in the study indicators can be expected.

## **8. MODE OF PAYMENT**

The release of funds to IIPS from UNICEF for endline survey of Swabhimaan will be done according to the requirement.

## **9. LOGISTIC SUPPORT FROM UNICEF**

To carry out anthropometric measurements of, IIPS team will need stadiometers, weighing machines and MUAC tapes from UNICEF Office.

## 10. PROJECT DURATION AND TIMELINE (October 2020- April 2021)

The assignment of IIPS for the SWABHIMAAN Program Endline Impact Evaluation would be implemented from the month of October 2020 and it will be completed by April 2021. The details are given as under along with timeline.

## 11. DELIVERABLES FROM IIPS

Activity	Duration	Deliverables
Phase I: Preparatory work	Oct-Dec 2020	<ul style="list-style-type: none"><li>• Revision of protocol: meeting with collaborators, questionnaire, pre-testing, sampling</li><li>• TAG meeting for protocol</li><li>• IRB approval for study</li></ul>
Phase II: Implementation of Bihar endline survey	Jan-Feb 2021	<ul style="list-style-type: none"><li>• Phone-based qualitative data collection</li><li>• Training of trainers and investigators</li><li>• Conducting endline survey in Bihar</li></ul>
Phase III: Data analysis and Dissemination	Mar-Apr 2021	<ul style="list-style-type: none"><li>• Data cleaning, analysis, and management</li><li>• Preparing fact sheets</li><li>• Preparing PPT for dissemination</li></ul>

## 12. TIMELINE (October 2020- April 2021)

ACTIVITIES	Oct	Nov	Dec	Jan	Feb	Mar	Apr
<b>PHASE I (October-December 2020)</b>							
Review and finalisation of tools							
Sampling							
Pre-testing							
Technical Advisory Group (TAG) meeting for Endline study							
IRB approval for the study							
<b>PHASE II (January-February 2021)</b>							
Training							
Phone-based qualitative data collection from Officials							
Survey							
<b>PHASE III (March-April 2021)</b>							
Data cleaning							
Data analysis and management							
Fact sheets							
Preparing PPT for dissemination							

UNICEF/Nutrition/2020  
16 November 2020

To,  
Dr. Sayeed Unisa  
PI-Swabhimaan Project  
And Head of Dept. of Mathematics  
Lady Irwin College, New Delhi

**Sub: Endline Survey- Swabhimaan**  
**Your proposal received by us on 12.11.2020**

Dear Dr. Unisa,

Thank you for your proposal for endline survey for swabhimaan for Chhattisgarh state for the period December 2020-April, 2021 for 41,08,700 INR in two phases –

Phase	Activity	Time period	Amount
I	Preparation, ethical approval, mapping and listing and TOT	December 2020-February 2021	33,53,300 INR
	Data collection and Cleaning		
II	Data Entry, Analysis and Fact Sheet Development and power point for dissemination	March-April, 2021	7,55,400 INR
			41,08,700 INR

We agree. You are kindly requested to share the FACE form for phase 1.



Yours Sincerely,

(Gayatri Singh )  
OIC,  
Nutrition Section, UNICEF India  
[gasingh@unicef.org](mailto:gasingh@unicef.org)



## **PROJECT PROPOSAL**

**ENDLINE SURVEY for SWABHIMAAN Women's Nutrition Demonstration Programme  
in CHHATTISGARH State and Support for ODISHA and BIHAR State Work**

Submitted to

**UNICEF India**

By

**International Institute for Population Sciences, Mumbai**



Principal Investigator

**Prof. Sayeed Unisa, Professor, IIPS**

Co-Principal Investigators for Endline survey

**Dr. Reshmi R.S., Assistant Professor, IIPS**

**Dr. L.K. Dwivedi, Assistant Professor, IIPS**

**Dr. Sarang Pedgaonkar, Assistant Professor, IIPS**

**1 September 2021 – 31 March 2022**

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## **SWABHIMAAN (2016-2021)**

### **1. BACKGROUND**

The Swabhimaan evaluation design is a prospective, non-randomized controlled evaluation. It evaluates the delivery of a package of 18 essential nutrition (specific and sensitive) interventions via Aajeevika promoted village organisations (federation of women self-help groups) to improve the nutrition status of girls' and women (before conception, during pregnancy and after birth) in three Indian states: Bihar, Chhattisgarh and Odisha in 2016-2017.

The target groups focussed interventions are delivered through systems-based and Village Organizations (VO) led approaches grouped under four pillars such as system strengthening, VO led interventions for adolescents, women and village Resource Person (VRP)/women farmers club. The geographical sites of Swabhimaan are all 356 revenue villages of five scheduled caste/tribe dominated blocks of four districts (Bihar: Purnea; Chhattisgarh: Bastar; Odisha: Angul and Koraput).

UNICEF India started a partnership with the International Institute for Population Sciences (IIPS) in 2016 for the baseline survey of Swabhimaan demonstration programme. IIPS is playing a crucial role in the impact evaluation of Swabhimaan intervention. In the baseline evaluation survey conducted during 2016-2017, IIPS was the lead technical support agency of Swabhimaan Women's Nutrition Demonstration Programme. IIPS team published technical papers along with UNICEF and AIIMS using baseline data, technical papers in peer-reviewed international journals in the area of nutrition, WASH, and women empowerment.

In order to examine the intervention process and the extent of the reach of beneficiaries, UNICEF entrusted IIPS for conducting the Midline process evaluation survey which was conducted during September 2018 to June 2019. A mixed method design approach was used which comprised of a cross-sectional survey and qualitative data collection in five blocks of three Indian states (Bihar, Chhattisgarh, and Odisha). The cross-sectional survey aimed to assess the system strengthening process and coverage of VO led interventions among beneficiaries. Qualitative data collection included in-depth interviews and focus group discussions of target groups, community cadres and service providers. Dissemination of the midline survey findings in Bihar was conducted on November 13, 2019 in Bihar. Dissemination of the midline survey findings in Chhattisgarh and Odisha were done on 10<sup>th</sup> and 17<sup>th</sup> December 2019, respectively.



## **1.1 About the IIPS**

The International Institute for Population Sciences (IIPS) serves as a regional Institute for Training and Research in Population Studies for the ESCAP region. It has been started in 1956 under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India and the United Nations. It was declared as a 'Deemed University' on August 19, 1985. The Institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India. The functions of the IIPS can be classified into four categories: teaching, research, consultative services, and documentation. The institute has seven academic departments with well-qualified faculty members.

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Previously, IIPS had provided technical support for the Swabhimaan baseline survey. Later, the midline process evaluation of the Swabhimaan intervention program in Bihar, Chhattisgarh and Odisha has also been carried out by IIPS.

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The impact evaluation of this project was led by AIIMS in Bihar, Odisha and Chhattisgarh with technical support from IIPS and University College London (UCL). The data collection of the

baseline (2016) and midline surveys in all the three states were conducted between July-December 2016 and September 2018-June 2019, respectively and the results of the surveys were disseminated.

Interventions which can improve nutrition of women are well known (lancet nutrition services, 2013). Delivery mechanisms to deliver these interventions also exist but are managed by at least five departments (Social Welfare, Civil supplies, Rural development, Public Health Engineering-PHED and Health), with weak or no institutional convergence mechanisms. Additionally, some of the critical interventions are not included in the antenatal package (e.g. maternal calcium supplementation, maternal deworming). There is also no mechanism to identify pregnant women at nutrition risk and providing them a special package of feeding and care. Those nutrition interventions that are present also need quality strengthening to address operational challenges in service delivery owing to capacity building, monitoring and huge vacancy load.

One delivery platform untapped to reach out to adolescents and pregnant women with special package of reproductive, health and nutrition messages as well as services is the women Self-Help Groups (SHGs) and Village Organizations (VO) under the NRLM. Evidence suggests that these community organisations and their federations have the potential to manage grants for improving last mile delivery of essential nutrition services for women, provided they are enabled, supervised, and provided protection against violence and exploitation (UNICEF, 2016).

**Community cash grant is a mechanism by which organised community groups, with active bank accounts, such as VOs directly receive and manage money to deliver services as per community needs-based plans approved by the funding agency.**

In context to Indian public health services, the field workers critical to improve the last mile delivery of health services and undertaking a range of activities like community mobilisation, counselling, record keeping, to name a few, have largely been considered honorary workers. SWABHIMAAN project shifts this approach of strengthening the last mile delivery of services into an invested service that can be sustained through VOs and higher federated institutions rather than being voluntary work. Some of the basic findings of the SWABHIMAAN baseline and midline surveys in Chhattisgarh are given below.

### 1.3 SWABHIMAAN Surveys in Chhattisgarh

The baseline survey of Swabhimaan in Chhattisgarh was conducted during January to April 2017. A sample of 2921 adolescent girls (10-19 years), 823 pregnant women (15-49 years) and 2539 mothers of children under age two years (15-49 years) were interviewed from Bastar and Bakawand blocks of Bastar district. The dissemination of findings from the baseline survey in Chhattisgarh was held in August 2017. Swabhimaan midline survey was done during May to June 2019 in Chhattisgarh. A total of 1017 adolescent girls (10-19 years), 614 pregnant women (15-49 years), 1051 mothers of children under age two years (15-49 years) and their children were interviewed. The dissemination of findings from midline survey in Chhattisgarh was done on 10<sup>th</sup> December 2019.

**Table 1: Key nutrition outcomes and coverage of selected nutrition relevant services, Swabhimaan baseline (2017) and midline surveys (2019), Chhattisgarh.**

Indicators	Intervention		Control	
	Baseline (%)	Midline (%)	Baseline (%)	Midline (%)
Stunting (adolescent girls aged 10-19 years)	29.6	29.5	25.8	22.5
Chronic under nutrition (mother), BMI <18.5 kg/m <sup>2</sup>	54.4	50.3	55.8	53.1
Pregnant women with MUAC 23 cm and above	61.2	62.4	60.4	65.3
Use of modern contraceptives (mother)	5.5	10.0	9.5	15.9
Pregnant women receiving antenatal check-ups in first trimester	28.1	55.6	42.0	61.4
<i>Pregnant women</i>	21.8	26.8	21.8	27.9
<i>Mother of children under two years</i>	21.7	25.2	18.9	25.6
Access to improved sanitation facility#/ no open defecation				
<i>Adolescent</i>	14.8	59.8	18.9	62.7
<i>Pregnant women</i>	5.4	53.5	17.6	59.4
<i>Mother of children under two years</i>	16.1	56.6	17.6	59.7
Adolescent girls who have attended at least two Kishori group meetings in the six months preceding the survey*	2.5	4.4	3.6	0.4
Self Help Group (SHG) membership				
<i>Pregnant women</i>	28.1	32.6	22.8	36.4
<i>Mother of children under two years</i>	32.2	39.8	22.9	45.1
VHSND				
<i>Pregnant women</i>	53.2	27.1	53.8	25.3
<i>Mother of children under two years</i>	66.8	35.1	59.0	29.5
Source: SWABHIMAAN Baseline Survey, Chhattisgarh 2017 and Midline Survey, Chhattisgarh 2019.				
* Intervention activity specific to intervention areas only.				



## **2 OBJECTIVES AND ACTIVITIES OF IIPS FOR ENDLINE SURVEY, CHHATTISGARH**

The main objectives of the IIPS are:

1. To assess the reduction in the proportion of adolescent girls and mothers of children under two years with a BMI<18.5.
2. To examine the improvement in mean mid-upper arm circumference (MUAC) among pregnant women over the intervention period (2016-2021).
3. To compare the baseline and endline data for estimating improvements in the coverage of key nutrition-specific and nutrition-sensitive interventions.
4. To assess the change in utilization of maternal health and nutrition services before and after the COVID 19 pandemic.

Activities of IIPS for the endline survey are:

1. To formulate sampling design and carry out sampling for selection of sample for the endline survey in Chhattisgarh.
2. To review and modify tools and instruments for endline survey and synchronise them to state-specific programmes.
3. To organise training of mapper listers and field investigators for endline survey in Chhattisgarh.
4. To conduct the endline survey of the Swabhimaan programme in Chhattisgarh.
5. To validate and manage data and prepare fact sheets for Chhattisgarh.

## **3. TECHNICAL ADVISORY GROUP**

A Technical Advisory Group (TAG) will be constituted to review survey findings and design and structure of the factsheets of the endline survey. The TAG will also determine the topics and review research papers based on the data of endline survey. The members will include technical experts in nutrition, intervention, sampling and survey methodology.

Under the overall guidance of the UNICEF, TAG, a national and an international consultant, the proposed endline survey will be carried out by the team of IIPS consisting of Faculty members, Junior Project Manager (JPM), Programmer, Data Analyst and Technical Writer.

## **4. PHASE I: PREPARATORY WORK FOR THE ENDLINE SURVEY**

The phase I was carried out in February 2021. The following specific activities were completed by IIPS in phase I:

### **4.1 Preparing Protocol**

Principal Investigator (PI), Co-Principal Investigators (Co-PIs) and research staff revised the tools and instruments of the Swabhimaan to make necessary modifications for the endline survey in the state as discussed below.

#### **4.1.1 Discussion with Survey Collaborators**

Meeting were arranged with UNICEF and State Rural Livelihood Mission officials to discuss the current situation in the study area. This discussion accounted for any amendments made to the Swabhimaan programme, challenges, or issues particularly post Covid-19 outbreak that needed to be addressed through the endline questionnaire.

#### **4.1.2 Sampling and Sample Size**

The estimated sample size for the endline survey in Chhattisgarh will be same as the baseline survey for comparison purposes. However, the sampling design and sample selection technique will be modified to study the impact evaluation of system strengthening and community action interventions. The sampling design used for the representative selection of the respondents from each target group in Chhattisgarh will be the same as the one used in endline survey in Odisha and Bihar.

**Table 2. Sample size of target groups**

Sample Size	Intervention (Estimated)	Control (Estimated)	Total
Adolescent girls	1098	1098	2196
Pregnant women	374	374	748
Mothers of children under 2	1098	1098	2196

#### **4.1.3 Tools for Data Collection**

Quantitative data will be collected using Computer Assisted Personal Interview (CAPI). The questionnaires of baseline and midline surveys were reviewed to finalize the tools for the

endline impact evaluation. The quantitative data tools include separate structured and bilingual questionnaire for each target group. Additionally, there will be a separate interview schedule for household information. The questionnaires will be synchronised to state-specific programmes.

Interview schedules: 1. Household schedule

2. Adolescent girls schedule

3. Pregnant women schedule

4. Mothers of children under two years schedule

5. Children under age two years

#### 4.1.4 Coverage of Indicators in Beneficiary Survey

Identification of adolescent girls and women beneficiaries in the target groups will be done by Mapping and Listing. From each target group following information will be collected

**Table 3. Coverage of Indicators in Beneficiary Survey**

**ADOLESCENT GIRLS (aged 10-19 years):** *unmarried, not pregnant and not the mother of a child under two years*

1. Mean Dietary Diversity Score
2. Dietary Diversity Score (5out of 10 food groups)
3. Consumed four or more IFA tablets in the month preceding the survey
4. Living in a household with iodized salt
5. Living in food secure households
6. Living in households with a kitchen garden
7. Living in households with a toilet or covered pit latrine
8. Using safe pads or sanitary pads
9. Accessing adolescent health services (Kishori Diwas) in six months preceding the survey
10. Attended at least three Kishori meetings in six months
11. Questions related to intervention activities

**PREGNANT WOMEN (aged 15-49 years):** *if she is pregnant, a girl or woman will join this category whether she is an adolescent or the mother of any child under two*

1. Pregnant women in the 2<sup>nd</sup> or 3<sup>rd</sup>trimester consuming at least 25 IFA tablets in the month preceding the survey (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup>trimester)
2. Mean Dietary Diversity Score
3. Dietary Diversity (5out of 10 food groups)
4. Living in a household with iodized salt
5. Living in food secure households

6. Living in households with a kitchen garden
7. Living in households with a toilet or covered pit latrine
8. Received ICDS entitlement for supplementary food in month preceding the survey (Pregnant women entitled to ICDS rations)
9. Had one antenatal check-up in the first trimester
10. Weighed at least once in first trimester
11. Received one dose of albendazole in second trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
12. Taken two calcium tablets in 2<sup>nd</sup> trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
13. Below the age of eighteen

#### **MOTHERS OF CHILDREN UNDER TWO YEARS (aged 15-49 years)**

1. Mean Dietary Diversity Score
2. Receiving minimum Dietary Diversity (5 out of 10 food groups)
3. Living in a household with iodized salt
4. Living in food secure households
5. Living in households with a kitchen garden
6. Living in households with a toilet or covered pit latrine
7. Receiving minimum PDS entitlement in month preceding survey
8. Receiving ICDS entitlement for supplementary food in month preceding survey
9. Received at least four ANC in last pregnancy
10. Consumed 100 or more IFA tablets during last pregnancy
11. Weighed at least four times in last pregnancy
12. Using a modern family planning method
13. Accessed at least one of three social protection schemes (JSY, Adarsh Dampati Yojana)
14. Delivered in a health facility in last pregnancy
15. Attended at least three Maitri baithak meetings and three VHND meetings in last year
16. Attended at least three Maitri baithak meetings and three VHND meetings in last year (As above, from underprivileged groups)
17. Members of women's Ag-producer groups and have adopted at least 1 mix micronutrient-rich cropping methods, against previous practice (Mothers of children under two, who are members of farmer producer groups)
18. Questions related to intervention activities

Also, any suggestions risen out of the meeting with survey collaborators will be included in the endline survey questionnaire. Possible inclusion of questions addressing impact of COVID-19 on the services and their utilisation. Additionally, indicators on assessment of gender disadvantage, psychological distress and resilience among adolescent girls and women will be included in the interview schedule for adolescent girls. These indicators will be based upon three scales, namely, Checklist for Assessment of Gender Disadvantage, Kessler Psychological Distress (K10) and Brief Resilience Scale (BRS).



#### **4.1.5 Pre-testing of Survey Instruments**

To understand the pattern of questions, skip and filter pattern or any other problem in understanding or administration of questionnaires, pretesting of questionnaires has been done.

#### **4.1.6 Ethical Consideration**

- An Institutional Review Board (IRB) meeting was held, and survey protocol was presented in the meeting and approved by the committee in February 2021. Ethical aspects such as privacy, confidentiality, anonymity, informed consent, and right to withdraw from participation are included in the ethical disclosure of survey protocol.
- Key personnel are assigned solely for implementation of this project. Any change will be made in consultation with UNICEF. Proper financial and accounting records will be maintained.

#### **4.1.7 Survey Protocol on COVID-related Measures**

##### ***Protective measures to be followed by the team***

- During training team will be briefed on properly wearing masks and face shields, sanitizing and removing masks and gloves.
- Well-being of each investigator will be checked every morning before leaving for field work using the assessment by app and thermal screening using the handheld infrared thermometer.
- While getting ready to go for the field work investigators will make sure that they are carrying sanitizer and wearing protective masks, gloves, and face shields.
- If any member is unwell, team will stop the field work (consent from IIPS will be taken before resuming the field work), affected member will be diagnosed and field work will only be initiated if the member is found to be not a case of covid-19.
- If positive, the entire team and driver will be checked by respective COVID center and should be quarantined as per prevalent guidelines in the respective state.
- On visiting a household, it will be asked if any member of the household is COVID positive or has any symptoms; if yes, then interview in that household will not be carried out further.
- Every member of the household (even if they are not to be investigated) will first be screened for fever using thermal scanners.

- If any respondent is in self-quarantine (for symptoms/ high risk contact etc) then he/she will be excluded from the interview.
- Each household will be provided with an information brochure regarding Covid-19 along with the information of the nearest health facility where they can get more information and treatment.

### **Information on COVID**

COVID-19 is the infectious disease caused by the most recently discovered corona virus. Corona viruses are a large family of viruses which may cause illness in animals or humans. In humans, several coronaviruses are known to cause respiratory infections ranging from the common cold to more severe diseases such as Middle East Respiratory Syndrome (MERS) and Severe Acute Respiratory Syndrome (SARS).

<b>Do's</b>	<b>Don'ts</b>
✓ <b>Stay protected, stay Safe</b>	✗ Don't panic, Don't rush, Don't overstock
✓ <b>Maintain social distancing</b>	✗ Visit crowded or public places
✓ <b>Wash hands before touching eyes, nose and mouth.</b>	✗ Spit in public places
✓ <b>Keep track of your symptoms</b>	✗ Shake hands and hug as a matter of greeting
✓ <b>People with fever, cough and difficulty breathing should seek medical attention</b>	✗ Have close contact with anyone, if you're experiencing cough and fever
✓ <b>Keep up to date on the latest COVID-19 hotspots and guidelines</b>	✗ Non-essential travel, Tourist trips
✓ <b>Use Aarogya Setu mobile app</b>	✗ Stigmatize against covid-19 patients and their families

### ***Anthropometric protocols to be followed***

- The anthropometry equipment will be cleaned after the interview of each household. (70% alcohol/ 1% sodium hypochlorite etc.).
- If the family has any member practising special precautions or insists then the equipment will be cleaned before use for that member.
- All investigators must use sanitizer/soap and water to clean their hands before anthropometric investigations for each respondent.

- Investigators should always use protective gear like face shields, masks, aprons, gloves, etc. before starting investigation.
- The protocol wearing gloves prior to handling of supplies and equipment should be strictly adhered to.

## **5. PHASE II: IMPLEMENTATION OF THE ENDLINE SURVEY IN CHHATTISGARH**

### **5.1 Team Composition**

All the administrative work related to the project will be the responsibility of the Co-PI, JPM, and Data analyst. This team will be responsible for monitoring all administrative and field activities of the project including accounting, preparation of budget, face form, Statement of Expenditure (SOE), project-related communication and purchases, advertisement, appointments, and meetings and programmes in the field level. The field related work of the project will be co-ordinated by JPM and Programmer. Swabhimaan cadre will conduct the listing operation for the endline survey in CHHATTISGARH. The interviews will be carried out by 24 field investigators and supervised by the JPM and other IIPS staff and officials. PI, Co-PIs, Data Analysts and Experts will review the protocols, monitor field activities and prepare and review the factsheets. A Technical writer will be recruited to draft thematic papers based on the data of the endline survey; these papers will be published in peer reviewed journals.

### **5.2 Training**

The training will be conducted at two levels:

- Training for mapping and listing will be carried. Post-training, mappers and listers will be sent to nearby village for field practice and the errors and issues will be discussed with the field staff and Co-PIs and resolved.
- Training of field investigators will be conducted on quantitative interview techniques, data collection tools, and anthropometric data collection. Apart from this, training of CAPI will be given to the field investigators. The standardised training manuals will be developed for conducting the training. Field investigators will be trained in the gold standard procedure for anthropometric measurements.
- A core team of PI, Co-PIs, JPM and Programmer along with the support of the state-level staff from UNICEF will facilitate and execute the training programmes.

- Only those investigators who meet the standard eligibility criteria given in the advertisement will be recruited for the data collection activity.
- ROSHNI team from Lady Irwin College, New Delhi will provide support in training field investigators to collect information and probe for questions related to mental health and gender related issues.

### 5.3 Data Collection

The quantitative data collections are proposed to be conducted during 1 September to 31 October 2021. Informed consent will be taken from the respondents before filling the tool. In case of adolescent girls an informed consent from the guardian and assent of participants' will also be taken before interview.

### 5.4 Field Monitoring

The quality of data being collected will be regularly monitored using checklists and specially developed formats for same, by the project officials placed in the states as well as regular field visits by PI and Co-PI from IIPS. At the beginning of the survey, 2% of spot checks will be done to correct any errors done by the investigators. IIPS will do the back-check of previously collected data. Overall, back-checks will be done for 3% of the total sample (i.e. adolescent girls, pregnant women and mothers with children under two years). Specially developed back check formats will be used to check the consistency of information collected by the investigators.

**Table 4. Sample Size and Estimated Checks by IIPS**

Target Groups	Sample Size	Quality check	
		Back Check (3%)	Spot Check (2%)
Adolescent girls	2196	66	44
Pregnant women	748	22	15
Mothers of children under 2	2196	66	44
Total	5140	154	103
Total sample for quality assurance		257	



<b>Table 5. Field and Data Monitoring Components</b>			
<b>Key features</b>	<b>Process</b>	<b>Components</b>	<b>IIPS</b>
Handholding	Spot-checks tables – Initial stage of survey	Spot-Checks and reorientation	Coordinator and JPM
Validation	Back-checks tables – Daily	Matching with soft data and filled questionnaire	JPM, Programmer Matching by IIPS
Validity and consistency	Field-checks tables- teams Weekly	Household, and target groups response and measurement	Data Analyst, Co-PI
Validation and consistency	Data consistency intervention and control villages Bi-weekly	Higher level analysis of age and anthropometric	Data Analyst and PI/CO-PI/JPM

Each interviewer should be regularly observed during field work as spot checks. To accomplish this, JPM, and Programmer will be present during interviews and give feedback to interviewers. They will not interrupt during the interview, rather save their comments and give feedback to the interviewer after the interview is over. In addition, throughout the course of the fieldwork, field editors should observe at least one interview per day.

JPM and Programmer will share the task to ensure that all filled in schedules are thoroughly scrutinized, and all errors are tactfully discussed with the interviewer.

### **5.5 Data Quality Analysis**

Computer Assisted Personal Interviewing (CAPI) will be done in all three states. CAPI surveys have shown to improve data quality through less missing data and fewer errors due to functions built into the data entry programme. Data will be uploaded directly into the database. IIPS research team will carry out cleaning of data, validation of data and checking for internal consistency and management of missing cases. Team wise age and outcome variable frequencies will be checked. The pattern of missing cases by caste/tribe and economic characteristics will be examined.

## **6. PHASE III: DATA ANALYSIS AND DISSEMINATION**

During the third and final phase the gathered data will be analysed, compiled in factsheets and the findings will be shared with stakeholders, researchers, and others.

### **6.1 Analysis and Management of Data**

IIPS will develop a tabulation plan and indicators for the fact sheets. The validity of indicators will be tested, and confidence interval will be provided for all outcome indicators. Comparison

will be made with available data sets from the baseline surveys. Household files will be merged with the individual files of target groups. IIPS will convert the data into different formats (SPSS and STATA) for public use.

## **6.2 Fact Sheet, Dissemination of Results and Thematic Papers**

IIPS will prepare facts sheet for Chhattisgarh based on quantitative data analysis. Fact sheets will be prepared for Bastar district. Dissemination of fact sheets will be done at the state and the national level.

IIPS will also write 2thematic papers based on the data from the Swabhimaan survey. These paper will be published in peer reviewed journals.

## **7. LIMITATION**

It is important to note that till March 2020 the endline data may reflect the real scenario in the study area; however, due to the COVID 19 crisis, we might not get the true impact of interventions in the subsequent months and also a considerable change in the study indicators can be expected.

## **8. MODE OF PAYMENT**

The release of funds to IIPS from UNICEF for endline survey of Swabhimaan will be done according to the requirement.

## **9. LOGISTIC SUPPORT FROM UNICEF**

To carry out anthropometric measurements of, IIPS team will need stadiometers, weighing machines and MUAC tapes from UNICEF Office. IIPS will hire experts to calibrate equipment prior to the survey.

## **10. PROJECT DURATION AND TIMELINE (1 September 2021 – 31 March 2022)**

The assignment of IIPS for the SWABHIMAAN Program Endline Impact Evaluation, Chhattisgarh would be implemented from 1<sup>st</sup> September 2021 and it will be completed by 31<sup>st</sup> March 2021. After that data cleaning, analysis, factsheet preparation will be carried out. The details are given as under along with timeline.

## 11. DELIVERABLES FROM IIPS

Activity	Duration	Deliverables
Phase I: Preparatory work	Feb 2021 (Completed)	<ul style="list-style-type: none"> <li>Revision of protocol: meeting with collaborators, reviewing and synchronising questionnaire, pre-testing, sampling</li> <li>TAG meeting for protocol</li> <li>IRB approval for study</li> </ul>
Phase II: Implementation of Chhattisgarh endline survey	Sep-Oct 2021	<ul style="list-style-type: none"> <li>Training of investigators</li> <li>Conducting endline survey in Chhattisgarh</li> </ul>
Phase III: Data analysis, Dissemination and Thematic papers	Nov 2021- Mar 2022	<ul style="list-style-type: none"> <li>Data cleaning, analysis, and management</li> <li>Preparing fact sheets</li> <li>Preparing PPT for dissemination</li> <li>Writing thematic papers based on endline data</li> </ul>

## 12. TIMELINE (1 September 2021 – 31 March 2022)

	2021					2022		
ACTIVITIES	Feb	Sep	Oct	Nov	Dec	Jan	Feb	Mar
<b>PHASE I (February 2021)</b>								
Review and finalisation of tools								
Sampling								
Pre-testing								
Technical Advisory Group (TAG) meeting for reviewing factsheet structure								
IRB approval for the study								
<b>PHASE II (September- October 2021)</b>								
Training								
Survey								
<b>PHASE III (November 2021- March 2022)</b>								
Data cleaning								
Data analysis and management								
Fact sheets								
Preparing PPT for dissemination								
Writing thematic papers								

UNICEF/Nutrition/2020  
4 November 2020

To,  
Dr. Sayeed Unisa  
PI-Swabhimaan Project  
And Head of Dept. of Mathematics  
Lady Irwin College, New Delhi

**Sub: Endline Survey- Swabhimaan**  
**Your proposal received by us on 3.11.2020**

Dear Dr. Unisa,

Thank you for your proposal for endline survey for swabhimaan for Odisha state for the period 15 November 2020-April, 2020 for 53,52,900 INR (~74,000 USD) in two phases –

Phase	Activity	Time period	Amount in INR
I	Preparation, ethical approval, mapping and listing and TOT	Nov-Dec'20	44,43,100
	Data collection and Cleaning	Jan-Feb'21	
II	Data Entry, Analysis and Fact Sheet Development and power point for dissemination	Mar-Apr'21	9,09,800
		Nov'20-Apr'21	53,52,900

We agree. To enable us release the funds, for phase I you are kindly requested to share the FACE form.

Yours Sincerely,



(Gayatri Singh )  
OIC,  
Nutrition Section, UNICEF India  
[gasingh@unicef.org](mailto:gasingh@unicef.org)



## **PROJECT PROPOSAL**

**ENDLINE SURVEY for SWABHIMAAN Women's Nutrition Demonstration Programme  
in ODISHA**

Submitted to

**UNICEF India**

By

**International Institute for Population Sciences, Mumbai**



Principal Investigator

**Prof. Sayeed Unisa, Professor, IIPS**

Co-Principal Investigators for Endline survey

**Dr. Reshmi R.S., Assistant Professor, IIPS**

**Dr. L.K. Dwivedi, Assistant Professor, IIPS**

**Dr. Sarang Pedgaonkar, Assistant Professor, IIPS**

**15 November 2020- 30 April 2021**

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## **SWABHIMAAN (2016-2021)**

### **1. BACKGROUND**

The Swabhimaan evaluation design is a prospective, non-randomized controlled evaluation. It evaluates the delivery of a package of 18 essential nutrition (specific and sensitive) interventions via Aajeevika promoted village organisations (federation of women self-help groups) to improve the nutrition status of girls' and women (before conception, during pregnancy and after birth) in three Indian states: Bihar, Chhattisgarh and Odisha in 2016-2017.

The target groups focussed interventions are delivered through systems-based and Village Organizations (VO) led approaches grouped under four pillars such as system strengthening, VO led interventions for adolescents, women and village Resource Person (VRP)/women farmers club. The geographical sites of Swabhimaan are all 356 revenue villages of five scheduled caste/tribe dominated blocks of four districts (Bihar: Purnea; Chhattisgarh: Bastar; Odisha: Angul and Koraput).

UNICEF India started a partnership with the International Institute for Population Sciences (IIPS) in 2016 for the baseline survey of Swabhimaan demonstration programme. IIPS is playing a crucial role in the impact evaluation of Swabhimaan intervention. In the baseline evaluation survey conducted during 2016-2017, IIPS was the lead technical support agency of Swabhimaan Women's Nutrition Demonstration Programme. IIPS team published technical papers along with UNICEF and AIIMS using baseline data, technical papers in peer-reviewed international journals in the area of nutrition, WASH, and women empowerment.

In order to examine the intervention process and the extent of the reach of beneficiaries, UNICEF entrusted IIPS for conducting the Midline process evaluation survey which was conducted during September 2018 to June 2019. A mixed method design approach was used which comprised of a cross-sectional survey and qualitative data collection in five blocks of three Indian states (Bihar, Chhattisgarh, and Odisha). The cross-sectional survey aimed to assess the system strengthening process and coverage of VO led interventions among beneficiaries. Qualitative data collection included in-depth interviews and focus group discussions of target groups, community cadres and service providers. Dissemination of the midline survey findings in Bihar was conducted on November 13, 2019 in Bihar.

Dissemination of the midline survey findings in Chhattisgarh and Odisha were done on 10<sup>th</sup> and 17<sup>th</sup> December 2019, respectively.

### **1.1 About the IIPS**

The International Institute for Population Sciences (IIPS) serves as a regional Institute for Training and Research in Population Studies for the ESCAP region. It has been started in 1956 under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India and the United Nations. It was declared as a 'Deemed University' on August 19, 1985. The Institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India. The functions of the IIPS can be classified into four categories: teaching, research, consultative services, and documentation. The institute has seven academic departments with well-qualified faculty members.

The Institute has the expertise and vast experience of carrying out various large-scale population-based research projects. The most prominent among them are the National Family Health Survey (NFHS), District Level Household Survey (DLHS), Concurrent Evaluation of National Rural Health Mission, and Global Tobacco Survey.

IIPS has completed comprehensive nutrition surveys in the states of Maharashtra and Gujarat namely Comprehensive Nutrition Survey in Maharashtra (CNSM), Comprehensive Nutrition Survey in Gujarat (CNSG). UNICEF funded CNSM project in Maharashtra, and CNSG project was funded by Department of Women and Child Development, Government of Gujarat. These surveys provided valuable data on the nutrition status of under two/five children, mothers, and feeding/food intake practices, food consumption pattern, diet during pregnancy and lactation, health and hygiene related parameters, food security at household level and status of *Anganwadis*.

Previously, IIPS had provided technical support for the Swabhimaan baseline survey. Later, the midline process evaluation of the Swabhimaan intervention program in Bihar, Chhattisgarh and Odisha has also been carried out by IIPS.

### **1.2 About SWABHIMAAN**

SWABHIMAAN multi-state, multi-sector women's nutrition demonstration intervention programme with direct cash grant was started with an aim to improve the nutritional status of adolescent girls, pregnant women and mothers of children under two years, covering the most vulnerable populations in National Rural Livelihood Mission (NRLM) resource blocks.



The impact evaluation of this project was led by AIIMS in Bihar, Odisha and Chhattisgarh with technical support from IIPS and University College London (UCL). The data collection of the baseline (2016) and midline surveys in all the three states were conducted between July-December 2016 and September 2018-June 2019, respectively and the results of the surveys were disseminated.

Interventions which can improve nutrition of women are well known (lancet nutrition services, 2013). Delivery mechanisms to deliver these interventions also exist but are managed by at least five departments (Social Welfare, Civil supplies, Rural development, Public Health Engineering-PHED and Health), with weak or no institutional convergence mechanisms. Additionally, some of the critical interventions are not included in the antenatal package (e.g. maternal calcium supplementation, maternal deworming). There is also no mechanism to identify pregnant women at nutrition risk and providing them a special package of feeding and care. Those nutrition interventions that are present also need quality strengthening to address operational challenges in service delivery owing to capacity building, monitoring and huge vacancy load.

One delivery platform untapped to reach out to adolescents and pregnant women with special package of reproductive, health and nutrition messages as well as services is the women Self-Help Groups (SHGs) and Village Organizations (VO) under the NRLM. Evidence suggests that these community organisations and their federations have the potential to manage grants for improving last mile delivery of essential nutrition services for women, provided they are enabled, supervised, and provided protection against violence and exploitation (UNICEF, 2016).

**Community cash grant is a mechanism by which organised community groups, with active bank accounts, such as VOs directly receive and manage money to deliver services as per community needs-based plans approved by the funding agency.**

In context to Indian public health services, the field workers critical to improve the last mile delivery of health services and undertaking a range of activities like community mobilisation, counselling, record keeping, to name a few, have largely been considered honorary workers. SWABHIMAAN project shifts this approach of strengthening the last mile delivery of services into an invested service that can be sustained through VOs and higher federated

institutions rather than being voluntary work. Some of the basic findings of the SWABHIMAAN baseline survey are given below

### 1.3 SWABHIMAAN Surveys in Odisha

The baseline survey of Swabhimaan in Odisha was conducted during October 2016 to January 2017. A sample of 1727 adolescent girls (10-19 years), 814 pregnant women (15-49 years) and 3604 mothers of children under age two years (15-49 years) were interviewed from Pallahara and Koraput Sadar blocks of Angul and Koraput districts, respectively. The dissemination of findings from the baseline survey in Odisha was held in July 2017. Swabhimaan midline survey was done during January to March 2019 in Odisha. A total of 1191 adolescent girls (10-19 years), 614 pregnant women (15-49 years), 1184 mothers of children under age two years (15-49 years) and their children were interviewed from Pallahara and Koraput Sadar blocks of Angul and Koraput districts, respectively.

**Table 1: Key nutrition outcomes and coverage of selected nutrition relevant services, Swabhimaan baseline (2017) and midline surveys (2019), Odisha.**

Indicators	Intervention		Control	
	Baseline (%)	Midline (%)	Baseline (%)	Midline (%)
Stunting (adolescent girls aged 10-19 years)	35.1	36.6	34.9	35.7
Chronic under nutrition (mother), BMI <18.5 kg/m <sup>2</sup>	45.8	44.3	46.9	38.6
Pregnant women with MUAC 23 cm and above	66.6	73.8	62.2	69.8
Use of modern contraceptives (mother)	22.6	28.2	20.7	25.3
Pregnant women receiving antenatal check-ups in first trimester	40.1	60.7	32.7	57.5
<i>Pregnant women</i>	30.1	37.9	31.4	33.8
<i>Mother of children under two years</i>	29.1	31.8	26.7	36.8
Access to improved sanitation facility#/no open defecation				
<i>Adolescent</i>	16.6	24.4	17.3	20.9
<i>Pregnant women</i>	23.4	22.7	13.4	17.5
<i>Mother of children under two years</i>	21.7	25.4	15.4	17.1
Adolescent girls who have attended at least two Kishori group meetings in the six months preceding the survey*	6.5	4.9		
Self Help Group (SHG) membership				
<i>Pregnant women</i>	28.4	49.6	27.1	53.1
<i>Mother of children under two years</i>	28.1	56.4	31.8	58.7
VHSND				
<i>Pregnant women</i>	59.9	59.9	55.3	51.3

<i>Mother of children under two years</i>	59.4	65.1	57.4	54.6
Source: SWABHIMAAN Baseline Survey, Odisha 2017 and Midline Survey, Odisha 2019.				
* Intervention activity specific to intervention areas only.				

## 2 OBJECTIVES AND ACTIVITIES OF IIPS FOR ENDLINE SURVEY, ODISHA

The main objectives of the IIPS are:

1. To assess the reduction in the proportion of adolescent girls and mothers of children under two years with a BMI<18.5.
2. To examine the improvement in mean mid-upper arm circumference (MUAC) among pregnant women over the intervention period (2016-2021).
3. To compare the baseline and endline data for estimating improvements in the coverage of key nutrition-specific and nutrition-sensitive interventions.
4. To assess the change in utilization of maternal health and nutrition services before and after the COVID 19 pandemic.

Activities of IIPS for the endline survey are:

1. To formulate sampling design and carry out sampling for selection of sample for the endline survey in Odisha.
2. To translate questionnaires to Odia and synchronise them to state-specific programmes.
3. To organise training of mapper listers and field investigators for endline survey in Odisha.
4. To conduct the endline survey of the Swabhimaan programme in Odisha.
5. To validate and manage data and prepare fact sheets for Odisha.

## 3. TECHNICAL ADVISORY GROUP

A Technical Advisory Group (TAG) will be constituted to guide and approve the survey design, tools, and protocols for the proposed Endline Impact Evaluation of SWABHIMAAN Demonstration Programme. The members will include technical experts in nutrition, intervention, sampling and survey methodology. The TAG will meet to review and approve methodology, survey protocols, monitor progress and review survey findings.

Under the overall guidance of the UNICEF, TAG, a national and an international consultant, the proposed endline survey will be carried out by the team of IIPS consisting of Faculty members, Junior Project Manager (JPM), Programmer and Data Analysts.

#### **4. PHASE I: PREPARATORY WORK FOR THE ENDLINE SURVEY**

The phase I will be carried out during 15<sup>th</sup> November and 31<sup>st</sup> December 2020. The following specific activities are envisaged by IIPS in phase I:

##### **4.1 Preparing Protocol**

Principal Investigator (PI), Co-Principal Investigators (Co-PIs) and research staff will revise the tools and instruments of the Swabhimaan to make necessary modifications for the endline survey as discussed below.

##### **4.1.1 Discussion with Survey Collaborators**

Meeting will be arranged with UNICEF and State Rural Livelihood Mission officials to discuss the current situation in the study area. This discussion will also account for any amendments made to the Swabhimaan programme, challenges, or issues particularly post Covid-19 outbreak that collaborators would like to address through the endline questionnaire.

##### **4.1.2 Sampling and Sample Size**

The estimated sample size for the endline survey in Odisha will be same as the baseline survey for comparison purposes. However, the sampling design and sample selection technique will be modified to study the impact evaluation of system strengthening and community action interventions. PI and Co-PI along with an external sampling expert will formulate an appropriate sampling design for the representative selection of the respondents from each target group in Odisha.



**Table 2. Sample size of target groups**

Sample Size	Intervention (Estimated)	Control (Estimated)	Total
Adolescent girls	531	531	1062
Pregnant women	374	374	748
Mothers of children under 2	1340	1340	2680

### 4.1.3 Tools for Data Collection

Quantitative data will be collected using Computer Assisted Personal Interview (CAPI). The questionnaires of baseline and midline surveys will be reviewed to finalize the tools for the endline impact evaluation. The quantitative data tools will include separate structured and bilingual questionnaire for each target group. Additionally, there will be a separate interview schedule for household information. The questionnaires will be translated to Odia and synchronised to state-specific programmes.

Interview schedules: 1. Household schedule

2. Adolescent girls schedule

3. Pregnant women schedule

4. Mothers of children under two years schedule

Additionally, qualitative data from the officials (SPMU, DPMU, BPMU etc.) will be collected using telephonic interviews.

### 4.1.4 Coverage of Indicators in Beneficiary Survey

Identification of adolescent girls and women beneficiaries in the target groups will be done by Mapping and Listing. From each target group following information will be collected

**Table 3. Coverage of Indicators in Beneficiary Survey**

**ADOLESCENT GIRLS (aged 10-19 years):** *unmarried, not pregnant and not the mother of a child under two years*

1. Mean Dietary Diversity Score
2. Dietary Diversity Score (5 out of 10 food groups)
3. Consumed four or more IFA tablets in the month preceding the survey
4. Living in a household with iodized salt
5. Living in food secure households
6. Living in households with a kitchen garden

7. Living in households with a toilet or covered pit latrine
8. Using safe pads or sanitary pads
9. Accessing adolescent health services (Kishori Diwas) in six months preceding the survey
10. Attended at least three Kishori meetings in six months
11. Questions related to intervention activities

**PREGNANT WOMEN (aged 15-49 years):** *if she is pregnant, a girl or woman will join this category whether she is an adolescent or the mother of any child under two*

1. Pregnant women in the 2<sup>nd</sup> or 3<sup>rd</sup> trimester consuming at least 25 IFA tablets in the month preceding the survey (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
2. Mean Dietary Diversity Score
3. Dietary Diversity (5 out of 10 food groups)
4. Living in a household with iodized salt
5. Living in food secure households
6. Living in households with a kitchen garden
7. Living in households with a toilet or covered pit latrine
8. Received ICDS entitlement for supplementary food in month preceding the survey (Pregnant women entitled to ICDS rations)
9. Had one antenatal check-up in the first trimester
10. Weighed at least once in first trimester
11. Received one dose of albendazole in second trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
12. Taken two calcium tablets in 2<sup>nd</sup> trimester (Pregnant women in 2<sup>nd</sup> or 3<sup>rd</sup> trimester)
13. Below the age of eighteen

**MOTHERS OF CHILDREN UNDER TWO YEARS (aged 15-49 years)**

1. Mean Dietary Diversity Score
2. Receiving minimum Dietary Diversity (5 out of 10 food groups)
3. Living in a household with iodized salt
4. Living in food secure households
5. Living in households with a kitchen garden
6. Living in households with a toilet or covered pit latrine
7. Receiving minimum PDS entitlement in month preceding survey
8. Receiving ICDS entitlement for supplementary food in month preceding survey
9. Received at least four ANC in last pregnancy
10. Consumed 100 or more IFA tablets during last pregnancy
11. Weighed at least four times in last pregnancy
12. Using a modern family planning method
13. Accessed at least one of three social protection schemes (JSY, Adarsh Dampati Yojana)
14. Delivered in a health facility in last pregnancy
15. Attended at least three Maitri baithak meetings and three VHND meetings in last year
16. Attended at least three Maitri baithak meetings and three VHND meetings in last year (As above, from underprivileged groups)

17. Members of women's Ag-producer groups and have adopted at least 1 mix micronutrient-rich cropping methods, against previous practice (Mothers of children under two, who are members of farmer producer groups)
18. Questions related to intervention activities

Also, any suggestions risen out of the meeting with survey collaborators will be included in the endline survey questionnaire. Possible inclusion of questions addressing impact of COVID-19 on the services and their utilisation.

#### **4.1.5 Pre-testing of Survey Instruments**

To understand the pattern of questions, skip and filter pattern or any other problem in understanding or administration of questionnaires, a pretesting of questionnaires will be done in study area. Further modifications will be done in the questionnaires based on the results of pre-testing.

#### **4.1.6 Ethical Consideration**

- An Institutional Review Board (IRB) meeting will be held, and survey protocol will be presented in the meeting for the approval. Ethical aspects such as privacy, confidentiality, anonymity, informed consent, and right to withdraw from participation will be included in the ethical disclosure of survey protocol.
- Key personnel are assigned solely for implementation of this project. Any change will be made in consultation with UNICEF. Proper financial and accounting records will be maintained.

### **5. PHASE II: IMPLEMENTATION OF THE ENDLINE SURVEY IN ODISHA**

#### **5.1 Team Composition**

All the administrative work related to the project will be the responsibility of the Co-PI, JPMs, and Data analyst. This team will be responsible for monitoring all administrative and field activities of the project including accounting, preparation of budget, face form, Statement of Expenditure (SOE), project-related communication and purchases, advertisement, appointments, and meetings and programmes in the field level. The field related work of the project will be co-ordinated by two JPMs and two Programmers. Swabhimaan cadre will conduct the listing operation for the endline survey in ODISHA. The interviews will be carried out by 24 field investigators and supervised by the JPMs and other IIPS staff and officials. PI,

Co-PIs, Data Analysts/Technical Writers, and Experts will review the protocols, monitor field activities and prepare and review the factsheets.

## **5.2 Training**

The training will be conducted at two levels:

- Training for mapping and listing will be carried. Post-training, mappers and listers will be sent to nearby village for field practice and the errors and issues will be discussed with the field staff and Co-PIs and resolved.
- Training of field investigators will be conducted on quantitative interview techniques, data collection tools, and anthropometric data collection. Apart from this, training of CAPI will be given to the field investigators. The standardised training manuals will be developed for conducting the training. Field investigators will be trained in the gold standard procedure for anthropometric measurements.
- A core team of PI, Co-PIs, JPMs and Programmers along with the support of the state-level staff from UNICEF will facilitate and execute the training programmes.
- Only those investigators who meet the standard eligibility criteria given in the advertisement will be recruited for the data collection activity.

## **5.3 Data Collection**

The qualitative and quantitative data collections are proposed to be conducted during January to February 2021. Informed consent will be taken from the respondents before filling the tool. In case of adolescent girls an informed consent from the guardian and assent of participants' will also be taken before interview.

## **5.4 Field Monitoring**

The quality of data being collected will be regularly monitored using checklists and specially developed formats for same, by the project officials placed in the states as well as regular field visits by PI and Co-PI from IIPS. At the beginning of the survey, 2% of spot checks will be done to correct any errors done by the investigators. IIPS will do the back-check of previously collected data. Overall, back-checks will be done for 3% of the total sample (i.e. adolescent girls, pregnant women and mothers with children under two years). Specially developed back check formats will be used to check the consistency of information collected by the investigators.



**Table 4. Sample Size and Estimated Checks by IIPS**

Target Groups	Sample Size	Quality check	
		Back Check (3%)	Spot Check (2%)
Adolescent girls	1062	32	21
Pregnant women	748	22	15
Mothers of children under 2	2680	80	54
Total	4490	135	90
Total sample for quality assurance		225	

**Table 5. Field and Data Monitoring Components**

Key features	Process	Components	IIPS
Handholding	Spot-checks tables – Initial stage of survey	Spot-Checks and reorientation	Coordinator and JPMs
Validation	Back-checks tables – Daily	Matching with soft data and filled questionnaire	JPMs, Programmers Matching by IIPS
Validity and consistency	Field-checks tables- teams Weekly	Household, and target groups response and measurement	Data Analyst/Technical Writer, Co-PI
Validation and consistency	Data consistency intervention and control villages Bi-weekly	Higher level analysis of age and anthropometric	Data Analyst/Technical Writer and PI/CO-PI/JPMs

Each interviewer should be regularly observed during field work as spot checks. To accomplish this, JPMs, Programmers, quality assurance team, and senior staff will have to be present during interviews and give feedback to interviewers. They will not interrupt during the interview, rather save their comments and give feedback to the interviewer after the interview is over. In addition, throughout the course of the fieldwork, field editors should observe at least one interview per day.

JPMs and Programmers will share the task to ensure that all filled in schedules are thoroughly scrutinized, and all errors are tactfully discussed with the interviewer.

## 5.5 Data Quality Analysis

Computer Assisted Personal Interviewing (CAPI) will be done in all three states. CAPI surveys have shown to improve data quality through less missing data and fewer errors due to functions built into the data entry programme. Data will be uploaded directly into the database. IIPS research team will carry out cleaning of data, validation of data and checking for internal consistency and management of missing cases. Team wise age and outcome variable

frequencies will be checked. The pattern of missing cases by caste/tribe and economic characteristics will be examined.

## **6. PHASE III: DATA ANALYSIS AND DISSEMINATION**

During the third and final phase the gathered data will be analysed, compiled in factsheets and the findings will be shared with stakeholders, researchers, and others.

### **6.1 Analysis and Management of Data**

IIPS will develop a tabulation plan and indicators for the fact sheets. The validity of indicators will be tested, and confidence interval will be provided for all outcome indicators. Comparison will be made with available data sets from the baseline surveys. Household files will be merged with the individual files of target groups. IIPS will convert the data into different formats (SPSS and STATA) for public use.

### **6.2 Fact Sheet and Dissemination of Results**

IIPS will prepare facts sheet for Odisha based on quantitative data analysis. Fact sheets will be prepared separately for each block (Pallahara and Koraput Sadar). Dissemination of fact sheets will be done at the state and the national level.

## **7. LIMITATION**

It is important to note that till March 2020 the endline data may reflect the real scenario in the study area; however, due to the COVID 19 crisis, we might not get the true impact of interventions in the subsequent months and also a considerable change in the study indicators can be expected.

## **8. MODE OF PAYMENT**

The release of funds to IIPS from UNICEF for endline survey of Swabhimaan will be done according to the requirement.

## **9. LOGISTIC SUPPORT FROM UNICEF**

To carry out anthropometric measurements of, IIPS team will need stadiometers, weighing machines and MUAC tapes from UNICEF Office. IIPS will hire experts to calibrate equipment prior to the survey.

## 10. PROJECT DURATION AND TIMELINE (15 November 2020- 30 April 2021)

The assignment of IIPS for the SWABHIMAAN Program Endline Impact Evaluation would be implemented from 15<sup>th</sup> November 2020 and it will be completed by 30<sup>th</sup> April 2021. The details are given as under along with timeline.

## 11. DELIVERABLES FROM IIPS

Activity	Duration	Deliverables
Phase I: Preparatory work	15 <sup>th</sup> Nov-Dec 2020	<ul style="list-style-type: none"><li>• Revision of protocol: meeting with collaborators, translating and synchronising questionnaire, pre-testing, sampling</li><li>• TAG meeting for protocol</li><li>• IRB approval for study</li></ul>
Phase II: Implementation of Odisha endline survey	Jan-Feb 2021	<ul style="list-style-type: none"><li>• Phone-based qualitative data collection</li><li>• Training of trainers and investigators</li><li>• Conducting endline survey in Odisha</li></ul>
Phase III: Data analysis and Dissemination	Mar-Apr 2021	<ul style="list-style-type: none"><li>• Data cleaning, analysis, and management</li><li>• Preparing fact sheets</li><li>• Preparing PPT for dissemination</li></ul>

## 12. TIMELINE (15 November 2020- 30 April 2021)

ACTIVITIES	Nov	Dec	Jan	Feb	Mar	Apr
<b>PHASE I (15<sup>th</sup> November -December 2020)</b>						
Review and finalisation of tools						
Sampling						
Pre-testing						
Technical Advisory Group (TAG) meeting for Endline study						
IRB approval for the study						
<b>PHASE II (January-February 2021)</b>						
Training						
Phone-based qualitative data collection from Officials						
Survey						
<b>PHASE III (March- 30<sup>th</sup> April 2021)</b>						
Data cleaning						
Data analysis and management						
Fact sheets						
Preparing PPT for dissemination						

अन्तर्राष्ट्रीय जनसंख्या  
विज्ञान संस्थान  
(विश्वविद्यालय समतुल्य)-

स्वास्थ्य एवं परिवार कल्याण मंत्रालय, भारत सरकार का स्वायत्त संगठन  
गोवर्द्धी स्टेशन रोड, देवनागर, मुम्बई - 400 088, भारत



(स्थापना/ Established in 1956)  
बेहतर भविष्य के लिए क्षमता निर्माण  
Capacity Building for a Better Future

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International Institute for  
Population Sciences  
(Deemed University)\*

An Autonomous Organization of Ministry of Health & Family Welfare, Govt. of India  
Govardhi Station Road, Deonar, Mumbai - 400 088, INDIA

BY COURIER

IIPS/ UNICEF/ 287/2019

16/12/2019

To

Ms. Rajeshwari Chandrasekar

Chief, Mumbai Field Office, UNICEF

4<sup>th</sup> Floor, Atrium 215, B Wing,

Behind Courtyard Marriot,

Chakala, Andheri East,

Mumbai - 400093.



Sub: Submission of signed copy of technical proposal, ToR, budget and Face form of the project titled  
"Protection of children affected by seasonal migration: A study in Jalna district of Maharashtra"

Madam

Greetings from IIPS.

Attached please find the of signed copy of technical proposal, budget and Face form of the project  
titled "Protection of children affected by seasonal migration: A study in Jalna district of Maharashtra"  
for your kind perusal and needful action.

Yours sincerely,

K. C. Das

Project Coordinator





**Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra**

<b>Project title</b>	Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra	
<b>Geographical Coverage</b>	Jalna, Maharashtra	
<b>Funds requested from UNICEF</b>	INR 91,47,120	
<b>Organization Contribution</b>		
<b>Applicant Organization</b>	International Institute for Population Sciences	
<b>Address</b>	Govandi Station Road, Deonar, Mumbai-88	
<b>Contact Person</b>	Prof Kailash Chandra Das, Prof R. B. Bhagat Prof. Archana Roy	
<b>Phone and Email</b>	6370205856/02242372424; kcdas@iips.net/dasilic@yahoo.com	
<b>Time Frame</b>	<b>Project duration</b>	18 Months
	<b>Start date</b>	20/12/2019
	<b>Completion date</b>	19/06/2021

**Introduction**

Jalna is a district in the Aurangabad division of Maharashtra, home to 19 million people in 2011. There is both in-migration and out-migration from this area, parts of which are highly fertile and densely worked in. Families come from various parts of Maharashtra and Madhya Pradesh for seasonal work in brick kilns, stone quarries, cotton ginning factories and the sugarcane industry.

Children migrate with parents that work in sugarcane factories, cotton ginning, brick kilns and stone quarries. For all of them, these conditions present several challenges. The makeshift accommodations in which many families live constitute high risk areas for young children and adolescent girls, all the more so as they are often left alone while parents are out at work. Living accommodation is often precarious, easily accessible to outsiders. These areas often have no basic amenities including electricity, water or sanitation, and teem with insects and other animals in temperatures that often exceed 40 degrees. Children often work, helping their parents with the harvesting and with domestic chores. This contributes to low school attendance, which is further exacerbated by lack of information about the right to enroll in local schools at the destination and the process.

Children that stay behind in their home villages for the months that parents migrate, face a related set of challenges. Some of these children are cared for by grandparents or other relatives, while others fend for themselves. Many are without resources to meet their basic needs: parents paying down debt on a piecemeal basis are not always able to send back sufficient support and earning opportunities in source villages are often scarce. Children living alone or in families under increased strain are vulnerable to child labor, deteriorating mental health, sporadic school attendance, school dropout, and child marriage.

Although Jalna has high rates of out migration, field research by UNICEF revealed that many families migrated into Jalna from other parts of Maharashtra. In 2017-18, approximately 17940 families

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migrated into 353 worksites across all eight blocks of Jalna for seasonal work at four work sectors (sugar cane harvesting, brick kilns, cotton ginning factories, stone quarries). Although 85 percent of the families are internal migrants from different parts of Maharashtra, most children did not have access to education at the destination points. A rapid assessment of the situation of children at work sites in Jalna conducted by UNICEF in 2017-2018 revealed that living conditions at the work sites were poor, few work sites provided drinking water, toilets, safe bathing spaces or electricity. Children and their families did not have access to services of education, health, nutrition or protection at most of the worksites.

The proposed study will rigorously explore the child protection and child rights challenges generated by these patterns of seasonal migration, the policies and programmes established to address them and what can be improved and strengthened.

#### Objectives of the study

1. To understand the situation of children affected by seasonal migration in Jalna, including who they are, where they come from, their living conditions, and daily activities.
2. To identify the challenges faced by seasonal child migrants and their needs.
3. To ascertain the consequences of seasonal migration on children.
4. To identify gaps in existing strategies and solutions for children affected by seasonal migration and find more sustainable solutions.
5. To develop recommendations for strengthening policy and programmes for children affected by seasonal migration.

#### Key Questions

In particular, the study will investigate the following three key research questions that is linked to one or more objectives.

##### 1. What is the situation of children affected by migration and what are their needs?

This research question covers Objective 1: To understand the situation of children affected by seasonal migration and their needs. The sub-questions are:

- ≠ What are demographics of child migrants in Jalna?
- ≠ Is there a difference in the socio-economic characteristics of the children who migrate with their parents (both in and out of Jalna) or stay back?
- ≠ Are there families who have children who migrate with them and also stay back?
- ≠ What are the factors that affect such decisions?
- ≠ What are the reasons that children migrate with their parents or chose to stay back?
- ≠ Which children affected by migration are most impacted?
  - o Age, gender, caste, disabilities etc
- ≠ How do children experience seasonal migration?
  - o Are they aware of what seasonal migration is and what it means?
  - o Do they have a say in decisions related to migration?
  - o How do they talk about and experience seasonal migration?

The experiences of children will be examined through the use of a gender and age lens.

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## 2. How does seasonal migration impact children?

This research question covers Objective 2: To ascertain the impact of seasonal migration on children. The sub questions are:

- ✓ In what way does seasonal migration have an impact on children?
  - How does seasonal migration improve/deteriorate the basic rights of children such as education, health, nutrition and protection?
  - How does seasonal migration affect children physically, socially, psychologically and emotionally?
- ✓ What existing social services do children affected by migration have access to?
  - How does this vary by geography, socio-demographic group?
  - How is access made possible/ensured?
- ✓ What existing social services children affected by migration do not have access to?
  - How does this vary by geography, socio-demographic group?
  - Why do children affected by migration not have access to these services?
  - What challenges do migrant children and their caregivers/ parents face when accessing social services?
- ✓ How did access to social services change for children when they are affected by migration?

The above will include a comparison of children who migrate with their parents with children who stay back with grandparents/caregivers when their parents migrate.

## 3. What solutions already exist?

This research question covers Objectives 3 and 4: to explore existing solutions and identify gaps that exist and to develop recommendations for policy and planning. The sub questions are:

- ✓ What strategies or solutions have been designed for children affected by migration in Jalna or nationally and globally, if any?
  - How do these strategies/solutions attempt to address the negative impact of migration on children?
  - How do these strategies/solutions attempt to address the lack of access to services of children affect by migration?
  - What perceptions do migrant parents have of these strategies/solutions? What challenges so they experience?
  - What are the existing policies and programmes being implemented by government as well as NGOs and through partnerships that already exist on the ground?
  - What are the solutions, if any, that have been developed by the communities/villages?
- ✓ What are the gaps of existing strategies and solutions?
- ✓ What are the recommendations for policy imperatives?

## Project Overview

The proposed collaboration between UNICEF and IIPS will involve the collection and analysis of data at three levels:

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**A. A desk review of the existing literature & administrative data for Jalna**

Academic and grey literature on the links between seasonal migration and child rights and child protection will be reviewed to examine patterns and trends of seasonal migration in Jalna or nationally and globally. Gram Panchayat data on access to services by children affected by seasonal migration will also be sought and analysed for this purpose. Existing government and UNICEF schemes, policies and programs addressing seasonal migration in Jalna or nationally and globally will be mapped. The relevant budgetary allocations for Jalna district for all seasonal migrants will also be determined.

**B. Cross Sectional Data Collection and Analysis**

In order to gain new insights into the challenges faced by children affected by migration and their parents, a cross sectional data collection and analysis has been planned. This study will focus on four groups of children, three of which are affected by seasonal migration, i.e.

- Children from Jalna who stay at home when their parents migrate
- Children from Jalna who migrate with their parents
- In migrant children (from other parts of the state and country) at work sites in Jalna

A fourth group comprising children from non-migrant households will also be included to allow for a comparison of indicators related to child protection, health, nutrition and education and ascertain the impact of seasonal migration on children.

Data would be collected through the use of multiple tools that include Quantitative household surveys, qualitative data collection methods with children and households, focus group discussions and observation guides. The survey instruments will be aligned with efforts underway in other states by UNICEF to enable comparison across states. Please refer to table below for details of the same.

Data collection method	Respondent group	Number of respondents	Key components covered
Quantitative household surveys	<ul style="list-style-type: none"><li>• Households with children who migrate with parents</li><li>• Households with children who stay back when parents migrate,</li><li>• Households with children that migrate into Jalna for seasonal work</li><li>• Households with children that do not migrate</li></ul>	400 in each of the four categories. (1 adult and 1 child would be covered in each household)	Key demographics, drivers of migration, key outcomes for children, access to services of education, health, nutrition (including anthropometric measurements for child respondents) and protection
Qualitative data collection methods with children	<ul style="list-style-type: none"><li>• children who migrate with parents</li><li>• children who stay back when parents migrate</li><li>• children from in-migrant families</li></ul>		Perception and experience around migration, Any changes and challenges faced in terms of access to services, Social, psychological and emotional impact of migration

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Qualitative data collection methods with households:	<ul style="list-style-type: none"> <li>• Migrant households: <ul style="list-style-type: none"> <li>○ children who migrate with parents</li> <li>○ children who stay back when parents migrate</li> <li>○ children from in-migrant families</li> </ul> </li> </ul>	Any changes and challenges faced in terms of access to services Any changes in family relations/dynamics due to migration
--	--	--

Every care will be taken to ensure that the sample covers an equal number of girls and boys as well as children of different ages so as to ensure a comprehensive understanding of the impact of seasonal migration on children across age and gender. However, data will not be collected from children aged less than 10 as such young children may not be able to respond to some of the questions and express their views.

Stratified sampling will be used to ensure that the sample covers an equal number of girls and boys as well as children of different ages so as to ensure a comprehensive understanding of the impact of seasonal migration on children across age and gender. The quantitative sample will include a random selection of households. Listing of households at the selected worksites and villages will be done as part of the sampling strategy. Qualitative samples will be selected purposively.

In addition to the qualitative methods with children and households, focus group discussions will be conducted with village stakeholders, frontline workers and service providers to examine their perceptions of the engagement with children who stay back during the season of migration as below.

Respondent Group to examine access to services as well as strategies and solutions for children affected by seasonal migration	Total
Balmitras (in intervention villages alone)	4
Caregivers (in both intervention and non-intervention villages)	4
Multi-stakeholders (VCPC, SMC, Asha, AWW) at village level (in both intervention and non-intervention villages)	4
<b>Total FGDs</b>	<b>12</b>

**C. In-depth qualitative interviews or Key Informant Interviews with child protection stakeholders**  
In-depth or Key Informant Interviews (KIIs) will be conducted with district level stakeholders to assess current implementation of policies, understand challenges faced and identify existing gaps. Additionally, members of the factory management at the work sites (one in each sector) will also be interviewed as would the Mukadams at these work sites.

KII Respondents	No of respondents
Secretaries from Departments of Labour, WCD, Education, RDD	4
Commissioners from Departments of Labour, WCD, Education, RDD	4
District Collector and CEO	2
Management of factory sites (two per work sector)	8
Mukaddams (two per work sector)	8
<b>Total</b>	<b>26</b>

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#### Deliverables

1. **Interim report.** One interim report will be submitted halfway through the project and will contain a summary of the desk review, the literature review, an update of work done in the preceding months as well as an eyeball analysis of data collected.
2. **Desk review report**
3. **Final report.** Will summarize lessons learned, analysis over time.
4. **Policy deliverables.** Practical, advocacy focused outputs that support UNICEF in its work to improve policies for children of seasonal migrants in Maharashtra and throughout India, collaborating with the private sector (factories), government (education, police, labour, DSW, WCD), and local bodies (village level child protection committees, gram panchayats, school management committees and youth groups).
5. **Conference.** A conference in Delhi or Bombay that brings together relevant stakeholders to share findings of the research and best practices from other areas of India; and to encourage future collaboration around strengthened child protection policy in the context seasonal migration. This forum can also be used to help decide questions that the Census 2021 can include on internal migration. Select practitioners, academicians and policy makers will be brought together to form a learning community that will further the policy and programming on children on the move.
6. **Journal Article:** An article will be written jointly by the research agency and UNICEF and submitted for published in an academic journal.

*Q. D. Desai*  
16/12/2019





# BUDGET

Programme Cooperation Agreement Title: Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra

Location: Maharashtra  
 Responsible Officer(s): Prof. Kailash Chandra Das, Prof. R. B. Bhagat, Prof. Archana Roy  
 Implementing Partner: IIPS, Mumbai  
 Project Period: 18 months

Amount in Indian Rupees\*

Programme Costs								UNICEF Contribution					
No.	Items	Unit	Quantity	Unit cost	Total budgeted (D+E)	Partner's contribution	Total UNICEF Contribution	Dec 19 - Mar 20	Mar - Jun 20	Jun - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
A	B	C	D	E	F	G	H	I	J	K	L	M	N
1.0	Staff												
1.1	Senior Project Officer (1 @ 60000/ month x 18 months)	Months	18	60,000	1,080,000.00	-	1,080,000.00	180,000	180,000	180,000	180,000	180,000	180,000
1.2	Project Officer (2 @ 40000/ month x 18 months)	Person/M	36	40,000	2,160,000.00	-	2,160,000.00	360,000	360,000	360,000	360,000	360,000	360,000
2.0	Training workshop (Qualitative 4 days)											0	0
2.1	Food (@ 400 x 15 persons x 4 days, as per actuals)	Nos/day	60	400	24,000.00	-	24,000.00	24,000					
2.2	Travel (for 02 Resource persons @ 1500/person as per actuals)	Nos	2	1,500	3,000.00	-	3,000.00	3,000					
2.3	Honorarium to resource persons (02 @ 5000/day)	Nos	2	5,000	10,000.00	-	10,000.00	10,000					
2.4	Stationery, handouts and pens/pencils (as per actuals)	Nos	10	1,000	10,000.00	-	10,000.00	10,000					
3.0	Training workshop (Quantitative, 1 week in January and 1 week in June at Jalna)											0	0
3.1	Venue @ 5000/day x 5 days x 2 times (as per actuals)	Days	10	5,000	50,000.00	-	50,000.00	25,000			25,000		
3.2	LCD Projector and audio system (if hired separately) @ 2000/day x 5 days (as per actuals)		10	2,500	25,000.00	-	25,000.00	12,500			12,500		

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3.3	Food and accommodation (@ 2700/day x 30 data collectors, IIPS staff, Jaina team etc for 2 rounds of 9 days each, as per actuals)	Nos/day	450	2,700	1,296,000.00	-	1,296,000.00	648,000	-	648,000	-	-	-
3.4	Travel (to Jaina for data collectors @ 250/ person x 2 ways x 15 people x 2 rounds, as per actuals)	Nos	60	250	15,000.00	-	15,000.00	7,500	-	7,500	-	-	-
3.5	DA for resource persons (@ 1200 x 5 days x 2 rounds x 3 persons)	Nos	30	1,200	36,000.00	-	36,000.00	18,000	-	18,000	-	-	-
3.6	Conveyance vehicle hire for tool testing field trip (As per actuals, 2 vehicles x 2 days x 2 times)	Nos	8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-	-
3.7	Travel to Jaina (airfare for IIPS faculty, as per actuals)	Nos	6	15,000	90,000.00	-	90,000.00	45,000	-	45,000	-	-	-
3.8	Travel to Jaina (IIPS team, 4 persons @ 3000/ person/ roundtrip train x 2 times, as per actuals)	Nos	8	3,000	24,000.00	-	24,000.00	12,000	-	12,000	-	-	-
3.9	Weighing machine and stadiometer for BMI (@ Rs 5000/set/ data collector x 10)	Nos	10	5,000	50,000.00	-	50,000.00	50,000	-	-	-	-	-
3.10	Stationery and handouts (as per actuals)	Nos	30	100	3,000.00	-	3,000.00	1,500	-	1,500	-	-	-
4.0	Data Collection (Quantitative, two rounds, one each in Jan-Feb and July-Aug)							0	-	-	-	-	-
4.1	Honorarium to data collectors @ 500/person/day x 50 days x 10 data collectors)	Nos/day	500	500	250,000.00	-	250,000.00	75,000	-	175,000	-	-	-
4.2	Food for data collectors @ 350/day x 50 days x 10 data collectors, as per actuals)			350	175,000.00	-	175,000.00	52,600	-	122,500	-	-	-



*Dec 16/12/2019*



4.3	Accommodation for data collectors @ 500/day x 50 days x 10 data collectors	Nos/day	500	500	250,000.00	-	250,000.00	75,000	-	175,000	-	-	-	-	-
4.4	Data cards to data collectors @ 200/month x 10 data collectors x 3 times	Nos	30	300	6,000.00	-	6,000.00	2,000	-	4,000	-	-	-	-	-
4.5	Travel to Jaipur for data collection team (7 seater vehicles x 2 @ 4000/day x 50 days, as per actuals)	Nos/day	100	4,000	400,000.00	-	400,000.00	120,000	-	280,000	-	-	-	-	-
4.6	DA and Accommodation for SPQ @ 3000 x 15 days	Days	15	3,000	45,000.00	-	45,000.00	15,000	-	30,000	-	-	-	-	-
4.7	DA and Accommodation for 3 PO @ 2500 x 50 days	Days	150	2,000	300,000.00	-	300,000.00	60,000	-	240,000	-	-	-	-	-
4.8	DA for IPS for data monitoring and supervision @ 1200 x 4 days x 3 rounds	Nos	8	1,200	9,600.00	-	9,600.00	4,800	-	4,800	-	-	-	-	-
4.9	Accommodation for coordinators @ 4000 x 4 x 2 rounds, as per actuals		8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-	-	-	-
4.10	Travel to Jaipur (airfare for IPS faculty, as per actuals)	Nos	1	15,000	15,000.00	-	15,000.00	-	-	15,000	-	-	-	-	-
4.11	Local travel coordinator (1 vehicle x 4 days x 3 rounds, as per actuals)	Days	8	3,000	24,000.00	-	24,000.00	12,000	-	12,000	-	-	-	-	-
4.12	Travel to Jaipur (IPS team, 1 person @ 3000/person/roundtrip train, as per actuals)	Nos	1	3,000	3,000.00	-	3,000.00	-	-	3,000	-	-	-	-	-
4.13	Digitization of quantitative tools	Nos	1	40,000	40,000.00	-	40,000.00	6,667	6,667	6,667	6,667	6,667	6,667	6,667	6,667
4.14	Development of the mobile app	Nos	1	40,000	40,000.00	-	40,000.00	6,667	6,667	6,667	6,667	6,667	6,667	6,667	6,667
4.15	Hosting Infrastructure, Source Code Management and Support	Nos	18	5,000	90,000.00	-	90,000.00	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000
5.0	Data Collection (Qualitative, three rounds in Jan- Feb, April-May and July-Aug)					-	-	-	-	-	-	-	-	-	-



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6.1	Travel to Jharkhand (IPS team, 4 persons @ 3000/ person/ roundtrip train x 3 trips, as per actuals)	Times	12	3,000	36,000.00	-	36,000.00	12,000	12,000	12,000	-	-
6.2	DA and Accommodation for SPO @ 3500 x 15 days)	Days	15	3,500	52,500.00	-	52,500.00	17,500	17,500	17,500	-	-
6.3	DA and Accommodation for PO @ 2500 x 25 days x 2 POS)	Person/d	50	2,500	125,000.00	-	125,000.00	50,000	25,000	50,000	-	-
6.4	DA for IPS for data supervision (@1200 x 4 days x 3 rounds)	Nos	6	1,200	9,600.00	-	9,600.00	4,800	-	4,800	-	-
6.5	Accommodation for coordinators (@ 4000 x 4 x 2 rounds, as per actuals)	Nos	8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-
6.6	Travel to Jharkhand (airfare for IPS faculty 2 rounds, as per actuals)	Nos	2	15,000	30,000.00	-	30,000.00	15,000	-	15,000	-	-
6.7	Local travel coordinator (1 vehicle x 4 days x 2 rounds, as per actuals)	Days	8	3,600	24,000.00	-	24,000.00	12,000	-	12,000	-	-
6.8	Local travel (1 vehicle x 25 days, as per actuals)	Days	25	3,000	75,000.00	-	75,000.00	30,000	15,000	30,000	-	-
6.9	Consultation for dissemination				-	-	-	-	-	-	-	0
6.1	Venue	Times	1	35,000	35,000.00	-	35,000.00	-	-	-	-	35,000
6.2	Food @1500/person x 125 people as per actuals	Nos	125	1,500	187,500.00	-	187,500.00	-	-	-	-	187,500
6.3	Design and layout of the document (4 colours, including graphs)	Nos	1	80,000	80,000.00	-	80,000.00	-	-	-	-	80,000
6.4	Printing (300 copies, as per actuals)	Nos	300	250	75,000.00	-	75,000.00	-	-	-	-	75,000
7.0	Technical Advisory Committee											0
7.1	Honorarium @5000/person x 2 times	Nos	10	5,000	50,000.00	-	50,000.00	25,000	-	-	25,000	0
7.2	Food @350 x 20 persons x 2 times as per actuals	Nos	40	350	14,000.00	-	14,000.00	7,000	-	-	7,000	0
7.3	Travel (outstation expert @ 15000 x 2 persons x 2 times, as per actuals)		4	15,000	60,000.00	-	60,000.00	30,000	-	-	30,000	0



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7.4	Accommodation for outstation expert (@ 4000 x 1 night x 2 persons x 2 times, as per actuals)	Nos	4	4000	16,000.00	-	16,000.00	8,000	0	0	8,000	0	
	SubTotal				7,489,200.00	0.00	7,489,200.00	2,140,433	637,833	2,558,433	568,333	638,333	945,833

#### Direct Programme Support Costs

No.	Items	Unit	Quantity	Unit cost	Total budgeted	Partner's contribution	UNICEF Contribution	UNICEF Contribution					
								Dec 19 - Mar 20	Mar - Jun 20	Jun - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
A	B	C	D	E	F	G	H	1st tranche	2nd tranche	3rd tranche	4th tranche	5th tranche	6th tranche
8.1	Office Expenditure	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.2	Tea and refreshments for meeting (at least 2 meeting a month x 18 months)	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.3	Office communication including data cards (for core staff)	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.4	Accountant cum office assistant	Months	18	40,000	720,000.00	-	720,000.00	120,000	120,000	120,000	120,000	120,000	120,000
8.5	Institutional overhead such as office space and facilities like electricity, water, Air conditioning, library, computer center, data center and other material and non-material resources including staff time	10% of total budget			748,920.00	-	748,920.00	124,820	124,820	124,820	124,820	124,820	124,820
	<b>SubTotal</b>				<b>1,657,920.00</b>	<b>-</b>	<b>1,657,920.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>

#### Budget Summary

Budget Category	Total budgeted	Partner's contribution	Total UNICEF Contribution	UNICEF Contribution					
				Dec 19 - Mar 20	Mar - Jun 20	Jun - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
Programme Costs	7,489,200.00	-	7,489,200.00	2,140,433	637,833	2,558,433	568,333	638,333	945,833
Direct Programme Support Costs	1,657,920.00	-	1,657,920.00	276,320	276,320	276,320	276,320	276,320	276,320
<b>TOTAL BUDGET</b>	<b>9,147,120.00</b>	<b>-</b>	<b>9,147,120.00</b>	<b>2,416,753</b>	<b>914,153</b>	<b>2,834,753</b>	<b>844,653</b>	<b>914,653</b>	<b>1,222,153</b>

129,014.39

129,014.39

34,086.79

12,893.56

30,982.42

11,913.31

12,000.61

17,237.71

including of monitoring and supervision of initial days of fieldwork.

34,086.79

84,789.28

68,876.07

*Dec 16/12/2019*



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19.12.19

Prof (Dr). R.B. Bhagat,  
Head of Department,  
Department of Migration and Urban Studies,  
International Institute for Population Sciences (IIPS),  
Govandi Station Road,  
Deonar, Mumbai 4000088

Dear Professor Bhagat,

**UNICEF Partnership with IIPS for 'Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra'**

This has reference to your letter dated 16/12/2019 forwarding the budget and technical proposal for the study on children of seasonal migrants in Jalna.

We have reviewed the budget and confirm our acceptance of the budget; with UNICEF's total contribution of Rs. INR 91,47,120 as follows:

Sr.	Heads	Approved Budget
1.	Staff salaries	32,40,000.00
2.	Qualitative tool development and training workshop	47,000.00
3.	Training workshop quantitative	16,21,000.00
4.	Quantitative data collection	16,79,600.00
5.	Qualitative data collection	3,84,100.00
6.	Consultation	3,77,500.00
7.	Research advisory group meetings	1,40,000.00
8.	Direct programme support costs	16,57,920.00
<b>GRAND TOTAL</b>		<b>91,47,120.00</b>

The approved budget is in the attached annex. The expenditure shall be guided as follows:

1. The cash assistance released should be utilized only for those activities indicated above and in accordance with the approved detailed budget attached that was submitted by your office.
2. The cash assistance released by UNICEF cannot be used for procurement of any supplies and equipment except as specified in the budget.



3. The cash assistance released should be utilized within three months from the date of release of funds.
4. If your department / organization is not in a position to utilize UNICEF's cash assistance within a period of four months from the date of release of the funds, the unutilized cash assistance should be refunded to UNICEF.
5. Deviation in any budget line item should not exceed 20%. Deviations, if any, should be agreed to in writing by UNICEF prior to expenditure and provided that the total approved budget is not exceeded.

As per our financial norms, on completion of the project, we would request you to let us have the completed FACE form, a budget vs actual expenditure statement (SOE), and an activity report to enable us release reimbursement, as appropriate.

As a part of our standard operating procedure, we need to undertake the financial assessment of implementing partners. In this regards, UNICEF operation and finance team may visit your office on periodic basis, upon mutually convenient dates, and review the financial process and systems with your accounts and finance staff.

We look forward to partnering with IIPS for this Study.

With best wishes,

Yours sincerely,

  
Rajeshwar Chandrasekar  
Chief, Mumbai Field Office

अन्तर्राष्ट्रीय जनसंख्या  
विज्ञान संस्थान  
(विश्वविद्यालय समतुल्य)\*

स्वास्थ्य एवं परिवार कल्याण मंत्रालय, भारत सरकार का स्वायत्त संगठन  
गोवर्द्धी स्टेशन रोड, देवनागर, मुम्बई - 400 088, भारत



(स्थापना/ Established in 1956)  
बेहतर भविष्य के लिए क्षमता निर्माण  
Capacity Building for a Better Future

1332  
International Institute for  
Population Sciences  
(Deemed University)\*

An Autonomous Organization of Ministry of Health & Family Welfare, Govt. of India  
Govardhi Station Road, Deonar, Mumbai - 400 088, INDIA

BY COURIER

IIPS/ UNICEF/ 287/2019

16/12/2019

To

Ms. Rajeshwari Chandrasekar

Chief, Mumbai Field Office, UNICEF

4<sup>th</sup> Floor, Atrium 215, B Wing,

Behind Courtyard Marriot,

Chakala, Andheri East,

Mumbai - 400093.



Sub: Submission of signed copy of technical proposal, ToR, budget and Face form of the project titled  
"Protection of children affected by seasonal migration: A study in Jalna district of Maharashtra"

Madam

Greetings from IIPS.

Attached please find the of signed copy of technical proposal, budget and Face form of the project  
titled "Protection of children affected by seasonal migration: A study in Jalna district of Maharashtra"  
for your kind perusal and needful action.

Yours sincerely,

K. C. Das

Project Coordinator





**Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra**

<b>Project title</b>	Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra	
<b>Geographical Coverage</b>	Jalna, Maharashtra	
<b>Funds requested from UNICEF</b>	INR 91,47,120	
<b>Organization Contribution</b>		
<b>Applicant Organization</b>	International Institute for Population Sciences	
<b>Address</b>	Govandi Station Road, Deonar, Mumbai-88	
<b>Contact Person</b>	Prof Kailash Chandra Das, Prof R. B. Bhagat Prof. Archana Roy	
<b>Phone and Email</b>	6370205856/02242372424; kcdas@iips.net/dasilic@yahoo.com	
<b>Time Frame</b>	<b>Project duration</b>	18 Months
	<b>Start date</b>	20/12/2019
	<b>Completion date</b>	19/06/2021

#### Introduction

Jalna is a district in the Aurangabad division of Maharashtra, home to 19 million people in 2011. There is both in-migration and out-migration from this area, parts of which are highly fertile and densely worked in. Families come from various parts of Maharashtra and Madhya Pradesh for seasonal work in brick kilns, stone quarries, cotton ginning factories and the sugarcane industry.

Children migrate with parents that work in sugarcane factories, cotton ginning, brick kilns and stone quarries. For all of them, these conditions present several challenges. The makeshift accommodations in which many families live constitute high risk areas for young children and adolescent girls, all the more so as they are often left alone while parents are out at work. Living accommodation is often precarious, easily accessible to outsiders. These areas often have no basic amenities including electricity, water or sanitation, and teem with insects and other animals in temperatures that often exceed 40 degrees. Children often work, helping their parents with the harvesting and with domestic chores. This contributes to low school attendance, which is further exacerbated by lack of information about the right to enroll in local schools at the destination and the process.

Children that stay behind in their home villages for the months that parents migrate, face a related set of challenges. Some of these children are cared for by grandparents or other relatives, while others fend for themselves. Many are without resources to meet their basic needs: parents paying down debt on a piecemeal basis are not always able to send back sufficient support and earning opportunities in source villages are often scarce. Children living alone or in families under increased strain are vulnerable to child labor, deteriorating mental health, sporadic school attendance, school dropout, and child marriage.

Although Jalna has high rates of out migration, field research by UNICEF revealed that many families migrated into Jalna from other parts of Maharashtra. In 2017-18, approximately 17940 families

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migrated into 353 worksites across all eight blocks of Jalna for seasonal work at four work sectors (sugar cane harvesting, brick kilns, cotton ginning factories, stone quarries). Although 85 percent of the families are internal migrants from different parts of Maharashtra, most children did not have access to education at the destination points. A rapid assessment of the situation of children at work sites in Jalna conducted by UNICEF in 2017-2018 revealed that living conditions at the work sites were poor, few work sites provided drinking water, toilets, safe bathing spaces or electricity. Children and their families did not have access to services of education, health, nutrition or protection at most of the worksites.

The proposed study will rigorously explore the child protection and child rights challenges generated by these patterns of seasonal migration, the policies and programmes established to address them and what can be improved and strengthened.

#### Objectives of the study

1. To understand the situation of children affected by seasonal migration in Jalna, including who they are, where they come from, their living conditions, and daily activities.
2. To identify the challenges faced by seasonal child migrants and their needs.
3. To ascertain the consequences of seasonal migration on children.
4. To identify gaps in existing strategies and solutions for children affected by seasonal migration and find more sustainable solutions.
5. To develop recommendations for strengthening policy and programmes for children affected by seasonal migration.

#### Key Questions

In particular, the study will investigate the following three key research questions that is linked to one or more objectives.

##### 1. What is the situation of children affected by migration and what are their needs?

This research question covers Objective 1: To understand the situation of children affected by seasonal migration and their needs. The sub-questions are:

- ≠ What are demographics of child migrants in Jalna?
- ≠ Is there a difference in the socio-economic characteristics of the children who migrate with their parents (both in and out of Jalna) or stay back?
- ≠ Are there families who have children who migrate with them and also stay back?
- ≠ What are the factors that affect such decisions?
- ≠ What are the reasons that children migrate with their parents or chose to stay back?
- ≠ Which children affected by migration are most impacted?
  - o Age, gender, caste, disabilities etc
- ≠ How do children experience seasonal migration?
  - o Are they aware of what seasonal migration is and what it means?
  - o Do they have a say in decisions related to migration?
  - o How do they talk about and experience seasonal migration?

The experiences of children will be examined through the use of a gender and age lens.

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## 2. How does seasonal migration impact children?

This research question covers Objective 2: To ascertain the impact of seasonal migration on children. The sub questions are:

- ✓ In what way does seasonal migration have an impact on children?
  - How does seasonal migration improve/deteriorate the basic rights of children such as education, health, nutrition and protection?
  - How does seasonal migration affect children physically, socially, psychologically and emotionally?
- ✓ What existing social services do children affected by migration have access to?
  - How does this vary by geography, socio-demographic group?
  - How is access made possible/ensured?
- ✓ What existing social services children affected by migration do not have access to?
  - How does this vary by geography, socio-demographic group?
  - Why do children affected by migration not have access to these services?
  - What challenges do migrant children and their caregivers/ parents face when accessing social services?
- ✓ How did access to social services change for children when they are affected by migration?

The above will include a comparison of children who migrate with their parents with children who stay back with grandparents/caregivers when their parents migrate.

## 3. What solutions already exist?

This research question covers Objectives 3 and 4: to explore existing solutions and identify gaps that exist and to develop recommendations for policy and planning. The sub questions are:

- ✓ What strategies or solutions have been designed for children affected by migration in Jalna or nationally and globally, if any?
  - How do these strategies/solutions attempt to address the negative impact of migration on children?
  - How do these strategies/solutions attempt to address the lack of access to services of children affect by migration?
  - What perceptions do migrant parents have of these strategies/solutions? What challenges so they experience?
  - What are the existing policies and programmes being implemented by government as well as NGOs and through partnerships that already exist on the ground?
  - What are the solutions, if any, that have been developed by the communities/villages?
- ✓ What are the gaps of existing strategies and solutions?
- ✓ What are the recommendations for policy imperatives?

### Project Overview

The proposed collaboration between UNICEF and IIPS will involve the collection and analysis of data at three levels:

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**A. A desk review of the existing literature & administrative data for Jalna**

Academic and grey literature on the links between seasonal migration and child rights and child protection will be reviewed to examine patterns and trends of seasonal migration in Jalna or nationally and globally. Gram Panchayat data on access to services by children affected by seasonal migration will also be sought and analysed for this purpose. Existing government and UNICEF schemes, policies and programs addressing seasonal migration in Jalna or nationally and globally will be mapped. The relevant budgetary allocations for Jalna district for all seasonal migrants will also be determined.

**B. Cross Sectional Data Collection and Analysis**

In order to gain new insights into the challenges faced by children affected by migration and their parents, a cross sectional data collection and analysis has been planned. This study will focus on four groups of children, three of which are affected by seasonal migration, i.e.

- Children from Jalna who stay at home when their parents migrate
- Children from Jalna who migrate with their parents
- In migrant children (from other parts of the state and country) at work sites in Jalna

A fourth group comprising children from non-migrant households will also be included to allow for a comparison of indicators related to child protection, health, nutrition and education and ascertain the impact of seasonal migration on children.

Data would be collected through the use of multiple tools that include Quantitative household surveys, qualitative data collection methods with children and households, focus group discussions and observation guides. The survey instruments will be aligned with efforts underway in other states by UNICEF to enable comparison across states. Please refer to table below for details of the same.

Data collection method	Respondent group	Number of respondents	Key components covered
Quantitative household surveys	<ul style="list-style-type: none"><li>• Households with children who migrate with parents</li><li>• Households with children who stay back when parents migrate,</li><li>• Households with children that migrate into Jalna for seasonal work</li><li>• Households with children that do not migrate</li></ul>	400 in each of the four categories. (1 adult and 1 child would be covered in each household)	Key demographics, drivers of migration, key outcomes for children, access to services of education, health, nutrition (including anthropometric measurements for child respondents) and protection
Qualitative data collection methods with children	<ul style="list-style-type: none"><li>• children who migrate with parents</li><li>• children who stay back when parents migrate</li><li>• children from in-migrant families</li></ul>		Perception and experience around migration, Any changes and challenges faced in terms of access to services, Social, psychological and emotional impact of migration

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16/12/2019





Qualitative data collection methods with households:	<ul style="list-style-type: none"> <li>• Migrant households: <ul style="list-style-type: none"> <li>○ children who migrate with parents</li> <li>○ children who stay back when parents migrate</li> <li>○ children from in-migrant families</li> </ul> </li> </ul>	Any changes and challenges faced in terms of access to services Any changes in family relations/dynamics due to migration
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Every care will be taken to ensure that the sample covers an equal number of girls and boys as well as children of different ages so as to ensure a comprehensive understanding of the impact of seasonal migration on children across age and gender. However, data will not be collected from children aged less than 10 as such young children may not be able to respond to some of the questions and express their views.

Stratified sampling will be used to ensure that the sample covers an equal number of girls and boys as well as children of different ages so as to ensure a comprehensive understanding of the impact of seasonal migration on children across age and gender. The quantitative sample will include a random selection of households. Listing of households at the selected worksites and villages will be done as part of the sampling strategy. Qualitative samples will be selected purposively.

In addition to the qualitative methods with children and households, focus group discussions will be conducted with village stakeholders, frontline workers and service providers to examine their perceptions of the engagement with children who stay back during the season of migration as below.

Respondent Group to examine access to services as well as strategies and solutions for children affected by seasonal migration	Total
Balmitras (in intervention villages alone)	4
Caregivers (in both intervention and non-intervention villages)	4
Multi-stakeholders (VCPC, SMC, Asha, AWW) at village level (in both intervention and non-intervention villages)	4
<b>Total FGDs</b>	<b>12</b>

**C. In-depth qualitative interviews or Key Informant Interviews with child protection stakeholders**  
In-depth or Key Informant Interviews (KIIs) will be conducted with district level stakeholders to assess current implementation of policies, understand challenges faced and identify existing gaps. Additionally, members of the factory management at the work sites (one in each sector) will also be interviewed as would the Mukadams at these work sites.

KII Respondents	No of respondents
Secretaries from Departments of Labour, WCD, Education, RDD	4
Commissioners from Departments of Labour, WCD, Education, RDD	4
District Collector and CEO	2
Management of factory sites (two per work sector)	8
Mukaddams (two per work sector)	8
<b>Total</b>	<b>26</b>

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16/12/2019



#### Deliverables

1. **Interim report.** One interim report will be submitted halfway through the project and will contain a summary of the desk review, the literature review, an update of work done in the preceding months as well as an eyeball analysis of data collected.
2. **Desk review report**
3. **Final report.** Will summarize lessons learned, analysis over time.
4. **Policy deliverables.** Practical, advocacy focused outputs that support UNICEF in its work to improve policies for children of seasonal migrants in Maharashtra and throughout India, collaborating with the private sector (factories), government (education, police, labour, DSW, WCD), and local bodies (village level child protection committees, gram panchayats, school management committees and youth groups).
5. **Conference.** A conference in Delhi or Bombay that brings together relevant stakeholders to share findings of the research and best practices from other areas of India; and to encourage future collaboration around strengthened child protection policy in the context seasonal migration. This forum can also be used to help decide questions that the Census 2021 can include on internal migration. Select practitioners, academicians and policy makers will be brought together to form a learning community that will further the policy and programming on children on the move.
6. **Journal Article:** An article will be written jointly by the research agency and UNICEF and submitted for published in an academic journal.

*Q. D. Desai*  
16/12/2019





Forwarding Letter by the Head of Affiliating Institution/University

The In-charge,

Research Projects (RP) Division

Indian Council of Social Science Research (ICSSR)

JNU Institutional Area Aruna Asaf Ali Marg,

New Delhi - 110067

The JNU, NEW DELHI-110067 forwards the application of Professor Nandita Saikia (for ICSSR Research Project).

We agree to administer the funds, provide basic research infrastructure facilities, and make available all its research facilities such as library, laboratory and other equipment and required office assistance for the smooth completion of the Research Programme /Project. We shall open and maintain a dedicated bank account duly registered at PFMS portal for release of the ICSSR Research Grant (Scheme Code 0877) without any delay. (Please refer notification given on ICSSR website - [www.icssr.org](http://www.icssr.org)).

If the scholar undertaking the Research Project leaves our institution due to valid reasons, we would have no objection to the transfer of the Programme/Project to a new institution, subject to the approval of the ICSSR. The institution, however, shall be responsible for submitting the audited statement of accounts and utilisation certificate for the grant received and utilised. Overhead charges will be apportioned as per ICSSR rules.

On completion of the Project, the institution will make sure that all books/periodicals/equipment etc. purchased out of the project grant by the scholar are deposited with us as the affiliating institution. We would also acknowledge the receipt of books/periodicals/equipment etc. from ICSSR in our records or book entries, and communicate the same to ICSSR, New Delhi. Signature of the Director of the Institute / Principal/ Registrar (with name and stamp) Place: Name:

Place Mumbai

Name 30<sup>th</sup> June, 2022

Signature of the Director of the Institute / Principal/ Registrar

(with name and stamp)

प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / Director & Sr. Professor  
अंतर्राष्ट्रीय जनसंख्या विज्ञान संस्थान  
International Institute for Population Sciences  
गोवर्ंदी स्टेशन रोड, देणार / Govandi Station Road, Deonar  
मुंबई / Mumbai - 400 088



**STATEMENT OF INTENT**

**BETWEEN**

**NATIONAL INSTITUTION FOR TRANSFORMING INDIA  
(NITI AAYOG)**

**AND**

**UNITED NATIONS CHILDREN FUND (UNICEF) INDIA**

**ON**

**STATE OF INDIA'S CHILDREN REPORT: STATUS AND  
TRENDS IN MULTIDIMENSIONAL CHILD DEVELOPMENT**

This Statement of Intent ("Sol" hereafter) is entered between the National Institution For Transforming India, with its office at Sansad Marg, New Delhi-110001, India ("NITI Aayog") the policy think-tank of the Government of India and United Nations Children's Fund (UNICEF India).

NITI Aayog and UNICEF India are hereinafter referred to individually as a "Party" and jointly as the "Parties."

**CONSIDERING** that UNICEF India is the UN Agency working to uphold the rights of the child as enshrined in the Convention on the Rights of the Child in over 190 countries, supporting governments and stakeholders in designing, implementing and evaluating policies and programs for children and adolescents, including at national and across 16 states of India.

**CONSIDERING FURTHER** that NITI Aayog is the premier policy "Think Tank" of the Government of India and nodal agency for the Sustainable Development Goals, providing both directional and policy inputs, while designing strategic and long-term policies and programmes for the Government of India, and providing relevant technical advice to both the State and the Centre Government, and

**CONVINCED** that the cooperation and collaboration between the parties would serve their common objectives and render their respective activities more effective and beneficial.

**THE PARTIES HAVE REACHED THE FOLLOWING UNDERSTANDING:**

#### **ARTICLE 1: OBJECTIVE**

The purpose of this Sol is to formalise a framework of cooperation for facilitating collaboration between the Parties to undertake analysis and develop a report on the "State of India's Children: Status and Trends in Multidimensional Child Development". The collaboration will draw up the methods, partnerships, technical analysis, reporting, advocacy and action planning of the first report on the "Status of India's Children" with a focus on multidimensional aspects of development such as health, education, nutrition, and other relevant areas in India and its States and Union Territories.



## **ARTICLE 2: AREAS OF COLLABORATION**

1. In order to achieve the objective stated in Article 1, the Parties shall cooperate in the following areas
  - i. Draw up a methodological approach to assess multidimensional child deprivations and development.
  - ii. Organize stakeholder consultations for gathering feedback,
  - iii. Conduct the analysis, present findings, develop the report and interactive dashboard.
  - iv. Organize advocacy and action planning workshops at national and state level, and such other areas as may be agreed between the Parties.
  - v. Any other area of mutual interest, in the areas of SDGs, child well-being and development etc. which align with the mandate of both institutions.
2. The Parties shall co-operate and collaborate in the above areas, and on activities agreed between the Parties, subject to the respective internal objectives, functions, policies and procedures of the Parties.
3. The Parties may enter into definitive agreements for specific projects that will further specify the scope of activities and financial arrangements, the details of which shall be outlined in a separate agreement or project document.
4. The collaboration between the parties may involve national and state government ministries and departments, technical institutions, specialised agencies, and other stakeholders whose roles and responsibilities shall be specified, as necessary, in the context of specific projects under this SoI.

## **ARTICLE 3: CONSULTATIONS AND EXCHANGE OF INFORMATION**

1. The Parties shall hold periodic consultations to review the planning, implementation, and outcomes of their cooperation activities as well as identify opportunities and follow-up activities for enhancing their collaboration.
2. The Parties recognise that effective collaboration depends upon open, comprehensive, and regular communication and sharing of information at the institutional level subject to disclosure policies of each Party.





#### **ARTICLE 4: ACKNOWLEDGEMENT AND USE OF INSTITUTIONAL LOGOS**

The Parties recognise that their involvement in joint activities needs to be publicised and agree that:

1. There will be public acknowledgement of the role and contribution of each Party on co-operation projects in all public information documentation related to such cooperation.
2. The use of logos of each Party in documentation related to co-operation will be in accordance with the current policies of each Party concerning such usages.

#### **ARTICLE 5: CONFIDENTIALITY**

1. Each Party shall keep in strict confidence all confidential information<sup>1</sup> obtained from or disclosed by the disclosing Party pursuant to or in connection with this Sol and shall not disclose or permit its representatives to disclose such information to any third party, unless such disclosure is mutually agreed by the Parties or under the prevailing policies and programs under relevant Acts of Government of India.

#### **ARTICLE 6: STATUS OF THE PARTIES**

1. Neither Party nor its personnel shall be considered as an official, agent, employee, representative or joint partner of the other Party. Neither Party shall enter into any contract or commitment on behalf of the other Party.
2. Each Party shall carry out its responsibilities and obligations under this Sol in accordance with its regulations and rules applicable to it, and, unless separately agreed upon in writing, bear its own costs with respect to the implementation of this Sol.

#### **ARTICLE 7: NATURE OF THE Sol**

1. This Sol serves only as a record of the Parties' intentions and does not constitute or create, and is not intended to create, rights or obligations under domestic or international law and will not give rise to any legal process and will not be deemed to constitute or create any legally binding or enforceable rights or obligations, expressed or implied.

<sup>1</sup> For the purposes of this Sol, "Confidential Information" means all information identified as confidential or proprietary by the disclosing Party or that ought reasonably under the circumstances to be treated as confidential or proprietary.



2. Any specific activities under this Sol shall be covered by a project document(s) or a written agreement(s) which shall be developed at suitable times.

#### **ARTICLE 8: FOCAL POINTS FOR THE MANAGEMENT OF THE SOL**

Each Party agrees to designate a focal point for the coordination of activities and tasks under this Sol as follows:

- A. For NITI Aayog: Mr Alen John Samuel C, SDG Officer, NITI Aayog  
(alen.john.niti@nic.in)
- B. For UNICEF, Urvashi Kaushik, Social Policy Specialist - M&E, SPME Section, UNICEF India  
(ukaushik@unicef.org)

#### **ARTICLE 9: MISCELLANEOUS**

1. This Sol will come into effect upon the last date of signature of the Parties and will remain effective until the end of the project or terminated by one of the Parties hereto in accordance with clause 4 below.
2. The Parties to this Sol may by a simple exchange of letters enter into supplementary arrangements, within the scope.
3. This Sol may be amended by mutual written consent of the Parties. Any amendment shall be without prejudice to any rights or obligations accruing or incurred under this Sol or supplementary agreements thereto reached prior to the effective date of such amendment.
4. This Sol may be terminated by either Party on two (2) months' prior notice in writing to the other party. If the Sol is terminated by either Party, steps shall be taken to ensure that the termination does not affect any prior obligation, project or activity already in progress.
5. Any matter for which no provision is made in this Sol shall be determined in a manner mutually acceptable to the Parties, and, in this regard, each Party shall give sympathetic consideration to any proposal advanced by the other Party.
6. Any dispute arising from the interpretation or application of this Sol shall be settled amicably through consultation or negotiations between the Parties.



**NITI Aayog**



7. Nothing in this Sol shall in any way constitute or imply a waiver, renunciation, termination, or modification by UNICEF India of any of its privileges, immunities or exemptions granted by any applicable convention or under international law or any applicable law
8. This Sol may be executed in any number of counterparts and by the Parties in separate counterparts, each of which when so executed and delivered shall be deemed an original, but all such counterparts together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the duly authorized representative of each of the Parties affix their signatures below on the respective dates set forth below.

FOR

UNICEF India

Hyun Hee Rhee  
Chief, Social Policy, Monitoring and Evaluation, UNICEF India

Date: 21 April 2022

FOR

NITI Aayog

Ms Sanyukta Samaddar, IAS  
Nodal Officer (SDGs), NITI Aayog  
Government of India

Date:

India/SPSP/2022/010

09 August 2022

**Professor K. S. James**  
**Director, International Institute for Population Sciences (IIPS),**  
Govandi Station Road, Opposite Sanjona Chamber, Deonar,  
Mumbai-400088, Maharashtra

**Sub: DCT for the project "State of India's Children Report: Status and Trends in Multi-dimensional Child Development" 'August 16, 2022 to August 15, 2023.'**

Dear Prof. James,

Greetings from UNICEF!

This has reference to your Budget details dated 9 August, 2022, regarding the partnership proposal for the project on **"State of India's Children Report: Status and Trends in Multi-dimensional Child Development" 'August 16, 2022 to August 15, 2023.'**

We are pleased to confirm that the proposed activities and budget for UNICEF contribution are agreeable to us. UNICEF contribution will be Rs. 19,937,160 (Rupees nineteen million nine hundred thirty-seven thousand one hundred sixty only) in line with the detailed budget shared by you. The amount contributed by IIPS will be Rs. 1,908,000 (One million nine hundred eight only).

UNICEF as per process will disburse the following tranches of the contribution (in INR):

Item	Total budget	Partner contribution	UNICEF contribution	16-8-2022	15-11-2022	15-02-2023	15-05-2023
'State of India's Children Report: Status and Trends in Multidimensional Child Development'	21,845,160	1,908,000	19,937,160	6,397,493	3,941,327	4,670,087	4,928,253

Kindly submit a FACE form with quarterly budget release prior the start of the activities each quarter.

Expenditure statement should be submitted with voucher number, FACE Form along with detailed activity report upon completion of activities at the end of each quarter against the advance being raised. Any deviation from the agreed upon budget and activities may be informed to UNICEF in advance and only with written approvals the changes will be incorporated.

Kindly note that the accounts for the above release should be settled no later than August 31, 2023. Please note that all the original vouchers/bills related to this project should be retained at your Office for a period of (5) five years for audit/review by UNICEF staff/auditors. We request you to adhere to the timely liquidation of funds and proper submission of supporting documents.

We look forward to collaborate on developing comprehensive measure of multidimensional child development and provide policy recommendations to regain any lost ground due to the COVID-19 pandemic and accelerate progress towards the SDGs to "leave no child behind."

Yours Sincerely



Hyun Hee Ban  
Chief, Social Policy, Monitoring & Evaluation



अन्तर्राष्ट्रीय जनसंख्या  
विज्ञान संस्थान  
(विश्वविद्यालय समतुल्य)

स्वास्थ्य एवं परिवार कल्याण मंत्रालय, भारत सरकार का स्वायत्त संगठन  
बी.एस. देवशर्मा मार्ग, देवनगर, मुंबई - ४०० ०४८, भारत



International Institute for  
Population Sciences  
(Deemed to be University)

An autonomous Organisation of Ministry of Health & Family Welfare, Govt. of India  
B.S. Devashri Marg, Deonar, Mumbai - 400 088, INDIA

प्रोफेसर के. एस. जेम्स / Professor K. S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / DIRECTOR & Sr. PROFESSOR

No. IIPS/DIR/UNICEF/ Dir-198 /2022  
Date: August 12, 2022

To,  
Ms. Hyun Hee Ban  
The Chief, Social Policy, Monitoring & Evaluation  
UNICEF House, 73 Lodi Estate, New Delhi- 110003.

Subject: Collaboration of IIPS with UNICEF for the project "State of India's Children Report: Status and Trends in Multi-dimensional Child Development" "August 16, 2022 to August 15, 2023".

Dear Ms. Hyun,

This is with reference to your letter no. India/SPSP/2022/010 dated August 9, 2022 regarding collaboration with UNICEF for the project "State of India's Children Report: Status and Trends in Multi-dimensional Child Development" "August 16, 2022 to August 15, 2023".

We are happy to accept this offer and please consider this letter as a formal confirmation of the contract. As requested, we are also enclosing the FACE form and ICF form for the period 16-08-2022 to 31-12-2022.

We look forward to work with you on the aforementioned project.

Thanking You,

Yours Sincerely

(Prof. K.S. James)  
Director & Sr. Professor

**Developing 'State of India's Children Report: Status and Trends in Multidimensional Child Development' – UNICEF and NITI Aayog, Government of India 2022**

- ☐ Study (an initiative to establish current knowledge around a specific topic through the descriptive summarization, interpretation or assessment of information and data)
- ☒ Research (systematic process of the collection and analysis of data and information, in order to generate new knowledge, to answer a specific question or to test a hypothesis)
- ☐ Evaluation (rigorous, systematic and objective process in the design, analysis and interpretation of information to answer specific questions)

**IR#** Type IR# and description here and Activity no. :

**Outcome 600.** Social Policy / Inclusion: Child Deprivations and Public Finance for Children

### Background

As the world entered the Decade of Action on the Sustainable Development Goals (SDG) framework amidst the COVID-19 pandemic, the unprecedented global commitment (SDG 1) to end poverty in all its forms everywhere assumes even greater salience to the global development agenda. SDG Target 1.2 further stresses on significant reduction of multidimensional poverty for everyone, including children. The journey towards achieving these outcomes has been challenging. The combined effect due to the global pandemic, of lost learning, lowered income, food security and access to health services are estimated to result in a 4.5 per cent drop in human capital for children of school age, reducing the productivity and growth prospects of countries around the globe for decades<sup>1</sup>. At the same time, the National Family Health Survey (NFHS) 2019-21 has pointed out remarkable improvements in areas such as health and nutrition, education, and basic infrastructure. Routine measurement of factors impacting multidimensional development of children and mainstreaming the use of such analysis is therefore an essential input to steer appropriate policy action to achieve the SDGs. In its efforts to achieve the Sustainable Development Goals, while 'leaving no one behind', the Government of India, through NITI Aayog, has developed the annual SDG India Index & Dashboard since 2018, and India's first National Multidimensional Poverty Index (MPI) in November 2021. At the global level, UNICEF's "The State of the World's Children 2021" focusses on multiple dimensions of child well-being. UNICEF's "child-related SDG indicators" highlights the most recent status and analyses the progress towards achieving the relevant SDG targets. To achieve the child development priorities under the SDGs, UNICEF India is committed to support the Government of India in developing a comprehensive measure to understand the multidimensional deprivations among children and launch a joint report analysing the status of children around critical child related SDGs to establish recent trends. UNICEF hopes that this effort will contribute to the realization of the Government's commitments on the Convention of the Rights of the Child (CRC) and the SDG 1; and provide a set of policy recommendations for concerted action to regain any lost ground in terms of achieving the holistic development of every child, due to the COVID-19 pandemic and accelerate progress towards the SDGs to "leave no child behind."<sup>2</sup>

### Rationale

A multidimensional approach applies well to children as lack of access to basic rights as enshrined in international treaties and national commitments is inherently multi-sectoral in nature.

<sup>1</sup> <https://www.unicef.org/coronavirus/3-critical-actions-finance-inclusive-recovery-children>

<sup>2</sup> <https://data.unicef.org/sdgs/country/ind/>

- Establishing a multidimensional measure to monitor the development outcomes for children is in line with SDG 1 target to report and monitor multidimensional child development.
- A recent mapping of the recent country VNRs reflects that while the number of countries reporting on child multidimensional deprivations is increasing, it remains low<sup>2</sup>. Developing comprehensive, comparable, simple and inexpensive measures is the need of the hour, an essential input to effectively report and plan for the SDGs, and will be a pioneering action for India to undertake.
- Child related indicators are commonly seen sectorally, making it challenging to track progress on overall child wellbeing. Understanding the factors impacting child development, holistically, is a crucial imperative to begin addressing them adequately to achieve the SDGs by the year 2030. Visualizing intrahousehold inequalities and understanding gender gaps, based on data availability and feasibility, are other important perspectives.

The proposed joint action will attempt to develop a report to appropriately reflect the current status and recent trends on child development in India as viewed from the inter-sectoral lens of nutrition, health, education, child protection, and access to basic standard of living, and also offer policy prescriptions drawn from good practices from the global experience.

### Objectives

Develop and launch a report to reflect the current status of children in India as viewed from the inter-sectoral lens of nutrition, health, education, child protection and access to basic standard of living, and analyse the deprivations faced by children, and also offer policy prescriptions drawn from good practices from the global experience, jointly with the Government of India and stakeholders in a consultative manner to establish the following:

- An analysis of the multidimensional factors impacting child development in India across child rights domains of consensus. What is the deprivation profile in the various dimensions? How has that changed from 2015-16 to 2019-20?
- A disaggregated analysis, on gender, age, urban-rural, disability, and state, of the multidimensional deprivations being faced by children, based on data availability.

### Use of findings

- The findings will be launched in a joint report by NITI Aayog, UNICEF and technical partners at the national level, and in selected states as appropriate.
- An interactive report will be developed and placed on government and UNICEF websites for open access distilling data by any or more indicators and disaggregation and so on.
- Consultations with line ministries and State governments will be held in the lead up to and after the report is launched to articulate and advocate for policy responses by ministries and at state levels.
- UNICEF may use the analysis in advocacy briefs and may publish additional analysis as thematic briefs in the form of knowledge products, as mutually agreed up on by both parties.
- The findings will provide impetus for cross-sectoral collaboration, planning and budgeting including through child and gender budgets, and UNICEF, NITI Aayog and partners may undertake dedicated advocacy efforts to integrate the recommendations into relevant national and state policies for children/action plans.

### Publication plan

The findings will be published in the form of a joint report by NITI Aayog, UNICEF and partners.

<sup>2</sup><https://static1.squarespace.com/static/56588879e4b0060cda607883/626c2b62718f6376e6982d18/1634478957943/VNR+brief+2021.pdf>

The report will be published on NITI Aayog and UNICEF's websites as well as other appropriate fora targeting national and state level policy makers and stakeholders including children and young people. Any publication will follow NITI Aayog's, UNICEF's and technical partner organization guidelines. The methodology note will also be published.

Academic publishing of findings shall be done jointly by NITI Aayog and UNICEF. Additional analytical academic papers may be developed and submitted for academic publication on mutually agreed terms between the parties. For academic publishing, UNICEF's Guidance on External Publishing, subject to the standards of Government of India and NITI Aayog, will be followed.

## Scope of the Research

### Data and methods

The research will analyse microdata from NFHS 4 and 5, and other data sources including survey and administrative data to first develop a methodology identifying the key indicators through a review of international literature as well as experts' consultation. All possible disaggregation in line with the stated objectives will be attempted.

The analysis will consider the age group 0-17 years. Different age strata within this to develop age specific indicators in all dimensions will have to be considered. Life stages will have to be defined potentially on 0-6, 6-11, 11-19 years / 0-4, 5-9, 10-14, 15-19 years.

The effort will also conduct consultations with stakeholders including line ministries, state governments and children and adolescents to build consensus on the proposed methodology and finalize a commonly accepted approach. Stakeholder consultations will be held with state governments, children and adolescents to understand concerns and develop policy responses.

### Stakeholder Consultations

*Pre-launch consultations:* Consultations with government stakeholders and experts, the civil society organisations, and children, adolescents and youth shall be conducted before the launch of the report.

*Post-launch Consultations:* NITI Aayog and UNICEF will work together to present the report and its findings to the relevant ministries of the Government of India and the state governments. UNICEF will support these workshops in states where UNICEF has Field presence and will pair up with the ongoing planned visits at NITI's Aayog's end.

### Governance and Processes

An advisory group will be formed comprising representatives of (a) NITI Aayog (b) UNICEF (c) MoSPI (d) M/WCD (e) IIPS. The group will be anchored at NITI Aayog, and will be tasked to provide technical and advisory support to develop the report.

### Geographic focus and scope

National, all states and UTs



### Time period

8-10 months from start of the project. 1<sup>st</sup> March 2022 onward.

### Limitations & Risks

This exercise will rely on secondary data sources from national government surveys. Even though maximal care will be taken to develop a robust methodology, any challenges related to the datasets will invariably be part of this exercise as well.

### Methodology

The research team will propose a detailed methodology and finalize in consultation with NITI Aayog and UNICEF. Broad main steps are outlined below (not exhaustive and may be iterative).

1. Identify the various dimensions to include in the measure with relevance to child development
2. Map and select indicators and datasets, mix of household, child and child related indicators
3. Articulate life stages and reference population
4. Identify criteria for deprivation
5. Estimate child deprivation by dimensions and indicators, and by characteristic of interest
6. Consultations at multiple levels

### Ethical considerations

The research agency is expected to follow the ethical principles and considerations outlined in the UNICEF Procedure for Ethical Standards in Research, Evaluation and Data Collection and Analysis. In addition, the UNEG norms and standards will be observed. Sensitive information may derive from data collection and the research team will ensure the utmost confidentiality when conducting such research.

### Schedule of Tasks, deliverables and timelines [Ten months]

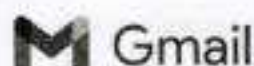
Tasks	Milestone	May	Jun	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Inception/kick-off consultations	Finalize workplan/implementation plan										
Review of literature, experts' consultation, recommend methodology	Draft Technical methodology note										
Consensus building stakeholder consultations 4 – line ministries, state governments, CSOs, and children	Recommended final methodology note										
Conduct data analysis	First cut of analysis with detailed data tables										
Review of findings, articulation of findings, validation	Final findings										



## Annexure 1.

### Proposed Table of Contents of the National Child Multidimensional Development Report for India [approximate 300 pages]

- Forewords / Messages / Acknowledgement
  - VC, NITI Aayog
  - CEO, NITI Aayog
  - UNICEF India Country Representative
  - Acknowledgements – Adviser (SDGs), NITI Aayog and Chief of Social Policy, UNICEF India
- 1. Executive Summary- [key highlights- numbers- will be a part of this]
  - Voices of children and youth
  - Introduction – rationale and relevance
- 2. Process of developing the report: NITI Aayog-UNICEF India collaboration, onboarding of partner, collaboration with others, data collection, analysis, national consultations (with ministries and CSOs), review, finalization, post-launch state consultations
- 3. Methodology
- 4. Overall results – national and states
- 5. Analysis-focused chapters on each dimension
- 6. Perspectives - write-ups from experts – economists, child rights experts, academicians, researchers, bureaucrats, UNICEF global leadership etc.
- 7. CSO Action so far and Impact
- 8. What's Being Done by government and Framework for Action
  - Policies
  - Programmes/ schemes
  - Resources
- 9. Annexures / Data tables
  - State tables
  - District tables



Souvik Mondal &lt;souviklips@gmail.com&gt;

**Fwd: Award Letter of Major Research Project 2021-22**

1 message

Dr Nandita Saikia <nanditasaiikajnu@gmail.com>  
To: souviklips@gmail.com, nanditasts@gmail.com

10 June 2022 at 17:23

----- Forwarded message -----

From: **icssr researchproject** <mmp2016rpr@gmail.com>  
Date: Sat, Mar 12, 2022 at 6:10 PM  
Subject: Award Letter of Major Research Project 2021-22  
To: <nanditasaiikajnu@gmail.com>

**Indian Council of Social Science Research**  
**Revathy Vishwanath**  
Development)  
**Deputy Director**  
Marg  
**RP Division Incharge**  
110067  
Tel #011-26716690  
Website: [www.icssr.org](http://www.icssr.org)  
E-mail: [rpicssr@gmail.com](mailto:rpicssr@gmail.com),

(Ministry of Human Resource

JNU Institutional Area, Aruna Asaf Ali

New Delhi -

File No. 02/11971/OBC/2021-22/ICSSR/RP/MJ  
Dated: 12/March/2022

**Award Letter of Major Research Project**

Dear Dr.Dr Nandita Saikia ,

Please refer to your interaction with Expert Committee regarding Major Research Project under the Major Research Project Scheme of the ICSSR. Although you have made a presentation for the Major Research Project , the final selection is made on the basis of recommendations of the Expert Committee.

**Title Proposed:** " The impact of lifestyle habits and disaster on the health of the tribal population in eastern India. "

**Revised Title approved by the Expert Committee:** NA.

**Budget Approved:** ₹ 800,000.00( Rupees Eight Lakh Only )



**First Instalment:** 40% of the awarded grant, detailed Budget in break-up will be sent along with the  
sanction order of 320000/-

The above has been approved by the Competent Authority on the recommendations of the Expert Committee. **You are requested to commence the study immediately.**

You are required to give an undertaking on a non-judicial stamp paper of Rs. 100/- (copy enclosed), declaration on a non-judicial stamp paper, original forwarding letter and send us the grant- in-aid bill (copy enclosed) of 40% of the awarded grant. All Payments and Transfers are to be done through EAT module hence the institution has to open a dedicated account as per the notification of ICSSR.

You are once again required to go through the eligibility criteria in the guidelines and make sure you fulfil them in all respect both in case of individual and institution. In case you have awarded a project under any other programme of ICSSR and sanction letter for the same has been issued you are requested to continue with earlier sanction and inform accordingly. This award in that case will not stand operational. In case you have already been awarded a project and sanction letter has not been issued you may make an option between the two awards and inform us clearly which project you would like to start. If there is any change in terms of original proposal you need to clarify and take approval from ICSSR in the beginning itself.

Kindly send us all the desired documents (attached herewith) to the undersigned within at the earliest to enable us to issue the formal sanction order as per the checklist enclosed.

[https://docs.google.com/document/d/1k-HcceLTMQWUGH9xx50RAgKiEq\\_bxYcs/edit?usp=sharing&oid=113099032980940284236&rtopof=true&sd=true](https://docs.google.com/document/d/1k-HcceLTMQWUGH9xx50RAgKiEq_bxYcs/edit?usp=sharing&oid=113099032980940284236&rtopof=true&sd=true)

<https://docs.google.com/document/d/1hCw768wzYBqI8f0p7Co-yhLaf6tBheeO/edit?usp=sharing&oid=113099032980940284236&rtopof=true&sd=true>

<https://docs.google.com/document/d/1CzwMLyekwAh2w8Qj3vQYCh9m1pAs5g/edit?usp=sharing&oid=113099032980940284236&rtopof=true&sd=true>

<https://docs.google.com/document/d/1lpNNVge62CxE5gWZRJLOVXxyqWpASY/edit?usp=sharing&oid=113099032980940284236&rtopof=true&sd=true>

<https://drive.google.com/file/d/1xu21akAqH8RJAJc0o9NAWqfnue8quN9C/view?usp=sharing>

With Regards  
Revathy Vishwanath  
Deputy Director (Research)  
Research Project Division  
Indian Council of Social Science Research  
New Delhi-110067  
011-26716690



# ICSSR Project Budget

Project Title: " The impact of lifestyle habits and disaster on the health of the tribal population in eastern India. "

ICSSR File No: File No. 02/11971/OBC/2021-22/ICSSR/RP/MJ

S.No.	Heads of Expenditure	ICSSR Rules	Actual Budget Allotment
1	Research Staff :	Not exceeding 45% of the total budget.	360000
	Full time/Part-time/Hired Services		
2	Fieldwork: Travel/Logistics/Boarding, Survey Preparation or Consultancy etc.	Not exceeding 35%	280000
3	Equipment and Study material: Computer, Printer, Source Material, Books, Journals, Software, Data Sets etc.	Not exceeding 12%	80000
4	Contingency:	Not exceeding 5%	40000
5	Publication of Report	App. 5-6%*	
	TOTAL	ICSSR will finally make it 100%	
6	Institutional Overheads (over and above the total cost of the project)	Affiliating Institutional overheads @ 5% of the approved budget, subject to a maximum limit of Rs.1,00,000/-	40000
	Total	Total	800000

*Dainis*

*Dainis*  
Prof. Nandita Sankar

Department of Public Health and Mortality Studies  
International Institute for Population Sciences (IIPS)  
**Professor Nandita Sankar, M.Sc., M.Phil., Ph.D.**  
Department of Public Health and Mortality Studies  
International Institute for Population Sciences (IIPS)  
(Deemed University)  
(Ministry of Health and Family Welfare, Government of India)  
Gandhi Station Road, Deonar, Mumbai, Pin - 400088



icssr researchproject <mmp2016pr@gmail.com>  
To: nanditasaikiaju@gmail.com

Wed, Aug 17, 2022 at 4:18 AM

F.No.02/162/OBC/2021-2022/ICSSR/RP/MJ

Dated 17/8/2022

Dear Sir,

Please find Payment Advice no .C082200675701 dated 4/8/2022 PFMS ID C082200681282 towards release of first instalment of Rs.3,20,000/- for the research project mentioned above.

Kindly inform your affiliating institute and acknowledge the receipt.

With best regards,

Email- [nanditasaikiajnu@gmail.com](mailto:nanditasaikiajnu@gmail.com)

*Daini*  
13/09/2022  
Professor Nandita Saikia, MSc, MPhil & PhD  
Department of Public Health and Mortality Studies  
International Institute for Population Sciences (IIPS)  
University of Calicut (University)  
Ministry of Health and Family Welfare, Government of India  
George Square Road, Dornak, Mumbai 400 002  
Please confirm if the letter is related to IIPS  
Account or not.

1. Title of the project: —

the impact of lifestyle habits and disaster on the health of the tribal population in eastern India."

<https://mail.google.com/mail/u/0/?ik=753cf084c&view=pt&as=ch&as=permmsgid=msg-f%3A1741401872897759289&simlmsgid=f%3A1741401872897759289&simlmsgid=f%3A1741401872897759289>

Mr. Glover

All amount Rs 3,200/- received in  
11% main A/c 10/9/54 on dated 10/9/54

Achy 14/2

15/10/22

UNICEF/Nutrition/2021  
25 November 2021

To,  
Dr. Sayeed Unisa  
Institute of Population Sciences  
Mumbai

**Sub: Project Tele swabhimaan (December 2021- July 2022)- Approved**  
Ref: Your letter number IIPS/swabhimaan/15/2021 dated 22 November 2021

Dear Dr. Unisa,

Your proposal dated 22 November for 98,02, 400 INR for the tele-swabhimaan support for Telangana is approved.

Time period	Amount
December, 2021- February, 2022	11,57,400 INR
March-July 2022	86,45,000 INR
Total	98,02,400 INR
USD	132,464 USD

Please submit your FACE form for the period December 2021- February, 2022. We shall review the progress in February, 2022 as well as financial spending for next tranche.

Yours Sincerely,



(Arjan de Wagt )  
Chief, Nutrition Section, UNICEF India  
[adewagt@unicef.org](mailto:adewagt@unicef.org)



HFO/CDN/TS/2022/211  
28 September 2022

**Dr Reshmi R. S.,**  
**Assistant Professor**  
**Co-PI, Tele-Swabhimaan project**  
**International Institute for Population Sciences (IIPS),**  
Govandi Station Road, Deonar, Mumbai, Maharashtra - 400088  
Phone: 022-42372400 Fax: 25563257  
E-mail: iipsswabhimaan@iipsindia.ac.in; reshmi@iips.net

Dear Dr. Reshmi,

**UNICEF approval for project end date extension and realigned budget for Tele-Swabhimaan Women's Nutrition Demonstration programme in Telangana**

**Ref:** IIPS's request letter no. IIPS/Swabhimaan/13/2022 dated 20 July 2022 with budget amendments  
UNICEF Delhi's approval letter no. UNICEF/Nutrition/2021 dated 25 November 2021  
UNICEF Hyderabad's letter no. HFO/CDN/TS/2022/156 dated 26 July 2022  
IIPS's request letter no. IIPS/Swabhimaan/17/2022 dated 12 September 2022

With reference to the above references, UNICEF approves the re-aligned budget and no-cost project end date extension to 31 October 2022. The budget is attached for reference.

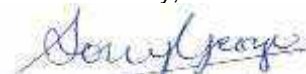
Sl.	Budget Head	Earlier approved budget (in INR)	Realigned Budget (in INR)
1	Human Resource	40,70,000	42,70,000
2	Training/TAG/Workshop/Expert visit	75,000	75,000
3	Travel per diem/accommodation for field activities/monitoring meeting	9,69,600	9,69,600
4	Administrative and logistics	3,47,600	3,47,600
5	Documentation, Printing, Publication activity	2,00,000	2,00,000
6	Data collection	48,23,000	46,23,000
	<b>Total (in INR)</b>	<b>1,04,85,200</b>	<b>1,04,85,200</b>

The above activity falls under Telangana AWP Output 203 Activity 2 (Health and Social Safety Systems approach to Support to State Governments for achieving set targets for antenatal nutrition services, with a focus on all health systems pillars - information systems, financing systems, training, demand generation, supplies, politico-governance).

Please note the following guidelines on cash assistance supported by UNICEF:

- For all cash transfers, the FACE form (Funding Authorization and Certificate of Expenditure) is to be used. Kindly note that the FACE form needs to be filled when requesting funds (advances, reimbursements) as well as while reporting on funds utilized.
- Submission of accounts: As per UNICEF norms, any cash assistance needs to be accounted for and liquidated within three months time frame. We would appreciate your ensuring timely submission of the FACE form (with Reporting column duly filled in) along with the itemized Statement of Expenditure and an activity report. Partial submission of accounts as and when activities are completed is strongly encouraged.
- Supplies: Kindly note that expenditure pertaining to supplies would be accepted by UNICEF, only if these have been approved in the agreement letter. Any supply-related expenditure that has not been approved will not be accepted or reimbursed. For the purchase of any approved supplies (goods or services), standard procurement process/norms need to be followed.
- Budget deviations: Please note that for any deviation from the approved budget, prior written approval needs to be taken from UNICEF.

Yours sincerely,



**Sonykutty George**  
Officer in Charge and Child Protection Specialist  
UNICEF Field Office for Andhra Pradesh, Karnataka and Telangana

HFO/CDN/TS/2022/156  
26 July 2022

**Dr Reshmi R. S.,**  
**Assistant Professor**  
**Co-PI, Tele-Swabhimaan project**  
**International Institute for Population Sciences (IIPS),**  
Govandi Station Road, Deonar, Mumbai, Maharashtra - 400088  
Phone: 022-42372400 Fax: 25563257  
E-mail: iipsswabhimaan@iipsindia.ac.in; reshmi@iips.net

Dear Dr. Reshmi,

**UNICEF approval for project end date extension and budget for survey work of Tele-Swabhimaan Women's Nutrition Demonstration programme in Telangana from December 2021 to September 2022**

**Ref:** IIPS's request letter no. IIPS/Swabhimaan/13/2022 dated 20 July 2022 with budget amendments  
UNICEF Delhi's approval letter no. UNICEF/Nutrition/2021 dated 25 November 2021

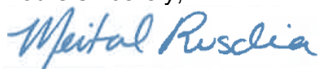
With reference to the above references, UNICEF has already given approval for a budget amounting to Rs.98,02,400/- (Rupees Ninety-Eight Lakhs Two Thousand Four Hundred Only) for a period from December 2021 to July 2022. Based on the new request, UNICEF approves the revised project end date to 30 September 2022 and agree in principle to support the additional budget of Rs.6,82,800/- (Rupees Six Lakhs Eighty-Two Thousand Eight Hundred Only), as per budget attached ( please refer to the attached budget sheet).

The above activity falls under Telangana AWP Output 203 Activity 2 (Health and Social Safety Systems approach to Support to State Governments for achieving set targets for antenatal nutrition services, with a focus on all health systems pillars - information systems, financing systems, training, demand generation, supplies, politico-governance).

Please note the following guidelines on cash assistance supported by UNICEF:

1. For all cash transfers, the FACE form (Funding Authorization and Certificate of Expenditure) is to be used. Kindly note that the FACE form needs to be filled when requesting funds (advances, reimbursements) as well as while reporting on funds utilized.
2. Submission of accounts: As per UNICEF norms, any cash assistance needs to be accounted for and liquidated within three months time frame. We would appreciate your ensuring timely submission of the FACE form (with Reporting column duly filled in) along with the itemized Statement of Expenditure and an activity report. Partial submission of accounts as and when activities are completed is strongly encouraged.
3. Supplies: Kindly note that expenditure pertaining to supplies would be accepted by UNICEF, only if these have been approved in the agreement letter. Any supply-related expenditure that has not been approved will not be accepted or reimbursed. For the purchase of any approved supplies (goods or services), standard procurement process/norms need to be followed.
4. Budget deviations: Please note that for any deviation from the approved budget, prior written approval needs to be taken from UNICEF.

Yours sincerely,



**Meital Rusdia**  
Chief of Field Office  
UNICEF Field Office for Andhra Pradesh, Karnataka and Telangana

Encl: Revised approved budget

## **PROJECT PROPOSAL**

Tele-SWABHIMAAN Women's Nutrition Demonstration Programme in TELANGANA  
(2021-2025)

Submitted to

**UNICEF India**

By

**International Institute for Population Sciences, Mumbai**



Principal Investigator

**Dr Sayeed Unisa, Professor, IIPS\***

Co-Principal Investigators

**Dr. L.K. Dwivedi, Professor, IIPS**

**Dr. William Joe, Professor, IIPS**

**Dr. Sarang Pedgaonkar, Assistant Professor, IIPS**

**Dr. Preeti Dhillon, Assistant Professor, IIPS**

**Dr. Reshmi R.S., Assistant Professor, IIPS**

**(PI is likely to retire on June 30 2022. After her retirement, Prof. L.K. Dwivedi will  
work as PI, and she will work as Senior Advisor)**

**December 12021 – July 31 2022**

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## **1. BACKGROUND**

The Swabhimaan was started as a prospective, non-randomised controlled evaluation. The programme evaluated the delivery of a package of 18 essential nutrition (specific and sensitive) interventions. It aimed to improve the nutrition status of girls and women (before conception, during pregnancy and after birth). The target group-focused interventions were delivered through system-based and community-based actions in the five blocks of Bihar, Chhattisgarh and Odisha during 2016-2021. The baseline survey of Swabhimaan was conducted during 2016-2017, and the results were disseminated.

Further, midline survey was carried out from September 2018 to June 2019 to examine the intervention process and the extent of the reach of beneficiaries. The findings from the midline survey were disseminated during November-December 2019. The endline impact evaluation survey of the Swabhimaan Programme in Bihar and Odisha was completed in September 2021. The Chhattisgarh endline survey is scheduled to be conducted from November-December 2021. Survey tools of Chhattisgarh will serve as the base for the Tele-Swabhimaan survey in Telangana.

### **1.1 About the IIPS**

The International Institute for Population Sciences (IIPS) serves as a regional Institute for Training and Research in Population Studies for the ESCAP region. It was started in 1956 under the joint sponsorship of Sir Dorabji Tata Trust, the Government of India and the United Nations. It was declared as a 'Deemed University' on August 19, 1985. The institute is under the administrative control of the Ministry of Health and Family Welfare, Government of India. The functions of the IIPS can be classified into four categories: teaching, research, consultative services, and documentation.

IIPS has the expertise and vast experience of carrying out various large-scale population-based research projects. The most prominent are the National Family Health Survey (NFHS), District Level Household Survey (DLHS), Concurrent Evaluation of National Rural Health Mission, and Global Tobacco Survey. IIPS has also completed Comprehensive Nutrition Surveys in Maharashtra and Gujarat.

Previously, IIPS provided technical support for the Swabhimaan baseline survey. Later, the midline process evaluation of the Swabhimaan intervention program in Bihar, Chhattisgarh,

and Odisha was carried out by IIPS. The institute has also completed the endline impact evaluation of the Swabhimaan Programme in Bihar and Odisha and will start the endline survey in Chhattisgarh in November 2021.

IIPS has been an integral consortium member of the Swabhimaan Programme. Recently, UNICEF entrusted IIPS to conduct the Tele-Swabhimaan Programme in Telangana.

## **1.2 About Tele-Swabhimaan**

COVID-19 has led health, nutrition systems to focus on COVID-19 vaccination drives, treatment and tracking, reducing prioritisation of maternal nutrition. Lockdown to contain the spread of the COVID-19 virus led to the disruption of women-collectives led interventions. Evidence suggests COVID-19 has severely impacted the mental health of children, adolescents and women. The pandemic has affected multiple outcomes such as education, livelihoods, sexual and reproductive health, the burden of unpaid care, and early and forced marriage. Pre-existing risk factors such as gendered roles, domestic violence and disrupted access to health and nutrition services aggravated the psychological impacts of the pandemic. The consequent shocks to income and food insecurity also impacted existing gender inequalities.

Thus, there is a need for an integrated package addressing multiple deprivations and supporting access to food safety nets, nutrition services, obstetric advice and mental health support to adolescents, pregnant women (including pregnant adolescents) and mothers, with a gender transformative approach. Therefore, the Tele-Swabhimaan programme will strengthen the existing Food, Nutrition, Health and WASH (FNHW) and gender package under Swabhimaan by integrating interventions to address gender disadvantage and mental health risks. It will focus on increasing knowledge of women and men on health and nutrition services, male involvement in shared workload, enhancing perceived familial support by women, as well as women's self-efficacy, empowerment, decision making power.

Tele-Swabhimaan aims to:

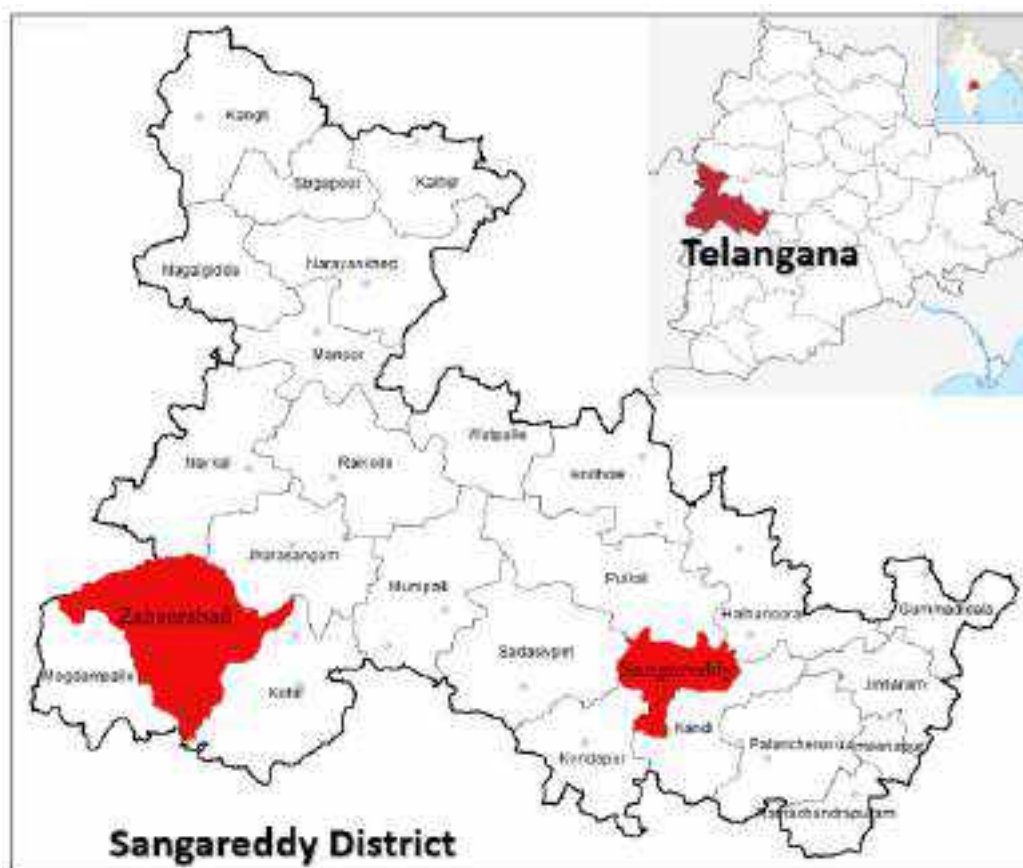
- Develop programmatic know-how and assess the “added value” and challenges of engaging community-based CRPs for a COVID-19 sensitive package – to facilitate demand generation and access to nutrition services, obstetric advice and mental health advice - that addresses gender disadvantage.

- Improve nutritional status of adolescent girls, pregnant women, mothers and children under age of two, reduce gender disadvantage, improve mental health and wellbeing.
- Support adaptation of ongoing community-led nutrition programmes for tele-mode, with the integration of interventions for mental health, and addressing gender disadvantage among pregnant women (including pregnant adolescents), mothers of children under 2, and adolescent girls.
- Through simple and culturally acceptable screening methods, enable frontline workers and CRPs to recognise and report gender-based discriminatory practices, violence, abuse, and signs of psychological distress among target groups.
- Capacitate frontline workers and CRPs to provide mental health interventions, including referrals to existing programs in the state like the District Mental Health Programme and WCD One-Stop Centers.

### **1.3 Tele-Swabhimaanin Telangana**

Tele-Swabhimaan will be carried out in the urban slums of the selected blocks in the Sangareddy district, Telangana. The map of the study area is given below.

**Figure 1. Study area for Tele-Swabhimaan in Sangareddy district, Telangana**





The Sangareddyblock will serve as the intervention area for Tele-Swabhimaan. The intervention arm will receive the community action services of the Tele-Swabhimaan programme and the system action services provided by the Government. However, the Zaheerabad block of Sangareddy district will serve as the control arm for comparison purposes. The control area will only receive services according to Governmentsystem programmes. The target-group and slum-wise population of both the blocks are given in Tables 1 and 2, respectively.

**Table 1. Number of SHGs, AWCs and Target group population in Sangareddy (Intervention area) and Zaheerabad (Control area) blocks, Sangareddy district.**

Block	SHGs	AWCs	Pregnant women	Adolescent girls	Adolescent boys	Mothers of children under 2 years
Sangareddy	1090	26	366	618	653	1218
Zaheerabad	1439	67	545	931	1035	2596

**Table 2. Population by caste in the urban slums of Sangareddy and Zaheerabad.**

<b><u>Sangareddy</u></b>					
Name of the slum	Total Population	Caste-wise Population			
		SC	ST	OBC	General
S.C.Colony, Neche Bazar	1747	280	17	978	472
Erukalawada	145	23	1	81	39
Block No.3, S.C/B.C.Colony	882	141	9	494	238
Beside Jail	857	137	9	480	231
S.C.Colony Slaughter house	426	68	4	239	115
Roi – ka – talab	1719	275	17	963	464
BhagathSingh Nagar	233	37	2	130	63
L.B. Nagar	193	31	2	108	52
S.C.Colony New Baba Nagar beside Police Colony	444	71	4	249	120
S.C.Colony Baba Nagar	195	31	2	109	53
Block No.9	150	24	2	84	41
Gandhi Nagar	757	121	8	424	204
Block No.13, Ward No.17	1944	311	19	1089	525
S.C.Colony F.R.S	436	70	4	244	118
RikshaColony	2031	325	20	1137	548
Maqdoom Nagar	2286	366	23	1280	617
S.C/B.C Colony 13, Someshwarwada	386	62	4	216	104
S.C./B.C Colony W/21 Rajampet	960	154	10	538	259
Rajampet	614	98	6	344	166
RajampetS.C Colony	132	21	1	74	36
Vijay Nagar Colony	577	92	6	323	156
VadderaColony Ward No.19	2137	342	21	1197	577
Indira Colony Ward No.19	2137	342	21	1197	577
Gale Pochamma	307	49	3	172	83
RamacharareddyColony	130	21	1	73	35

Sanjeeva Nagar Colony	391	63	4	219	106
Marks Nagar Colony	698	112	7	391	188
Narayan Reddy Colony	598	96	6	335	161
Kalwakunta	939	150	9	526	254
Madhav Nagar	802	128	8	449	217
Maruthi Nagar	412	66	4	231	111
Sri Nagar	1628	260	16	912	440
Uppar Bazar	1740	278	17	974	470
Someshwar Wade	1024	164	10	573	276
<b>Total</b>	<b>30057</b>	<b>4809</b>	<b>301</b>	<b>16832</b>	<b>8115</b>
<b><u>Zaheerabad</u></b>					
Name of the slum	Total Population	Caste-wise Population			
		SC	ST	OBC	General
GudemHarijanwada	710	142	85	362	121
ManikPrabhuMohalla	2211	442	265	1128	376
Khan & Kasab Mohalla	2250	450	270	1148	382
SubhashGunj	500	100	60	255	85
Shanthi Nagar	2582	516	310	1317	439
Shanthinagar	2162	432	560	1102	368
Shanthinagar	1587	317	190	809	271
Shanthi Nagar	2684	536	322	1369	457
Hamli Colony	2080	416	250	1061	353
BagareddyPalli	1760	352	211	898	299
Babu Mohan Colony	525	105	63	268	89
Arya Nagar	2688	538	322	1371	457
MomminMohalla	2163	433	260	1103	367
Arya Nagar Harijan Wada	2240	448	269	1142	381
Ahmed Nagar Colony	1984	397	238	1012	337
Gadi	1862	372	223	950	317
Gadi	1756	351	211	896	298
Gadi Harijan Wada	1745	349	209	890	297
Watan Bagh	1668	334	200	851	283
Rahmath Nagar	245	49	29	125	42
<b>Total</b>	<b>35402</b>	<b>7079</b>	<b>4547</b>	<b>18057</b>	<b>6019</b>

The Tele-Swabhimaan baseline survey in Telangana will be conducted to estimate nutrition and health-related and Child Protection indicators for adolescent girls and women. The survey will be conducted from March to May 2022. During June -July 2022 data cleaning, analysis, and preparation of factsheets work will be carried out. Target groups will be interviewed from intervention and control sites. The dissemination of findings from the survey will be held in July 2022.

## 2.OBJECTIVES FOR TELE-SWABHIMAAN SURVEY, TELANGANA

The objectives for Phase I of Tele-Swabhimaan, Telangana are:

1. To structure study design and carry out sampling for selection of sample for the survey in Telangana.
2. To review and synchronise tools and instruments to state-specific requirements.

The objectives for Phase II of the Tele-Swabhimaan, Telangana are to:

1. Assess the nutrition&child protection indicators among the target groups
2. Organise training of mapper-listers and field investigators for the Tele-Swabhimaan, Telangana.
3. Conduct the Tele-Swabhimaan survey in Telangana and monitor data collection
4. To validate and manage dataand prepare fact sheets for Telangana.

### **3. TECHNICAL ADVISORY GROUP**

A Technical Advisory Group (TAG) will review the survey designand methodology for the Tele-Swabhimaan survey in Telangana. The members will includetechnical experts in nutrition, intervention, sampling and survey methodology.Under the overall guidance of the UNICEF, TAG, a national and an international consultant,the proposed Tele-Swabhimaan survey will be carried out by the team of IIPS consisting of Facultymembers, Junior Project Managers (JPMs), Programmer and Data Analyst.

### **4. PHASE I: PREPARATORY WORKFOR THE SURVEY**

Phase I will be carried out fromDecember 2021 to February 2022. The following activities were completed by IIPS in phase I:

#### **4.1Preparing Protocol**

Principal Investigator (PI), Co-Principal Investigators (Co-PIs),and research staff will prepare a survey protocol for Tele-Swabhimaan, Telangana.

##### **4.1.1Study design and Methodology**

The IIPS will organise a TAG meeting to formulate the study design and methodology. As a part of the methodology, a sampling design appropriate for the survey will be articulated. Based on this sampling design,the IIPS team will estimate a representative sample size for each target group from intervention and control areas.

#### 4.1.2 Tools for Data Collection

Quantitative data will be collected using Computer Assisted Personal Interview (CAPI). The questionnaires used for the endline impact evaluation survey in Chhattisgarh will be used as a base for the Tele-Swabhimaan in the Sangareddy district, Telangana. The quantitative data tools include a separate structured and bilingual questionnaire for each target group. Additionally, there will be a different interview schedule for household information. As per the state-specific requirements for Telangana, the IIPS team will synchronise the questionnaire and CAPI programme. For the survey in Sangareddy, a local language (Telugu) will be added to the schedules and CAPI programme during the preparatory phase.

There will be five interview schedules:

1. Household
2. Adolescent girls
3. Pregnant women
4. Mothers of children under two years
5. Children under age two years

#### 4.1.3 Coverage of Indicators in Beneficiary Survey

Identification of adolescent girls and women beneficiaries in the target groups will be done by Mapping and Listing operation. From each target group following information will be collected

**Table 1. Coverage of Indicators in Beneficiary Survey these indicators are tentative. It will be modified after the discussion about intervention strategies in the TAG meeting)**

**ADOLESCENT GIRLS (aged 10-19 years):** *unmarried, not pregnant and not the mother of a child under two years*

1. Adolescent girls (10-19 years) with Body Mass Index <18.5 kg/m<sup>2</sup> (%)
2. Adolescent girls (10-19 years) with Body Mass Index >23 kg/m<sup>2</sup> (%)
3. Adolescent girls (10-19 years) consuming four or more IFA tablets in the month preceding the survey (%)
4. Adolescent girls (10-19 years) consuming diet with high dietary diversity score (6 of 10 food groups) (%)
5. Adolescent girls (10-19 years) who reported to consume Take-Home Rations from AWC (%)



6. Adolescent girls (10-19 years) currently not attending school (%)
7. Adolescent girls (10-19 years) have discontinued their schooling (%)
8. Adolescent girls below 18 who are engaged in labour work force (%)
9. Adolescent boys under 18 years who are engaged in labour work force (%)
10. Adolescent girls married under the age of 18 (%)
11. Adolescent boys married under the age of 21 (%)

**PREGNANT WOMEN (aged 15-49 years):** *if she is pregnant, a girl or woman will join this category whether she is an adolescent or the mother of any child under two*

1. Pregnant women in the first trimester who have already taken antenatal check-up (ANC) (%)
2. Pregnant women in the 2nd and 3rd trimester consuming at least 25 IFA tablets in the month preceding the survey (%)
3. Pregnant women reported to receive one dose of albendazole in second trimester (%)
4. Pregnant women who reported gestational weight gain monitoring in the last month (%)
5. Pregnant women who reported to consume Take-Home Rations/hot-cooked meals for 21 days or more in the last 30 days preceding the survey (%)
6. Pregnant women who are below the age of 18 years (%)
7. Pregnant women living in food-secure households (%)
8. Pregnant women who accessed at least one of three maternity benefits (JSY, JSSK, PMMVY) (%) In Telangana KCR kit can be added as a benefit availed
9. Pregnant women who reported feeling pressured to have a male child (%)

**MOTHERS OF CHILDREN UNDER TWO YEARS (aged 15-49 years)**

1. Mothers of children under two with Body Mass Index <18.5 kg/m<sup>2</sup> (%)
2. Mothers of children under two with Body Mass Index >23 kg/m<sup>2</sup> (%)
3. Mothers of children under two consuming 100 or more IFA tablets during the last pregnancy period (%)
4. Mothers of children under two continue consumption of IFA tablets till six months after childbirth (%)
5. Mothers of children under two consuming 100 or more Calcium tablets during the last pregnancy period (%)
6. Mothers of children under two continue consumption of Ca tables till six months after childbirth (%)

7. Mothers of children under two reported to receive one dose of albendazole in the second trimester during the last pregnancy period (%)
8. Mothers of children under two who reported gestational weight gain monitoring during their last pregnancy period (%)
9. Mothers of children under two who reported to consume Take-Home Rations/hot-cooked meal for 21 days or more in last 30 days preceding survey (%)
10. Mothers of children under two who accessed at least one of three maternity benefits (JSY, JSSK, PMMVY) (%)
11. Mothers of children under two who reported facing gender-based violence in the last 30 days (%)

#### **CHILDREN UNDER AGE TWO YEARS (aged <24 months)**

1. Children under age two years breastfed within one hour of birth (%)
2. Children under age six months exclusively breastfed (%)
3. Children aged 12-23 months who are fully immunised (%)
4. Children age 6-8 months receiving solid or semi-solid food and breastmilk (%)
5. Children 6–23 months of age who received foods from 4 or more food groups (out of 7 food groups) (%)
6. Children 6–23 months of age who receive solid, semi-solid, or soft foods (but also including milk feeds for non-breastfed children) the minimum number of times or more (%)

#### **4.1.4 Pretesting of Survey Instruments**

The survey tools will be tested in Chhattisgarh endline survey. Any arising issues in the questionnaires or CAPI programme will be addressed before commencing the study in Telangana.

#### **4.1.5 Ethical Consideration**

- An IRB meeting will be held, and survey protocol will be presented to them for seeking approval on updated survey tools. Ethical aspects such as privacy, confidentiality, anonymity, informed consent, and the right to withdraw from participation are included in the ethical disclosure of survey protocol.
- Key personnel are assigned solely for the implementation of this project. Any change will be made in consultation with UNICEF. Proper financial and accounting records will be maintained.

#### **4.1.6 Survey Protocol on COVID-related Measures**

##### ***Protective measures to be followed by the team***

- During training team will be briefed on properly wearing masks and face shields, sanitising and removing masks and gloves.
- The wellbeing of each investigator will be checked every morning before leaving for fieldwork using the assessment by app and thermal screening using the handheld infrared thermometer.
- While getting ready to go for the fieldwork, investigators will ensure that they carry sanitiser and wear protective masks, gloves, and face shields.
- If any member is unwell, fieldwork will be stopped, and the affected teammate will be diagnosed. Fieldwork will only be initiated if the member is found to be not a case of covid-19. Consent from PI and Co-PIs will be taken before resuming the fieldwork.
- If positive, the entire team and driver will be checked by the respective COVID centre and quarantine as per prescribed guidelines in the respective state.
- On visiting a household, it will be asked if any member of the household is COVID positive or has any symptoms; if yes, then the interview in such household will not be carried out further.
- Every member of the household (even if they are not to be investigated) will first be screened for fever using thermal scanners.
- If any respondent is in self-quarantine (for symptoms/high-risk contact, etc.), they will be excluded from the interview.
- Each household will be provided with an information brochure regarding Covid-19 and the nearest health facility to get more information and treatment.

### **Information on COVID**

COVID-19 is an infectious disease caused by the most recently discovered coronavirus. Coronaviruses are a large family of viruses that may cause illness in animals or humans. In humans, several coronaviruses cause respiratory infections ranging from the common cold to more severe diseases such as Middle East Respiratory Syndrome (MERS) and Severe Acute Respiratory Syndrome (SARS).

<b>Do's</b>	<b>Don'ts</b>
✓ <b>Stay protected, stay Safe</b>	✗ Don't panic, Don't rush, Don't overstock
✓ <b>Maintain social distancing</b>	✗ Visit crowded or public places
✓ <b>Wash hands before touching eyes, nose and mouth.</b>	✗ Spit in public places
✓ <b>Keep track of your symptoms</b>	✗ Shake hands and hug as a matter of greeting
✓ <b>People with fever, cough and difficulty breathing should seek medical attention</b>	✗ Have close contact with anyone, if you're experiencing cough and fever
✓ <b>Keep up to date on the latest COVID-19 hotspots and guidelines</b>	✗ Non-essential travel, Tourist trips
✓ <b>Use AarogyaSetu mobile app</b>	✗ Stigmatise against covid-19 patients and their families

#### ***Anthropometric protocols to be followed***

- The anthropometry equipment will be cleaned after the interview of each household. (70% alcohol/ 1% sodium hypochlorite etc.).
- If the family has any member practising special precautions or insists, the equipment will be cleaned before use.
- All investigators must use sanitiser/soap and water to clean their hands before anthropometric investigations for each respondent.
- Before starting the measurements, investigators should always use protective gear like face shields, masks, aprons, gloves, etc.
- The protocol for wearing gloves before handling supplies and equipment should be strictly followed.



## **5. PHASE II: IMPLEMENTATION OF THE SURVEY IN TELANGANA**

The Phase II of the proposal of Tele-Swabhimaan will be carried out from March to July 2022.

### **5.1 Team Composition**

All the administrative work related to the project will be the responsibility of the Co-PI, JPMs, and Data analyst. This team will monitor all project administrative and field activities, including accounting, budget preparation, face form, Statement of Expenditure (SOE), project-related communication and purchases, advertisement, appointments, and meetings and programmes at the field level. JPM and Programmer will co-ordinate the field related work of the project. Tele-Swabhimaan cadre will conduct the listing operation for the survey in Telangana. The interviews will be carried out by 24 field investigators and supervised by JPMs and other IIPS staff and officials. PI, Co-PIs, Data Analysts and Experts will review the protocols, monitor field activities and prepare and review the factsheets.

### **5.2 Training**

The training will be conducted at two levels:

- Training for mapping and listing will be carried. Post-training, mappers and listers will be sent to a nearby village for field practice, and the errors and issues will be discussed with the field staff and Co-PIs and resolved.
- Training of field investigators will be conducted on quantitative interview techniques, data collection tools, and anthropometric data collection. Apart from this, training of CAPI will be given to the field investigators. The standardised training manuals will be developed for conducting the training. Field investigators will be trained in the gold standard procedure for anthropometric measurements.
- A core team of PI, Co-PIs, JPMs and Programmer, and the support of the state-level staff from UNICEF, will facilitate and execute the training programmes.
- Only those investigators who meet the standard eligibility criteria given in the advertisement will be recruited for the data collection activity.

### **5.3 Data Collection**

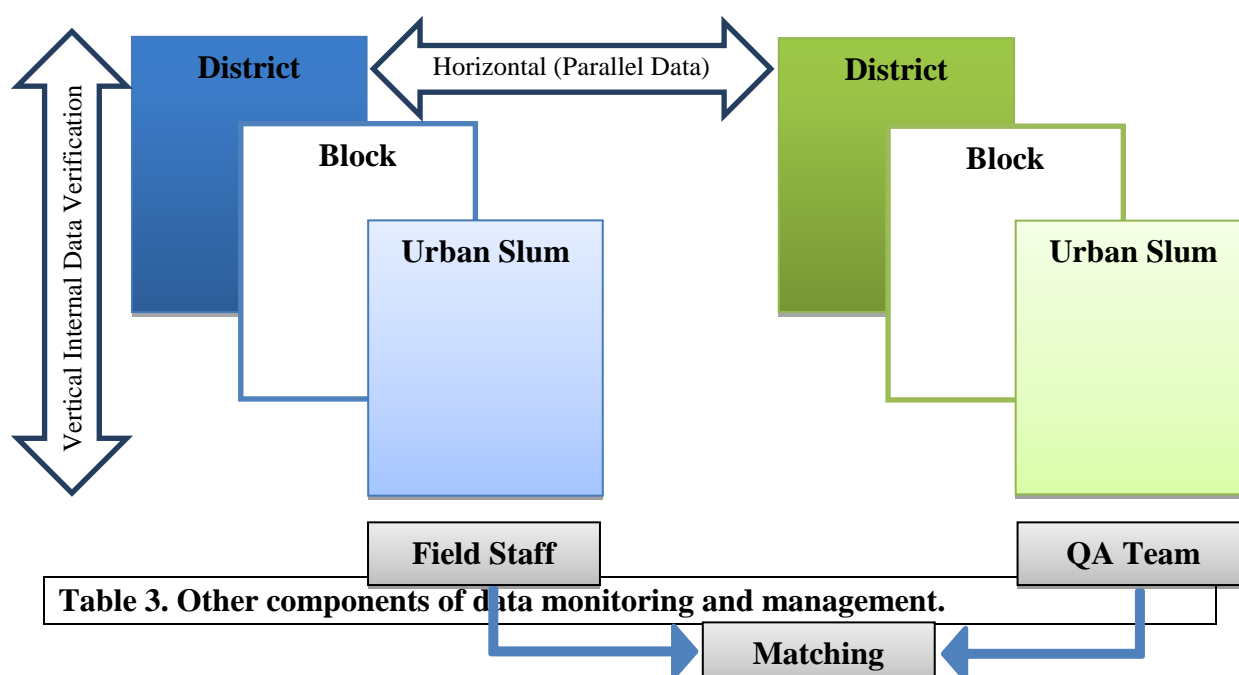
The quantitative data collections are proposed to be conducted from March to July 2022. Informed consent will be taken from the respondents before filling the tool. Informed consent from the guardians before interviewing adolescent girls and assent of participants' will also be taken before the interview.

## 5.4 Field Monitoring

The quality of data collected will be regularly monitored using checklists and specially developed formats for the same by the project officials placed in the states and regular field visits by PI and Co-PI from IIPS. At the beginning of the survey, 2% of spot checks will be done to correct any errors done by the investigators. IIPS will do the back-check of previously collected data. Overall, back-checks will be done for 3% of the total sample (i.e. adolescent girls, pregnant women and mothers with children under two years). Specially developed back check formats will be used to check the consistency of information collected by the investigators.

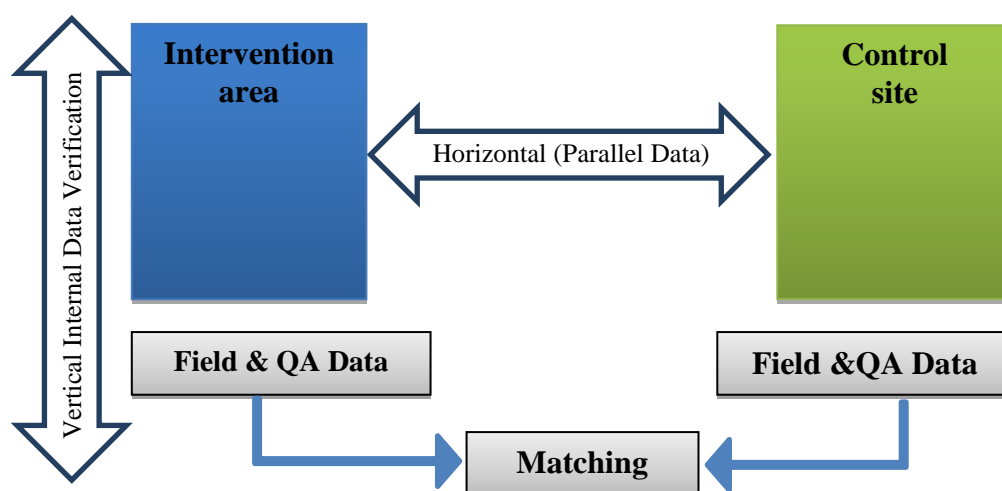
<b>Table 2. Field and Data Monitoring Components.</b>			
<b>Key features</b>	<b>Process</b>	<b>Components</b>	<b>IIPS</b>
Handholding	Spot-checks tables – Initial stage of survey	Spot-Checks and reorientation	Coordinator and JPMs
Validation	Back-checks tables – Daily	Matching with soft data and filled questionnaire	JPMs, Programmer Matching by IIPS
Validity and consistency	Field-checks tables- teams Weekly	Household, and target groups response and measurement	Data Analyst, Co-PI
Validation and consistency	Data consistency intervention and control villages Bi-weekly	Higher-level analysis of age and anthropometric	Data Analyst and PI/CO-PI/JPMs

**Figure 2. Back-checks and matching of data**



Components	Process	Implementation
Data entry	CS Pro, skips checks, coding checks	Programmer, Data analyst from IIPS
Data cleaning	Range checks, anthropometric measurement checks	Programmer, Data analyst PI Co-PIs
Missing case	Pattern by team/caste/tribes/intervention/control groups	
Data merging, pooling, Data form	Converting data in SPSS/STATA	

**Figure 3. Back-checks and field-checks matching with softdata**



Each interviewer will be regularly observed during fieldwork as spot checks. To accomplish this, JPMs and Programmer will be present during interviews and give feedback to interviewers. They will not interrupt during the interview, rather save their comments and give feedback to the interviewer after the interview is over. In addition, throughout the fieldwork, field editors should observe at least one interview per day. JPMs and Programmer will share the task to ensure that all filled in schedules are thoroughly scrutinised and all errors are tactfully discussed with the interviewer.

### 5.5 Data Quality Analysis

Computer-Assisted Personal Interviews (CAPI) will be done. CAPI surveys improve data quality through less missing data and fewer errors due to functions built into the data entry programme. Data will be uploaded directly into the database. IIPS research team will clean data, validate data, and check for internal consistency and management of missing cases. Team-wise, age and outcome variable frequencies will be checked. The pattern of missing cases by caste/tribe and economic characteristics will be examined.

## **5.6 Analysis and Management of Data**

IIPS will develop a tabulation plan and indicators for the fact sheets. The validity of indicators will be tested, and a confidence interval will be provided for all outcome indicators. Comparison will be made with available data sets from the baseline surveys. Household files will be merged with the individual files of target groups. IIPS will convert the data into different formats (SPSS and STATA) for public use.

## **5.7 Fact Sheet and Dissemination of Results**

IIPS will prepare the facts sheet for Telangana based on quantitative data analysis. Fact sheets will be prepared for the Sangareddy district. Dissemination of fact sheets will be done at the state and the national level.

## **6. MODE OF PAYMENT**

UNICEF will release funds to IIPS for the Tele-Swabhimaan survey in Telangana according to the requirement.

## **7. LOGISTIC SUPPORT FROM UNICEF**

For anthropometric measurements of respondents, the IIPS will need stadiometers, weighing machines and MUAC tapes from UNICEF. IIPS will hire experts to calibrate equipment before the survey.

## **8. PROJECT DURATION AND TIMELINE (December 2021 – July 2022)**

The assignment of IIPS for the Tele-SWABHIMAAN Survey, Telangana, will be implemented from December 2021 and will be completed by July 2022. The details are given as under along with the timeline.

## **9. DELIVERABLES FROM IIPS**

<b>Activity</b>	<b>Duration</b>	<b>Deliverables</b>
Phase I: Preparatory work	Dec 2021- Feb 2022	• Preparation of study design and



		<ul style="list-style-type: none"> <li>protocol</li> <li>Preparation of CAPI programme</li> </ul>
Phase II: Implementation of the survey in Telangana	Mar - Jul 2022	<ul style="list-style-type: none"> <li>Training of investigators</li> <li>Conducting Tele-Swabhimaan survey in Telangana</li> <li>Data collection monitoring</li> <li>Data cleaning, analysis, and management</li> <li>Preparing fact sheets</li> <li>Preparing PPT for dissemination</li> </ul>

#### 10. TIMELINE (December 12021 –July 31 2022)

	2021	2022						
ACTIVITIES	Dec	Jan	Feb	Mar	Apr	May	June	July
<b>PHASE I (Dec 2021 to Feb 2022)</b>								
TAG and finalising survey design and methodology								
Sampling								
Tools and CAPI programme								
IRB approval								
<b>PHASE II (Mar to July 2022)</b>								
Training								
Survey and Data collection monitoring								
Data cleaning								
Data analysis and management								
Fact sheets								
Preparing PPT for dissemination								

# BUDGET

Programme Cooperation Agreement Title: Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra

Location: Maharashtra  
 Responsible Officer(s): Prof. Kailash Chandra Das, Prof. R. B. Bhagat, Prof. Archana Roy  
 Implementing Partner: IIPS, Mumbai  
 Project Period: 18 months

Amount in Indian Rupees\*

Programme Costs								UNICEF Contribution					
No.	Items	Unit	Quantity	Unit cost	Total budgeted (D+E)	Partner's contribution	Total UNICEF Contribution	Dec 19 - Mar 20	Mar - Jun 20	Jul - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
A	B	C	D	E	F	G	H	I	J	K	L	M	N
1.0	Staff												
1.1	Senior Project Officer (1 @ 60000/ month x 18 months)	Months	18	60,000	1,080,000.00	-	1,080,000.00	180,000	180,000	180,000	180,000	180,000	180,000
1.2	Project Officer (2 @ 40000/ month x 18 months)	Person/M	36	40,000	2,160,000.00	-	2,160,000.00	360,000	360,000	360,000	360,000	360,000	360,000
2.0	Training workshop (Qualitative 4 days)											0	0
2.1	Food (@ 400 x 15 persons x 4 days, as per actuals)	Nos/day	60	400	24,000.00	-	24,000.00	24,000					
2.2	Travel (for 02 Resource persons @ 1500/person as per actuals)	Nos	2	1,500	3,000.00	-	3,000.00	3,000					
2.3	Honorarium to resource persons (02 @ 5000/day)	Nos	2	5,000	10,000.00	-	10,000.00	10,000					
2.4	Stationery, handouts and pens/pencils (as per actuals)	Nos	10	1,000	10,000.00	-	10,000.00	10,000					
3.0	Training workshop (Quantitative, 1 week in January and 1 week in June at Jalna)											0	0
3.1	Venue @ 5000/day x 5 days x 2 times (as per actuals)	Days	10	5,000	50,000.00	-	50,000.00	25,000			25,000		
3.2	LCD Projector and audio system (if hired separately) @ 2000/day x 5 days (as per actuals)		10	2,500	25,000.00	-	25,000.00	12,500			12,500		

*Q. Das*  
 16/12/2019



3.3	Food and accommodation (@ 2700/day x 30 data collectors, IIPS staff, Jaina team etc for 2 rounds of 9 days each, as per actuals)	Nos/day	450	2,700	1,296,000.00	-	1,296,000.00	648,000	-	648,000	-	-	-
3.4	Travel (to Jaina for data collectors @ 250/ person x 2 ways x 15 people x 2 rounds, as per actuals)	Nos	60	250	15,000.00	-	15,000.00	7,500	-	7,500	-	-	-
3.5	DA for resource persons (@ 1200 x 5 days x 2 rounds x 3 persons)	Nos	30	1,200	36,000.00	-	36,000.00	18,000	-	18,000	-	-	-
3.6	Conveyance vehicle hire for tool testing field trip (As per actuals, 2 vehicles x 2 days x 2 times)	Nos	8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-	-
3.7	Travel to Jaina (airfare for IIPS faculty, as per actuals)	Nos	6	15,000	90,000.00	-	90,000.00	45,000	-	45,000	-	-	-
3.8	Travel to Jaina (IIPS team, 4 persons @ 3000/ person/ roundtrip train x 2 times, as per actuals)	Nos	8	3,000	24,000.00	-	24,000.00	12,000	-	12,000	-	-	-
3.9	Weighing machine and stadiometer for BMI (@ Rs 5000/set/ data collector x 10)	Nos	10	5,000	50,000.00	-	50,000.00	50,000	-	-	-	-	-
3.10	Stationery and handouts (as per actuals)	Nos	30	100	3,000.00	-	3,000.00	1,500	-	1,500	-	-	-
4.0	Data Collection (Quantitative, two rounds, one each in Jan-Feb and July-Aug)							0	-	-	-	-	-
4.1	Honorarium to data collectors @ 500/person/day x 50 days x 10 data collectors)	Nos/day	500	500	250,000.00	-	250,000.00	75,000	-	175,000	-	-	-
4.2	Food for data collectors @ 350/day x 50 days x 10 data collectors, as per actuals)			350	175,000.00	-	175,000.00	52,600	-	122,500	-	-	-



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4.3	Accommodation for data collectors @ 500/day x 50 days x 10 data collectors	Nos/day	500	500	250,000.00	-	250,000.00	75,000	-	175,000	-	-	-	-	-
4.4	Data cards to data collectors @ 200/month x 10 data collectors x 3 times	Nos	30	300	6,000.00	-	6,000.00	2,000	-	4,000	-	-	-	-	-
4.5	Travel to Jaina for data collection team (7 seater vehicles x 2 @ 4000/day x 50 days, as per actuals)	Nos/day	100	4,000	400,000.00	-	400,000.00	120,000	-	280,000	-	-	-	-	-
4.6	DA and Accommodation for SPQ @ 3000 x 15 days	Days	15	3,000	45,000.00	-	45,000.00	15,000	-	30,000	-	-	-	-	-
4.7	DA and Accommodation for 3 PO @ 2500 x 50 days	Days	150	2,000	300,000.00	-	300,000.00	60,000	-	210,000	-	-	-	-	-
4.8	DA for IPS for data monitoring and supervision @ 1200 x 4 days x 3 rounds	Nos	8	1,200	9,600.00	-	9,600.00	4,800	-	4,800	-	-	-	-	-
4.9	Accommodation for coordinators @ 4000 x 4 x 2 rounds, as per actuals		8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-	-	-	-
4.10	Travel to Jaina (airfare for IPS faculty, as per actuals)	Nos	1	15,000	15,000.00	-	15,000.00	-	-	15,000	-	-	-	-	-
4.11	Local travel coordinator (1 vehicle x 4 days x 3 rounds, as per actuals)	Days	8	3,000	24,000.00	-	24,000.00	12,000	-	12,000	-	-	-	-	-
4.12	Travel to Jaina (IPS team, 1 persons @ 3000/person/roundtrip train, as per actuals)	Nos	1	3,000	3,000.00	-	3,000.00	-	-	3,000	-	-	-	-	-
4.13	Digitization of quantitative tools	Nos	1	40,000	40,000.00	-	40,000.00	6,667	6,667	6,667	6,667	6,667	6,667	6,667	6,667
4.14	Development of the mobile app	Nos	1	40,000	40,000.00	-	40,000.00	6,667	6,667	6,667	6,667	6,667	6,667	6,667	6,667
4.15	Hosting Infrastructure, Source Code Management and Support	Nos	18	5,000	90,000.00	-	90,000.00	15,000	15,000	15,000	15,000	15,000	15,000	15,000	15,000
5.0	Data Collection (Qualitative, three rounds in Jan- Feb, April-May and July-Aug)					-	-	-	-	-	-	-	-	-	-



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6.1	Travel to Jaina (IPS team, 4 persons @ 3000/ person/ roundtrip train x 3 trips, as per actuals)	Times	12	3,000	36,000.00	-	36,000.00	12,000	12,000	12,000	-	-
6.2	DA and Accommodation for SPO @ 3500 x 15 days)	Days	15	3,500	52,500.00	-	52,500.00	17,500	17,500	17,500	-	-
6.3	DA and Accommodation for PO @ 3500 x 25 days x 2 POS)	Person/d	50	2,500	125,000.00	-	125,000.00	50,000	25,000	50,000	-	-
6.4	DA for IPS for data supervision (@1200 x 4 days x 3 rounds)	Nos	6	1,200	9,600.00	-	9,600.00	4,800	-	4,800	-	-
6.5	Accommodation for coordinators (@ 4000 x 4 x 2 rounds, as per actuals)	Nos	8	4,000	32,000.00	-	32,000.00	16,000	-	16,000	-	-
6.6	Travel to Jaina (airfare for IPS faculty 2 rounds, as per actuals)	Nos	2	15,000	30,000.00	-	30,000.00	15,000	-	15,000	-	-
6.7	Local travel coordinator (1 vehicle x 4 days x 2 rounds, as per actuals)	Days	8	3,600	24,000.00	-	24,000.00	12,000	-	12,000	-	-
6.8	Local travel (1 vehicle x 25 days, as per actuals)	Days	25	3,000	75,000.00	-	75,000.00	30,000	15,000	30,000	-	-
6.9	Consultation for dissemination				-	-	-	-	-	-	-	0
6.1	Venue	Times	1	35,000	35,000.00	-	35,000.00	-	-	-	-	35,000
6.2	Food @1500/person x 125 people as per actuals	Nos	125	1,500	187,500.00	-	187,500.00	-	-	-	-	187,500
6.3	Design and layout of the document (4 colours, including graphs)	Nos	1	80,000	80,000.00	-	80,000.00	-	-	-	-	80,000
6.4	Printing (300 copies, as per actuals)	Nos	300	250	75,000.00	-	75,000.00	-	-	-	-	75,000
7.0	Technical Advisory Committee											0
7.1	Honorarium @5000/person x 2 times	Nos	10	5,000	50,000.00	-	50,000.00	25,000	-	-	25,000	0
7.2	Food @350 x 20 persons x 2 times as per actuals	Nos	40	350	14,000.00	-	14,000.00	7,000	-	-	7,000	0
7.3	Travel (outstation expert @ 15000 x 2 persons x 2 times, as per actuals)		4	15,000	60,000.00	-	60,000.00	30,000	-	0	0	30,000



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7.4	Accommodation for outstation expert (@ 4000 x 1 night x 2 persons x 2 times, as per actuals)	Nos	4	4000	16,000.00	-	16,000.00	8,000	0	0	8,000	0	
	SubTotal				7,489,200.00	0.00	7,489,200.00	2,140,433	637,833	2,558,433	568,333	638,333	945,833

#### Direct Programme Support Costs

No.	Items	Unit	Quantity	Unit cost	Total budgeted	Partner's contribution	UNICEF Contribution	UNICEF Contribution					
								Dec 19 - Mar 20	Mar - Jun 20	Jun - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
								1st tranche	2nd tranche	3rd tranche	4th tranche	5th tranche	6th tranche
A	B	C	D	E	F	G	H	I	J	K	L	K	L
8.1	Office Expenditure	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.2	Tea and refreshments for meeting (at least 2 meeting a month x 18 months)	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.3	Office communication including data cards (for core staff)	Months	18	3,500	63,000.00	-	63,000.00	10,500	10,500	10,500	10,500	10,500	10,500
8.4	Accountant cum office assistant	Months	18	40,000	720,000.00	-	720,000.00	120,000	120,000	120,000	120,000	120,000	120,000
8.5	Institutional overhead such as office space and facilities like electricity, water, Air conditioning, library, computer center, data center and other material and non-material resources including staff time	10% of total budget	-	-	748,920.00	-	748,920.00	124,820	124,820	124,820	124,820	124,820	124,820
	<b>SubTotal</b>				<b>1,657,920.00</b>	<b>-</b>	<b>1,657,920.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>	<b>276,320.00</b>

#### Budget Summary

Budget Category	Total budgeted	Partner's contribution	Total UNICEF Contribution	UNICEF Contribution					
				Dec 19 - Mar 20	Mar - Jun 20	Jun - Sep 20	Oct - Dec 20	Jan - Mar 21	Apr - Jun 21
Programme Costs	7,489,200.00	-	7,489,200.00	2,140,433	637,833	2,558,433	568,333	638,333	945,833
Direct Programme Support Costs	1,657,920.00	-	1,657,920.00	276,320	276,320	276,320	276,320	276,320	276,320
<b>TOTAL BUDGET</b>	<b>9,147,120.00</b>	<b>-</b>	<b>9,147,120.00</b>	<b>2,416,753</b>	<b>914,153</b>	<b>2,834,753</b>	<b>844,653</b>	<b>914,653</b>	<b>1,222,153</b>

129,014.39

129,014.39

34,086.79

12,893.56

30,982.42

11,913.31

12,000.61

17,237.71

including of monitoring and supervision of initial days of fieldwork.

34,086.79

84,789.28

68,876.07

*Dec 16/12/2019*



India Aging Budget		
Sr no.	Activities	Amount
1	Principal Investigator	330000
2	Consultant	500000
3	Accountant	100000
4	Senior Project Officer (1 person @70,000 per month)	630000
5	Meetings (fortnight meeting)	20000
6	English Editing and Designing Layout	250000
	<b>LASI Papers</b>	
7	English Editing of Research papers	100000
8	Young scholars research award using LASI Data 3 scholars @ Rs. 1L per scholar	300000
9	Publication fee for Research papers	300000
	<b>Supplies</b>	
10	Computer supplies	650000
11	Stationary/supplies	100000
12	Miscellaneous	20000
	<b>Subtotal</b>	<b>3300000</b>
13	Support Cost 9%	297000
	<b>Grand Total</b>	<b>3597000</b>

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Director 9/9/21





## GENERAL CONDITIONS OF CONTRACT

### CONTRACTS FOR THE PROVISION OF SERVICES

1. **LEGAL STATUS OF THE PARTIES:** UNFPA (a subsidiary organ of the United Nations established by the General Assembly in resolution 3019 (XXVII)) and the Contractor shall also each be referred to as a "Party" hereunder, and:
  - 1.1 Pursuant, *inter alia*, to the Charter of the United Nations and the Convention on the Privileges and Immunities of the United Nations, the United Nations, including its subsidiary organs, has full juridical personality and enjoys such privileges and immunities as are necessary for the independent fulfillment of its purposes.
  - 1.2 The Contractor shall have the legal status of an independent contractor *vis-à-vis* UNFPA, and nothing contained in or relating to the Contract shall be construed as establishing or creating between the Parties the relationship of employer and employee or of principal and agent. The officials, representatives, employees, or subcontractors of each of the Parties shall not be considered in any respect as being the employees or agents of the other Party, and each Party shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.
2. **RESPONSIBILITY FOR EMPLOYEES:** To the extent that the Contract involves the provision of any services to UNFPA by the Contractor's officials, employees, agents, servants, subcontractors and other representatives (collectively, the Contractor's "personnel"), the following provisions shall apply:
  - 2.1 The Contractor shall be responsible for the professional and technical competence of the personnel it assigns to perform work under the Contract and will select reliable and competent individuals who will be able to effectively perform the obligations under the Contract and who, while doing so, will respect the local laws and customs and conform to a high standard of moral and ethical conduct.
  - 2.2 Such Contractor personnel shall be professionally qualified and, if required to work with officials or staff of UNFPA, shall be able to do so effectively. The qualifications of any personnel whom the Contractor may assign or may propose to assign to perform any obligations under the Contract shall be substantially the same, or better, as the qualifications of any personnel originally proposed by the Contractor.
  - 2.3 At the option of and in the sole discretion of UNFPA:
    - 2.3.1 the qualifications of personnel proposed by the Contractor (e.g., a curriculum vitae) may be reviewed by UNFPA prior to such personnel's performing any obligations under the Contract;
    - 2.3.2 any personnel proposed by the Contractor to perform obligations under the Contract may be interviewed by qualified staff or officials of UNFPA prior to such personnel's performing any obligations under the Contract; and,
    - 2.3.3 in cases in which, pursuant to Article 2.3.1 or 2.3.2, above, UNFPA has reviewed the qualifications of such Contractor's personnel, UNFPA may reasonably refuse to accept any such personnel.
  - 2.4 Requirements specified in the Contract regarding the number or qualifications of the Contractor's personnel may change during the course of performance of the Contract. Any such change shall be made only following written notice of such proposed change and upon written agreement between the Parties regarding such change, subject to the following:
    - 2.4.1 UNFPA may, at any time, request, in writing, the withdrawal or replacement of any of the Contractor's personnel, and such request shall not be unreasonably refused by the Contractor.
    - 2.4.2 Any of the Contractor's personnel assigned to perform obligations under the Contract shall not be withdrawn or replaced without the prior written consent of UNFPA, which shall not be unreasonably withheld.
    - 2.4.3 The withdrawal or replacement of the Contractor's personnel shall be carried out as quickly as possible and in a manner that will not adversely affect the performance of obligations under the Contract.

प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / Director & Sr. Professor  
अंतर्राष्ट्रीय जनसंख्या विकास संस्थान  
International Institute for Population Development  
गोवर्दी स्टेशन रोड, देहली / Govardhi Station Road, Delhi  
सुन्दर / Sunder - 110028



- 2.4.4 All expenses related to the withdrawal or replacement of the Contractor's personnel shall, in all cases, be borne exclusively by the Contractor.
- 2.4.5 Any request by UNFPA for the withdrawal or replacement of the Contractor's personnel shall not be considered to be a termination, in whole or in part, of the Contract, and UNFPA shall not bear any liability in respect of such withdrawn or replaced personnel.
- 2.4.6 If a request for the withdrawal or replacement of the Contractor's personnel is not based upon a default by or failure on the part of the Contractor to perform its obligations in accordance with the Contract, the misconduct of the personnel, or the inability of such personnel to reasonably work together with UNFPA officials and staff, then the Contractor shall not be liable by reason of any such request for the withdrawal or replacement of the Contractor's personnel for any delay in the performance by the Contractor of its obligations under the Contract that is substantially the result of such personnel's being withdrawn or replaced.
- 2.5 Nothing in Articles 2.2, 2.3 and 2.4, above, shall be construed to create any obligations on the part of UNFPA with respect to the Contractor's personnel assigned to perform work under the Contract, and such personnel shall remain the sole responsibility of the Contractor.
- 2.6 The Contractor shall be responsible for requiring that all personnel assigned by it to perform any obligations under the Contract and who may have access to any premises or other property of UNFPA shall:
- 2.6.1 undergo or comply with security screening requirements made known to the Contractor by UNFPA, including but not limited to, a review of any criminal history;
- 2.6.2 when within UNFPA premises or on UNFPA property, display such identification as may be approved and furnished by UNFPA, and that upon the withdrawal or replacement of any such personnel or upon termination or completion of the Contract, such personnel shall immediately return any such identification to UNFPA for cancellation.
- 2.7 Within one working day after learning that any of Contractor's personnel who have access to any UNFPA premises have been charged by law enforcement authorities with an offense other than a minor traffic offense, the Contractor shall provide written notice to inform UNFPA about the particulars of the charges then known and shall continue to inform UNFPA concerning all substantial developments regarding the disposition of such charges.
- 2.8 All operations of the Contractor, including without limitation, storage of equipment, materials, supplies and parts, within UNFPA premises or on UNFPA property shall be confined to areas authorized or approved by UNFPA. The Contractor's personnel shall not enter or pass through and shall not store or dispose of any of its equipment or materials in any areas within UNFPA premises or on UNFPA property without appropriate authorization from UNFPA.

### 3. ASSIGNMENT:

- 3.1 Except as provided in Article 3.2, below, the Contractor may not assign, transfer, pledge or make any other disposition of the Contract, of any part of the Contract, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNFPA. Any such unauthorized assignment, transfer, pledge or other disposition, or any attempt to do so, shall not be binding on UNFPA. Except as permitted with respect to any approved subcontractors, the Contractor shall not delegate any of its obligations under this Contract, except with the prior written consent of UNFPA. Any such unauthorized delegation, or attempt to do so, shall not be binding on UNFPA.
- 3.2 The Contractor may assign or otherwise transfer the Contract to the surviving entity resulting from a reorganization of the Contractor's operations, *provided that*:
- 3.2.1 such reorganization is not the result of any bankruptcy, receivership or other similar proceedings; *and*,
- 3.2.2 such reorganization arises from a sale, merger, or acquisition of all or substantially all of the Contractor's assets or ownership interests; *and*,
- 3.2.3 the Contractor promptly notifies UNFPA about such assignment or transfer at the earliest opportunity; *and*,



3.2.4 the assignee or transferee agrees in writing to be bound by all of the terms and conditions of the Contract, and such writing is promptly provided to UNFPA following the assignment or transfer.

4. **SUBCONTRACTING:** In the event that the Contractor requires the services of subcontractors to perform any obligations under the Contract, the Contractor shall obtain the prior written approval of UNFPA. UNFPA shall be entitled, in its sole discretion, to review the qualifications of any subcontractors and to reject any proposed subcontractor that UNFPA reasonably considers is not qualified to perform obligations under the Contract. UNFPA shall have the right to require any subcontractor's removal from UNFPA premises without having to give any justification therefor. Any such rejection or request for removal shall not, in and of itself, entitle the Contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Contract, and the Contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

5. **INDEMNIFICATION:**

- 5.1 The Contractor shall indemnify, defend, and hold and save harmless, UNFPA, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any third party against UNFPA, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to:

5.1.1 allegations or claims that the possession of or use by UNFPA of any patented device, any copyrighted material, or any other goods, property or services provided or licensed to UNFPA under the terms of the Contract, in whole or in part, separately or in a combination contemplated by the Contractor's published specifications therefor, or otherwise specifically approved by the Contractor, constitutes an infringement of any patent, copyright, trademark, or other intellectual property right of any third party; or

5.1.2 any acts or omissions of the Contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

- 5.2 The indemnity set forth in Article 5.1.1, above, shall not apply to:

5.2.1 A claim of infringement resulting from the Contractor's compliance with specific written instructions by UNFPA directing a change in the specifications for the goods, property, materials, equipment or supplies to be or used, or directing a manner of performance of the Contract or requiring the use of specifications not normally used by the Contractor; or

5.2.2 A claim of infringement resulting from additions to or changes in any goods, property, materials, equipment, supplies or any components thereof furnished under the Contract if UNFPA or another party acting under the direction of UNFPA made such changes.

- 5.3 In addition to the indemnity obligations set forth in this Article 5, the Contractor shall be obligated, at its sole expense, to defend UNFPA and its officials, agents and employees, pursuant to this Article 5, regardless of whether the suits, proceedings, claims and demands in question actually give rise to or otherwise result in any loss or liability.

- 5.4 UNFPA shall advise the Contractor about any such suits, proceedings, claims, demands, losses or liability within a reasonable period of time after having received actual notice thereof. The Contractor shall have sole control of the defense of any such suit, proceeding, claim or demand and of all negotiations in connection with the settlement or compromise thereof, except with respect to the assertion or defense of the privileges and immunities of the United Nations, including its subsidiary organs, or any matter relating thereto, for which only UNFPA itself is authorized to assert and maintain. UNFPA shall have the right, at its own expense, to be represented in any such suit, proceeding, claim or demand by independent counsel of its own choosing.



5.5 In the event the use by UNFPA of any goods, property or services provided or licensed to UNFPA by the Contractor, in whole or in part, in any suit or proceeding, is for any reason enjoined, temporarily or permanently, or is found to infringe any patent, copyright, trademark or other intellectual property right, or in the event of a settlement, is enjoined, limited or otherwise interfered with, then the Contractor, at its sole cost and expense, shall, promptly, either:

5.5.1 procure for UNFPA the unrestricted right to continue using such goods or services provided to UNFPA;

5.5.2 replace or modify the goods or services provided to UNFPA, or part thereof, with the equivalent or better goods or services, or part thereof, that is non-infringing; or,

5.5.3 refund to UNFPA the full price paid by UNFPA for the right to have or use such goods, property or services, or part thereof.

## 6. INSURANCE AND LIABILITY:

6.1 The Contractor shall pay UNFPA promptly for all loss, destruction, or damage to the property of UNFPA caused by the Contractor's personnel or by any of its subcontractors or anyone else directly or indirectly employed by the Contractor or any of its subcontractors in the performance of the Contract.

6.2 Unless otherwise provided in the Contract, prior to commencement of performance of any other obligations under the Contract, and subject to any limits set forth in the Contract, the Contractor shall take out and shall maintain for the entire term of the Contract, for any extension thereof, and for a period following any termination of the Contract reasonably adequate to deal with losses:

6.2.1 insurance against all risks in respect of its property and any equipment used for the performance of the Contract;

6.2.2 workers' compensation insurance, or its equivalent, or employer's liability insurance, or its equivalent, with respect to the Contractor's personnel sufficient to cover all claims for injury, death and disability, or any other benefits required to be paid by law, in connection with the performance of the Contract;

6.2.3 liability insurance in an adequate amount to cover all claims, including, but not limited to, claims for death and bodily injury, products and completed operations liability, loss of or damage to property, and personal and advertising injury, arising from or in connection with the Contractor's performance under the Contract; including, but not limited to, liability arising out of or in connection with the acts or omissions of the Contractor, its personnel, agents, or invitees, or the use, during the performance of the Contract, of any vehicles, boats, airplanes or other transportation vehicles and equipment, whether or not owned by the Contractor; and,

6.2.4 such other insurance as may be agreed upon in writing between UNFPA and the Contractor.

6.3 The Contractor's liability policies shall also cover subcontractors and all defense costs and shall contain a standard "cross liability" clause.

6.4 The Contractor acknowledges and agrees that UNFPA accepts no responsibility for providing life, health, accident, travel or any other insurance coverage which may be necessary or desirable in respect of any personnel performing services for the Contractor in connection with the Contract.

6.5 Except for the workers' compensation insurance or any self-insurance program maintained by the Contractor and approved by UNFPA, in its sole discretion, for purposes of fulfilling the Contractor's requirements for providing insurance under the Contract, the insurance policies required under the Contract shall:

6.5.1 name UNFPA as an additional insured under the liability policies, including, if required, as a separate endorsement under the policy;

6.5.2 include a waiver of subrogation of the Contractor's insurance carrier's rights against UNFPA;

6.5.3 provide that UNFPA shall receive written notice from the Contractor's insurance carrier not less than thirty (30) days prior to any cancellation or material change of coverage; and,

6.5.4 include a provision for response on a primary and non-contributing basis with respect to any other insurance that may be available to UNFPA.



- 6.6 The Contractor shall be responsible to fund all amounts within any policy deductible or retention.
- 6.7 Except for any self-insurance program maintained by the Contractor and approved by UNFPA for purposes of fulfilling the Contractor's requirements for maintaining insurance under the Contract, the Contractor shall maintain the insurance taken out under the Contract with reputable insurers that are in good financial standing and that are acceptable to UNFPA. Prior to the commencement of any obligations under the Contract, the Contractor shall provide UNFPA with evidence, in the form of certificate of insurance or such other form as UNFPA may reasonably require, that demonstrates that the Contractor has taken out insurance in accordance with the requirements of the Contract. UNFPA reserves the right, upon written notice to the Contractor, to obtain copies of any insurance policies or insurance program descriptions required to be maintained by the Contractor under the Contract. Notwithstanding the provisions of Article 6.5.3, above, the Contractor shall promptly notify UNFPA concerning any cancellation or material change of insurance coverage required under the Contract.
- 6.8 The Contractor acknowledges and agrees that neither the requirement for taking out and maintaining insurance as set forth in the Contract nor the amount of any such insurance, including, but not limited to, any deductible or retention relating thereto, shall in any way be construed as limiting the Contractor's liability arising under or relating to the Contract.
7. **ENCUMBRANCES AND LIENS:** The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNFPA against any monies due to the Contractor or that may become due for any work done or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Contractor or UNFPA.
8. **EQUIPMENT FURNISHED BY UNFPA TO THE CONTRACTOR:** Title to any equipment and supplies that may be furnished by UNFPA to the Contractor for the performance of any obligations under the Contract shall rest with UNFPA, and any such equipment shall be returned to UNFPA at the conclusion of the Contract or when no longer needed by the Contractor. Such equipment, when returned to UNFPA, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear, and the Contractor shall be liable to compensate UNFPA for the actual costs of any loss of, damage to, or degradation of the equipment that is beyond normal wear and tear.
9. **COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:**
- 9.1 Except as is otherwise expressly provided in writing in the Contract, UNFPA shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for UNFPA under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract. The Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNFPA.
- 9.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, UNFPA does not and shall not claim any ownership interest thereto, and the Contractor grants to UNFPA a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 9.3 At the request of UNFPA, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNFPA in compliance with the requirements of the applicable law and of the Contract.
- 9.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of UNFPA, shall be made available for use or inspection by UNFPA at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNFPA authorized officials on completion of work under the Contract.
10. **PUBLICITY, AND USE OF THE NAME, EMBLEM OR OFFICIAL SEAL:** The Contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNFPA, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of the United Nations.





and UNFPA, or any abbreviation of the name of the United Nations and UNFPA in connection with its business or otherwise without the written permission of the United Nations and UNFPA.

11. **CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:** Information and data that is considered proprietary by either Party or that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

11.1 The Recipient shall:

- 11.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar Information that it does not wish to disclose, publish or disseminate; and,
- 11.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.

- 11.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 11, the Recipient may disclose Information to:

- 11.2.1 any other party with the Discloser's prior written consent; and,
- 11.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees, officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, *provided that*, for these purposes a controlled legal entity means:
  - 11.2.2.1 a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
  - 11.2.2.2 any entity over which the Party exercises effective managerial control; or,
  - 11.2.2.3 for the United Nations, a principal or subsidiary organ of the United Nations established in accordance with the Charter of the United Nations.

- 11.3 The Contractor may disclose Information to the extent required by law, *provided that*, subject to and without any waiver of the privileges and immunities of the United Nations, including its subsidiary organs, the Contractor will give UNFPA sufficient prior notice of a request for the disclosure of Information in order to allow UNFPA to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

- 11.4 UNFPA may disclose Information to the extent as required pursuant to the Charter of the United Nations, or pursuant to resolutions or regulations of the General Assembly or rules promulgated thereunder.

- 11.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

- 11.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

12. **FORCE MAJEURE; OTHER CHANGES IN CONDITIONS:**

- 12.1 In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the affected Party shall give notice and full particulars in writing to the other Party, of such occurrence or cause if the affected Party is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The affected Party shall also notify the other Party of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the affected Party shall also submit a statement to the other Party of estimated expenditures that will likely be incurred for the duration of the change in condition or the event of *force majeure*. On receipt of the notice or notices required hereunder, the Party not affected by the occurrence





of a cause constituting *force majeure* shall take such action as it reasonably considers to be appropriate or necessary in the circumstances, including the granting to the affected Party of a reasonable extension of time in which to perform any obligations under the Contract.

12.2 If the Contractor is rendered unable, wholly or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, UNFPA shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for in Article 13, "Termination," except that the period of notice shall be seven (7) days instead of thirty (30) days. In any case, UNFPA shall be entitled to consider the Contractor permanently unable to perform its obligations under the Contract in case the Contractor is unable to perform its obligations, wholly or in part, by reason of *force majeure* for any period in excess of ninety (90) days.

12.3 *Force majeure* as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Contractor. The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in areas in which UNFPA is engaged in, preparing to engage in, or disengaging from any humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas, or to any incidents of civil unrest occurring in such areas, shall not, in and of itself, constitute *force majeure* under the Contract.

### 13. TERMINATION:

13.1 Either Party may terminate the Contract for cause, in whole or in part, upon thirty (30) day's notice, in writing, to the other Party. The initiation of conciliation or arbitral proceedings in accordance with Article 16 "Settlement of Disputes," below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract.

13.2 UNFPA may terminate the Contract at any time by providing written notice to the Contractor in any case in which the mandate of UNFPA applicable to the performance of the Contract or the funding of UNFPA applicable to the Contract is curtailed or terminated, whether in whole or in part. In addition, unless otherwise provided by the Contract, upon sixty (60) day's advance written notice to the Contractor, UNFPA may terminate the Contract without having to provide any justification therefor.

13.3 In the event of any termination of the Contract, upon receipt of notice of termination that has been issued by UNFPA, the Contractor shall, except as may be directed by UNFPA in the notice of termination or otherwise in writing:

13.3.1 take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum;

13.3.2 refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice;

13.3.3 place no further subcontracts or orders for materials, services, or facilities, except as UNFPA and the Contractor agree in writing are necessary to complete any portion of the Contract that is not terminated;

13.3.4 terminate all subcontracts or orders to the extent they relate to the portion of the Contract terminated;

13.3.5 transfer title and deliver to UNFPA the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the portion of the Contract terminated;

13.3.6 deliver all completed or partially completed plans, drawings, information, and other property that, if the Contract had been completed, would be required to be furnished to UNFPA thereunder;

13.3.7 complete performance of the work not terminated; and,

13.3.8 take any other action that may be necessary, or that UNFPA may direct in writing, for the minimization of losses and for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which UNFPA has or may be reasonably expected to acquire an interest.



- 13.4 In the event of any termination of the Contract, UNFPA shall be entitled to obtain reasonable written accountings from the Contractor concerning all obligations performed or pending in accordance with the Contract. In addition, UNFPA shall not be liable to pay the Contractor except for those goods delivered and services provided to UNFPA in accordance with the requirements of the Contract, but only if such goods or services were ordered, requested or otherwise provided prior to the Contractor's receipt of notice of termination from UNFPA or prior to the Contractor's tendering of notice of termination to UNFPA.
- 13.5 UNFPA may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that:
- 13.5.1 the Contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, or applies for a moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent;
  - 13.5.2 the Contractor is granted a moratorium or a stay, or is declared insolvent;
  - 13.5.3 the Contractor makes an assignment for the benefit of one or more of its creditors;
  - 13.5.4 a Receiver is appointed on account of the insolvency of the Contractor;
  - 13.5.5 the Contractor offers a settlement in lieu of bankruptcy or receivership; or,
  - 13.5.6 UNFPA reasonably determines that the Contractor has become subject to a materially adverse change in its financial condition that threatens to substantially affect the ability of the Contractor to perform any of its obligations under the Contract.
- 13.6 Except as prohibited by law, the Contractor shall be bound to compensate UNFPA for all damages and costs, including, but not limited to, all costs incurred by UNFPA in any legal or non-legal proceedings, as a result of any of the events specified in Article 13.5, above, and resulting from or relating to a termination of the Contract, even if the Contractor is adjudged bankrupt, or is granted a moratorium or stay or is declared insolvent. The Contractor shall immediately inform UNFPA of the occurrence of any of the events specified in Article 13.5, above, and shall provide UNFPA with any information pertinent thereto.
- 13.7 The provisions of this Article 13 are without prejudice to any other rights or remedies of UNFPA under the Contract or otherwise.
14. **NON-WAIVER OF RIGHTS:** The failure by either Party to exercise any rights available to it, whether under the Contract or otherwise, shall not be deemed for any purposes to constitute a waiver by the other Party of any such right or any remedy associated therewith, and shall not relieve the Parties of any of their obligations under the Contract.
15. **NON-EXCLUSIVITY:** Unless otherwise specified in the Contract, UNFPA shall have no obligation to purchase any minimum quantities of goods or services from the Contractor, and UNFPA shall have no limitation on its right to obtain goods or services of the same kind, quality and quantity described in the Contract, from any other source at any time.
16. **SETTLEMENT OF DISPUTES:**
- 16.1 **AMICABLE SETTLEMENT:** The Parties shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof. Where the Parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the Parties in writing.
  - 16.2 **ARBITRATION:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim measures") and Article 34 ("Form and effect of the award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in



the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17. **PRIVILEGES AND IMMUNITIES:** Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18. **TAX EXEMPTION:**

18.1 Article II, Section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties, and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of UNFPA from such taxes, restrictions, duties, or charges, the Contractor shall immediately consult with UNFPA to determine a mutually acceptable procedure.

18.2 The Contractor authorizes UNFPA to deduct from the Contractor's invoices any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNFPA before the payment thereof and UNFPA has, in each instance, specifically authorized the Contractor to pay such taxes, duties, or charges under written protest. In that event, the Contractor shall provide UNFPA with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized, and UNFPA shall reimburse the Contractor for any such taxes, duties, or charges so authorized by UNFPA and paid by the Contractor under written protest.

19. **MODIFICATIONS:**

19.1 Pursuant to the Financial Regulations and Rules of UNFPA, only the Chief of the Procurement Services Branch of UNFPA or such other contracting authority as made known to the Contractor in writing, possesses the authority to agree on behalf of UNFPA to any modification of or change in the Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in the Contract shall be valid and enforceable against UNFPA unless provided by a valid written amendment to the Contract signed by the Contractor and the Chief of the Procurement Services Branch of UNFPA or such other contracting authority.

19.2 If the Contract shall be extended for additional periods in accordance with the terms and conditions of the Contract, the terms and conditions applicable to any such extended term of the Contract shall be the same terms and conditions as set forth in the Contract, unless the Parties shall have agreed otherwise pursuant to a valid amendment concluded in accordance with Article 19.1, above.

19.3 The terms or conditions of any supplemental undertakings, licenses, or other forms of agreement concerning any goods or services provided under the Contract shall not be valid and enforceable against UNFPA nor in any way shall constitute an agreement by UNFPA thereto unless any such undertakings, licenses or other forms are the subject of a valid amendment concluded in accordance with Article 19.1, above.

20. **AUDITS AND INVESTIGATIONS:**

20.1 Each invoice paid by UNFPA shall be subject to a post-payment audit by auditors, whether internal or external, of UNFPA or the United Nations or by other authorized and qualified agents of UNFPA or the United Nations at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. UNFPA shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by UNFPA other than in accordance with the terms and conditions of the Contract.

20.2 UNFPA may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Contractor generally relating to performance of the Contract at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract.

20.3 The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNFPA access to the Contractor's premises at reasonable times and on



reasonable conditions in connection with such access to the Contractor's personnel and relevant documentation. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNFPA or the United Nations hereunder.

**21. LIMITATION ON ACTIONS:**

21.1 Except with respect to any indemnification obligations in Article 5, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 16.2, above, arising out of the Contract must be commenced within three years after the cause of action has accrued.

21.2 The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins.

**22. ESSENTIAL TERMS:** The Contractor acknowledges and agrees that each of the provisions in Articles 23 to 28 hereof constitutes an essential term of the Contract and that any breach of any of these provisions shall entitle UNFPA to terminate the Contract or any other contract with UNFPA immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

**23. SOURCE OF INSTRUCTIONS:** The Contractor shall neither seek nor accept instructions from any authority external to UNFPA in connection with the performance of its obligations under the Contract. Should any authority external to UNFPA seek to impose any instructions concerning or restrictions on the Contractor's performance under the Contract, the Contractor shall promptly notify UNFPA and provide all reasonable assistance required by UNFPA. The Contractor shall not take any action in respect of the performance of its obligations under the Contract that may adversely affect the interests of UNFPA, and the Contractor shall perform its obligations under the Contract with the fullest regard to the interests of the United Nations and UNFPA.

**24. OFFICIALS NOT TO BENEFIT:** The Contractor warrants that it has not and shall not offer to any representative, official, employee, or other agent of UNFPA any direct or indirect benefit arising from or related to the performance of the Contract or of any other contract with UNFPA or the award thereof or for any other purpose intended to gain an advantage for the Contractor.

**25. OBSERVANCE OF THE LAW:** The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract. In addition, the Contractor shall maintain compliance with all obligations relating to its registration as a qualified vendor of goods or services to UNFPA, as such obligations are set forth in the United Nations and UNFPA vendor registration procedures.

**26. CHILD LABOR:** The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiary or affiliated entities (if any) is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, *inter alia*, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

**27. MINES:** The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiaries or affiliated entities (if any) is engaged in the sale or manufacture of anti-personnel mines or components utilized in the manufacture of anti-personnel mines.

**28. SEXUAL EXPLOITATION:**

28.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by its employees or any other persons engaged and controlled by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all reasonable and appropriate measures to prohibit its employees or other persons engaged and controlled by it from exchanging any money, goods, services, or other things of value, for sexual favors or activities, or from engaging any sexual activities that are exploitive or degrading to any person.

- 28.2 UNFPA shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

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## Terms of Reference

### **Integrating Aging Research in Policy and Program in India**

#### **A. Background**

UNFPA is the lead agency on population data and its use and advocates that everyone everywhere is counted and accounted for, in the pursuit of sustainable development. It promotes a better understanding of linkages between population dynamics and the achievement of the sustainable development goals to inform national and state policies and programmes. The core output of the UNFPA's work in the Population and Development is to strengthen national capacities to include population dynamics in sustainable development planning efforts and in rights based policies and programmes at national and state levels by a) improving the quality of data and its use including the data on SDG; and b) evidence generation and advocacy on current and emerging population issues such as demographic dividend, ageing, urbanisation etc.

India has witnessed remarkable changes in demographic arena in recent decades. From a high fertility-mortality scenario to one of low fertility-mortality, India has witnessed significant changes in the age structure of population. With 30 per cent of country's population in the age group 10-24 years, India is the youngest nation in the world. But on the other hand is the issue of ageing. Though currently only 8.4 percent population in India is above the age of 60 years but this amounts to approximately 102 million people in actual numbers. The population projections by UNFPA show that the elderly population (of and above 60 years) will increase from the 104 million in 2011 to 425 million in India by 2061. Every fourth person in India in 2061 will be of 60 years or more. Due to the sheer large number as well as diversity of conditions of the elderly people, ageing is going to assume even more importance in the years to come, UNFPA plans to deepen its engagement with Ageing through evidence generation for policy advocacy.

#### **B. Objectives**

The key objective of the service contract will be to strengthen the evidence on ageing, explore setting up of a centre on ageing and publication of the India Aging Report 2021, policy relevant evidence generation based on the newly released LASI data and to build the capacities of young scholars in the area of ageing.

#### **C. Key Tasks**

The selected institution will be required to undertake the following tasks:

- 1) India Ageing Report - finalize various chapters prepared by experts, finalize India Ageing Report 2021 including edit, layout design and printing. In addition, the institution will also endeavour to disseminate the India Aging Report 2021 through different media and on different online platforms.
- 2) Publication of a Series on LASI Data – Develop four policy relevant technical papers on data from LASI. The work will include conceptualisation, literature review, data analysis, writing, editing and publication. The selected institution will also encourage and guide young scholars to use LASI data for relevant policy and programmatic research by inviting research proposals from them. Selected three proposals to be extended technical guidance and financial support to complete and publish the paper.

प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
निदेशक एवं वरिष्ठ प्रोफेसर / Director & Sr. Professor  
अंतर्राष्ट्रीय जनसंख्या विज्ञान संस्थान



International Institute for Population Sciences  
गोवर्दा स्ेशन रोड, देवणर / Govardi Station Road, Deonar  
मुंबई / Mumbai - 400 059.

**D. Deliverables & completion timelines:**

- 1) India Ageing Report 2021 (To be designed and published by January, 2022)
- 2) Three papers on data from LASI (To be completed by March, 2022)
- 3) Finalization of three papers by young researchers (To be completed by March, 2022)

**E. Duration:**

1<sup>st</sup> July 2021 to 31st March 2022

**F. Payment Terms**

Payments will be made against the deliverables given below and on submission of a Tax invoice in favour of UNFPA including UNFPA GST Number.

Payments and Deliverables:

1. 40% on receipt of the following deliverables:
  - a. Outline of the India Ageing Report
  - b. Scope and outline of the three papers on LASI data
2. 40% on receipt of the following deliverables:
  - a. Draft of India Aging Report 2021
  - b. Tabulation of three thematic papers using LASI data
  - c. Three research proposal by young researchers
3. 10% on receipt of following deliverables:
  - a. Designed India Aging Report
4. 10% on receipt of following deliverables:
  - a. Final three thematic papers
  - b. Research articles by three young researchers



प्रोफेसर के.एस. जेम्स / Prof. K.S. James  
डिरेक्टर एवं सিনিअर प्रोफेसर / Director & Sr. Professor  
अन्तर्राष्ट्रीय जनसंख्या विज्ञान संस्थान  
International Institute for Population Sciences  
गोवंडी स्टेशन रोड, देनार / Govandi Station Road, Deonar  
मुंबई / Mumbai - 400 068.



India Aging Budget		
Sr no.	Activities	Amount
1	Principal Investigator	330000
2	Consultant	500000
3	Accountant	100000
4	Senior Project Officer (1 person @70,000 per month)	630000
5	Meetings (fortnight meeting)	20000
6	English Editing and Designing Layout	250000
	<b>LASI Papers</b>	
7	English Editing of Research papers	100000
8	Young scholars research award using LASI Data 3 scholars @ Rs. 1L per scholar	300000
9	Publication fee for Research papers	300000
	<b>Supplies</b>	
10	Computer supplies	650000
11	Stationary/supplies	100000
12	Miscellaneous	20000
	<b>Subtotal</b>	<b>3300000</b>
13	Support Cost 9%	297000
	<b>Grand Total</b>	<b>3597000</b>

*Acharya*  
AFO

*Omman*  
Director 9/9/21

MFO/2019/ 258

19.12.19

Prof (Dr). R.B. Bhagat,  
Head of Department,  
Department of Migration and Urban Studies,  
International Institute for Population Sciences (IIPS),  
Govandi Station Road,  
Deonar, Mumbai 4000088

Dear Professor Bhagat,

**UNICEF Partnership with IIPS for 'Protection of Children Affected by Seasonal Migration: A Study in Jalna District, Maharashtra'**

This has reference to your letter dated 16/12/2019 forwarding the budget and technical proposal for the study on children of seasonal migrants in Jalna.

We have reviewed the budget and confirm our acceptance of the budget; with UNICEF's total contribution of Rs. INR 91,47,120 as follows:

Sr.	Heads	Approved Budget
1.	Staff salaries	32,40,000.00
2.	Qualitative tool development and training workshop	47,000.00
3.	Training workshop quantitative	16,21,000.00
4.	Quantitative data collection	16,79,600.00
5.	Qualitative data collection	3,84,100.00
6.	Consultation	3,77,500.00
7.	Research advisory group meetings	1,40,000.00
8.	Direct programme support costs	16,57,920.00
<b>GRAND TOTAL</b>		<b>91,47,120.00</b>

The approved budget is in the attached annex. The expenditure shall be guided as follows:

1. The cash assistance released should be utilized only for those activities indicated above and in accordance with the approved detailed budget attached that was submitted by your office.
2. The cash assistance released by UNICEF cannot be used for procurement of any supplies and equipment except as specified in the budget.

3. The cash assistance released should be utilized within three months from the date of release of funds.
4. If your department / organization is not in a position to utilize UNICEF's cash assistance within a period of four months from the date of release of the funds, the unutilized cash assistance should be refunded to UNICEF.
5. Deviation in any budget line item should not exceed 20%. Deviations, if any, should be agreed to in writing by UNICEF prior to expenditure and provided that the total approved budget is not exceeded.

As per our financial norms, on completion of the project, we would request you to let us have the completed FACE form, a budget vs actual expenditure statement (SOE), and an activity report to enable us release reimbursement, as appropriate.

As a part of our standard operating procedure, we need to undertake the financial assessment of implementing partners. In this regards, UNICEF operation and finance team may visit your office on periodic basis, upon mutually convenient dates, and review the financial process and systems with your accounts and finance staff.

We look forward to partnering with IIPS for this Study.

With best wishes,

Yours sincerely,

  
Rajeshwar Chandrasekar  
Chief, Mumbai Field Office